

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF DELAWARE

GENENTECH, INC. and CITY OF HOPE	)	
	)	
Plaintiffs,	)	C. A. No.: 17-1471-GMS
	)	
v.	)	<b>REDACTED - PUBLIC VERSION</b>
	)	
AMGEN INC.,	)	
	)	
Defendant.	)	

**AMGEN INC.’S ANSWER, AFFIRMATIVE DEFENSES, AND COUNTERCLAIMS TO  
PLAINTIFFS’ FIRST AMENDED AND SUPPLEMENTAL COMPLAINT**

Defendant Amgen Inc. (“Amgen”), by and through its undersigned attorneys, hereby submits its Answer, Affirmative Defenses, and Counterclaims to the First Amended and Supplemental Complaint for Patent Infringement and Declaratory Judgment (“Complaint”), filed by Genentech, Inc. and City of Hope (collectively, “Plaintiffs”) on December 6, 2017.

Pursuant to Fed. R. Civ. P. 8(b)(3), Amgen denies each and every allegation in the Complaint, whether express or implied, except those specifically and expressly admitted below. Any factual allegation admitted below is admitted only as to the specific admitted facts, not as to any purported conclusions, characterizations, implications, or speculations that may arguably follow from the admitted facts. To the extent any allegation in the Complaint is vague and/or ambiguous, Amgen denies such allegations. Amgen denies that Plaintiffs are entitled to the relief requested or any other relief.

The headings and subheadings in Amgen’s Answer are used solely for purposes of convenience and organization to mirror those appearing in the Complaint; to the extent that any headings or other non-numbered statements in the Complaint contain or imply any allegations,

Amgen denies each and every allegation therein. Each of the numbered paragraphs in the Answer below corresponds to the same-numbered paragraphs in the Complaint.

#### **NATURE OF THE CASE**

1. Amgen admits that in November 2016, Amgen filed its Biologics License Application (“BLA”) with the Food and Drug Administration under 42 U.S.C. § 262(k) for approval of ABP 215 (Mvasi<sup>TM</sup>), a biosimilar version of Genentech’s Avastin® (bevacizumab). Amgen lacks knowledge or information sufficient to form a belief as to the truth of the remaining allegations in the first sentence of paragraph 1, and on that basis denies them. Amgen denies the remaining allegations of paragraph 1.

2. The allegations of paragraph 2 are legal conclusions that require no response, and on that basis Amgen denies them.

3. The allegations of paragraph 3 are legal conclusions that require no response, and on that basis Amgen denies them.

4. Amgen admits that it is the subsection (k) applicant and that Genentech is the reference product sponsor for purposes of this action. Amgen admits that Plaintiffs purportedly filed this action under 42 U.S.C. § 262(l)(6) in October 2017, alleging infringement by Amgen under 35 U.S.C. § 271(e). Amgen admits that Genentech also previously filed another action in Case No. 17-1407-GMS (D. Del.), purporting to seek legal, equitable, and declaratory relief for Amgen’s alleged infringement under 35 U.S.C. § 271(a)-(c), including allegations relating to Amgen’s past manufacture of ABP 215. The remaining allegations in paragraph 4 are legal conclusions that require no response, and on that basis Amgen denies them.

5. Amgen admits that Genentech seeks declaratory relief based on Genentech's purported interpretation of unspecified provisions of the Biologics Price Competition and Innovation Act ("BPCIA"). Amgen denies the remaining allegations of paragraph 5.

6. Amgen admits that Genentech seeks the relief described in the first sentence of paragraph 6, but denies that Genentech is entitled to such relief, or any other relief. Amgen denies that it made any binding representations or agreements, in Exhibit 1 or otherwise, that created a legal prohibition to, for example, Amgen's right to have disputes of patent infringement, validity and/or enforceability finally and completely resolved on the merits by judicial, administrative or/or dispute-resolution proceedings, or that it created a legal prohibition to Amgen's first commercial marketing of ABP 215 no earlier than 180 days from October 6, 2017.. The text of footnote 1 contains only legal conclusions that require no response, and on that basis Amgen denies them. To the extent that a response is required, Amgen admits that the text of footnote 1 appears in 42 U.S.C. § 262(l)(3)(B). Amgen admits that on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it "will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter." The remaining allegations of paragraph 6 are legal conclusions that require no response, and on that basis Amgen denies them.

#### **THE PARTIES, JURISDICTION, AND VENUE**

7. Amgen admits the allegations of paragraph 7.

8. Amgen admits the allegations in the first sentence of paragraph 8. The remaining allegations of paragraph 8 are legal conclusions that require no response, and on that basis Amgen denies them.

9. Amgen admits the allegations in the first and second sentences of paragraph 9. Amgen admits it has been a reference product sponsor in various proceedings pursuant to the BPCIA, and is the subsection (k) applicant in the present case, and challenges Plaintiffs' allegations of patent infringement on the basis of, for example, noninfringement, invalidity, and unenforceability amongst other defenses, as set forth in this responsive pleading, and asserts counterclaims of, for example, noninfringement, invalidity, and unenforceability as set forth in this responsive pleading. Amgen denies the remaining allegations of paragraph 9.

10. Amgen denies that the Court has subject matter jurisdiction over all Counts identified in the Complaint, including Counts 26–30 alleging various purported violations of the BPCIA, for at least the reasons stated in connection with Amgen's granted motion to dismiss. The remaining allegations in paragraph 10 are legal conclusions that require no response, and on that basis Amgen denies them.

11. Amgen admits that it is incorporated in the state of Delaware. The remaining allegations in paragraph 11 contain legal conclusions that require no response, and on that basis Amgen denies them.

12. The allegations in paragraph 12 contain legal conclusions that require no response, and on that basis Amgen denies them.

13. Amgen admits that it is incorporated in the state of Delaware. The remaining allegations in paragraph 13 contain legal conclusions that require no response, and on that basis Amgen denies them.

#### **FACTUAL BASIS FOR RELIEF**

14. The allegations in the first sentence of paragraph 14 are legal conclusions that require no response, and on that basis Amgen denies them. Amgen admits that on January 4,

2017, it received notification from the FDA that its BLA for Mvasi™ had been accepted for review. Amgen admits that 42 U.S.C. § 262(l)(2)(A) states that “Not later than 20 days after the Secretary notifies the subsection (k) applicant that the application has been accepted for review, the subsection (k) applicant . . . shall provide to the reference product sponsor a copy of the application submitted to the Secretary under subsection (k), and such other information that describes the process or processes used to manufacture the biological product that is the subject of such application.” Amgen lacks knowledge or information sufficient to form a belief as to the truth of the remaining allegations of paragraph 14, and on that basis denies them

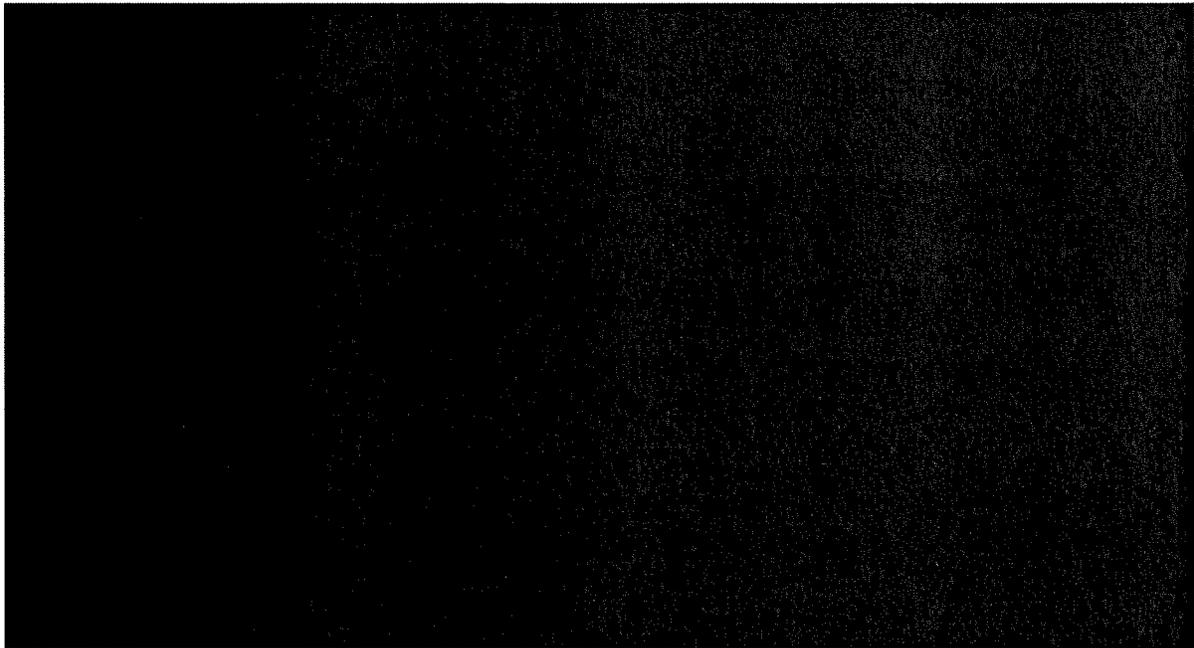
15. Amgen admits that on January 13, 2017, Genentech asked Amgen to provide information “in addition to the ABP 215 aBLA . . . irrespective of whether it is contained in the aBLA.” Amgen denies that it had any obligation under the BPCIA or otherwise to respond to or comply with Genentech’s demand. Amgen admits that it provided its BLA for ABP 215, and other information describing the manufacture of ABP 215, to Genentech starting in January 2017. Amgen denies the remaining allegations of paragraph 15.

16. Amgen admits that this Court previously received letter briefing and oral argument in connection with Genentech’s complaint alleging Amgen’s non-compliance with 42 U.S.C. § 262(l)(2)(A), and dismissed Genentech’s complaint for lack of subject matter jurisdiction on March 1, 2017, with leave to amend the complaint within 45 days. *See Genentech, Inc. v. Amgen Inc.*, No. 17-165-GMS (D. Del. 2017), Dkt No. 16. Amgen admits that Genentech did not amend its complaint within 45 days to file suit under 42 U.S.C. § 262(l)(9)(C) (or any other provision of the BPCIA). Amgen admits that on March 24, 2017, Genentech purported to provide Amgen with a list of patents pursuant to 42 U.S.C. § 262(l)(3)(A) that Genentech purportedly believed “could reasonably be asserted against

Amgen's proposed ABP 215 product' if Amgen commercialized its product." Amgen admits that Genentech's March 24, 2017 letter stated that Genentech did "not believe Amgen complied with its obligations under 42 U.S.C. § 262(f)(2)(A)" and also stated that the list of patents was "based upon a review of the product's aBLA filing, the only information that you have provided." Amgen denies the remaining allegations of paragraph 16.

17. The allegations in paragraph 17 are legal conclusions that require no response, and on that basis Amgen denies them.

18. Amgen admits that on May 23, 2017, it sent a letter to Genentech's counsel stating as follows:



Amgen denies that its May 23, 2017 letter contained or constituted any formal or binding representations or agreements that created any legal prohibition to Amgen's right to have disputes of validity, enforceability, and/or infringement of the [REDACTED] identified in its May 23, 2017 letter finally and completely resolved on the merits through judicial, administrative and/or dispute-resolution proceedings. Amgen denies that its May 23, 2017 letter contained or

constituted any formal or binding representation that created a legal prohibition to Amgen's first commercial marketing of ABP 215 no earlier than 180 days from [REDACTED]. The remaining allegations of paragraph 18 are legal conclusions that require no response, and on that basis Amgen denies them.

19. Amgen admits that on May 23, 2017, it provided to Genentech its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B), which described on a claim by claim basis, the detailed factual and legal bases for Amgen's opinion that the remaining 19 patents on the list Genentech purported to provide pursuant to 42 U.S.C. § 262(l)(3)(A) are invalid, unenforceable, and/or would not be infringed by Amgen's commercial marketing of ABP 215. Amgen denies that its detailed statement was "plainly deficient," "conclusory," "failed to provide Genentech with a meaningful opportunity to evaluate its rights," and/or otherwise failed to comply with the BPCIA in any way. Amgen admits that its detailed statement identified, on a claim-by-claim and limitation-by-limitation basis, specific claim limitations it believed were not infringed by its ABP 215 product, together with supporting citations to relevant portion(s) of Amgen's BLA containing factual bases for those assertions. Amgen admits that with respect to U.S. Patent No. 9,441,035, its detailed statement stated that "Based on the information contained in these sections of the aBLA, a person of ordinary skill, relying on techniques and methods known in the art, would be able to calculate the cystine concentration in Amgen's cell culture medium and determine that it falls well outside the range recited in the claims of the '035 patent." Amgen admits that this sentence does not perform those calculations for Genentech or explain how to perform those calculations and that Amgen's detailed statement separately identifies the relevant portions of the BLA indicating that the manufacturing process for ABP 215 does not involve the claimed cystine concentration ranges. Amgen admits that its detailed statement further identified

the relevant portions of its BLA indicating that the manufacturing process for ABP 215 does not infringe the '035 patent literally or under the doctrine of equivalents. Amgen denies that it had any obligation under the BPCIA or otherwise to provide further information or explanation beyond what it provided in its detailed statement pursuant to 42 U.S.C § 262(l)(3)(B) on May 23, 2017. Amgen denies the remaining allegations of paragraph 19.

20. Amgen admits that in July 2017, Genentech purported to provide its statement pursuant to 42 U.S.C. § 262(l)(3)(C) for [REDACTED] identified in Amgen's detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). Amgen admits that Genentech acknowledged its statement did "not address the validity or enforceability of claims that Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215." Amgen admits that Genentech subsequently stated, in a letter dated August 31, 2017, that Genentech had not provided a statement pursuant to 42 U.S.C. § 262(l)(3)(C) for U.S. Patent Nos. 6,610,516 or 7,323,553 because it "does not intend to assert them against ABP 215." Amgen admits that page 2 of Exhibit 3 includes a statement by Genentech indicating that "Genentech expressly reserves the right to take any any [*sic*] all recourse to redress Amgen's violation of its disclosure obligations," but denies that Amgen was in violation of any disclosure obligations under the BPCIA or otherwise. Amgen lacks knowledge or information sufficient to form a belief as to the truth of the remaining allegations in paragraph 20, and on that basis denies them.

21. The allegations in paragraph 21 contain legal conclusions that require no response, and on that basis Amgen denies them.

22. Amgen admits that it received Genentech's purported statement pursuant to 42 U.S.C. § 262(l)(3)(C) in July 2017; that the parties' negotiations pursuant to 42 U.S.C.

§ 262(l)(4) began on September 14, 2017; and that September 14, 2017 is more than 50 days after July 22, 2017. Amgen denies that it “provided no reasonable explanation for its decision to delay the initiation of negotiations.” Amgen admits that it sent multiple letters to Genentech identifying and explaining numerous deficiencies in Genentech’s purported statement pursuant to 42 U.S.C. § 262(l)(3)(C), including a letter dated August 24, 2017 in which it stated that “Given Genentech’s inadequate § 262(l)(3)(C) statement, we believe that the parties are not yet ready to proceed to negotiations under § 262(l)(4),” and emphasizing that “Genentech’s gamesmanship and lack of clarity with respect to its infringement, validity and enforceability positions has created the delay in this process.” Amgen admits that Genentech sent letters inquiring about possible dates for the parties’ negotiations under 42 U.S.C. § 262(l)(4), without addressing the deficiencies in Genentech’s purported statement pursuant to 42 U.S.C. § 262(l)(3)(C) repeatedly noted by Amgen in prior correspondence. Amgen lacks knowledge or information sufficient to form a belief as to the truth of the remaining allegations in paragraph 22, and on that basis denies them.

23. Amgen admits that during the negotiations held on September 14, 2017, Genentech proposed filing suit on all patents that Genentech has asserted in the present Complaint. Amgen admits that some of the patents Amgen previously identified pursuant to 42 U.S.C. § 262(l)(3)(B)(ii)(II) relate to manufacturing processes. Amgen denies that it performed any manufacturing activities in [REDACTED] that would give rise to any legitimate claims for infringement and/or damages. Amgen admits that Genentech, before September 14, 2017, inquired about “the size of these manufacturing runs or whether any of the drug substance produced is intended for commercial scale.” Amgen denies that Genentech, prior to September 14, 2017, told Amgen that it was asking for this information from Amgen “to determine whether

Amgen had a valid defense to infringement under 35 U.S.C. §271(e)(1)” or even that Genentech was using Amgen’s confidential information and seeking this additional information to assess whether to assert an infringement claim against Amgen under 35 U.S.C. §271(a). Amgen admits that on May 8, 2017, and prior to providing Amgen’s detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B), Amgen explained to Genentech that “pre-FDA approval manufacturing runs are mandated by the FDA,” and that Genentech had failed to explain how “any pre-FDA approval manufacturing done by Amgen is relevant to the information exchange between the parties under the BPCIA,” or why “information regarding the size of pre-approval manufacturing runs Genentech seeks is relevant to or required to be provided under the BPCIA.” Amgen denies that its █████ manufacturing activities alleged in paragraph 23 fall outside the safe harbor provided by 35 U.S.C. § 271(e)(1). Amgen denies the remaining allegations of paragraph 23.

24. Amgen admits that on October 2, 2017, it sent a letter stating that the parties’ negotiations pursuant to 42 U.S.C. § 262(l)(4) had ended “without an agreement on a final and complete list” of patents to be litigated in an action for patent infringement under 42 U.S.C. § 262(l)(6), and indicated that it “will be in touch” regarding the number of patents to be litigated pursuant to 42 U.S.C. § 262(l)(5). Amgen admits that on October 6, 2017, it provided its notice of commercial marketing pursuant to 42 U.S.C. § 262(l)(8)(A) stating that “it will commence commercial marketing of Mvasi<sup>TM</sup> (a/k/a ABP215) no earlier than 180 days from the date of this letter.” Amgen admits that this letter was sent via email on Friday, October 6, 2017 at 3:28 PM (PT). Amgen admits that Monday, October 9, 2017 was a federal holiday (Columbus Day). On information and belief, Amgen admits that Sukkot is a religious holiday that in 2017 began at sundown on the evening of Wednesday October 4, 2017 and ended at nightfall on the evening of Wednesday October 11, 2017 (October 12 outside Israel). On information and belief, Sukkot is

not a federal holiday in the United States, is not a public holiday in California or Delaware, and is not a public holiday in the District of Columbia. Amgen admits that on October 6, 2017, it filed a declaratory judgment action in the Central District of California, where Amgen is headquartered. Amgen admits that on October 6, 2017, it sent a letter via email, asking Genentech if it was “available to conduct § 262(l)(5) negotiations next week,” and proposed “providing the number of patents pursuant to § 262(l)(5)(A) on Monday October 9, and then having a simultaneous exchange of our respective lists of patents pursuant to § 262(l)(5)(B)(i) on Thursday, October 12, at 5pm ET.” Amgen admits that this letter did not mention the declaratory judgment action that it had filed in the Central District of California and that notice to Plaintiffs of the California action proceeded in accordance with the Federal Rules of Civil Procedure and any rules of the Central District of California. Amgen denies the remaining allegations of paragraph 24.

25. Amgen admits that the declaratory judgment action that it filed in the Central District of California challenged the infringement, validity and/or enforceability of all 27 patents identified on the list Genentech purportedly provided pursuant to 42 U.S.C. § 262(l)(3)(A). Amgen denies that it has not complied with its obligations under 42 U.S.C. § 262(l)(2)(A). Amgen denies that it formally promised in writing or otherwise that it would not challenge the [REDACTED] it identified pursuant to 42 U.S.C. § 262(l)(3)(B)(ii)(II). The remaining allegations in paragraph 25 are legal conclusions that require no response, and on that basis Amgen denies them.

26. Amgen denies the allegations of paragraph 26.

27. Amgen admits that on October 6, 2017, on the same day that it filed its declaratory judgment action in the Central District of California, it provided its notice of

commercial marketing pursuant to 42 U.S.C. § 262(l)(8)(A) stating that “it will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter.” Amgen admits that April 4, 2018 is 180 days after October 6, 2017. Amgen denies that its identification of ██████ pursuant to 42 U.S.C. § 262(l)(3)(B)(ii)(II) contained or constituted any formal or binding representations or agreements that created a legal prohibition to Amgen’s first commercial marketing of ABP 215 no earlier than 180 days from October 6, 2017. Amgen admits that on October 9, 2017, Genentech sent a letter, stating “Please confirm that Amgen will honor its statutory commitment and not attempt to commercialize Mvasi™,” before ██████. Amgen admits that on October 9, 2017, it responded via letter, emphasizing that Amgen had made no statutory commitment under 42 U.S.C. § 262(l)(3)(B)(ii)(II) or otherwise to refrain from marketing Mvasi™ prior to any date certain. Amgen denies the remaining allegations of paragraph 27.

28. Amgen admits that U.S. Patent No. 9,795,672 (“the ’672 patent”) issued on October 24, 2017, after Genentech had originally purported to provide its list of patents pursuant to 42 U.S.C. § 262(l)(3)(A) on March 24, 2017. Amgen denies that the ’672 patent was “duly and legally” issued. Amgen denies the remaining allegations of paragraph 28.

29. Amgen admits that Genentech purports to have provided to Amgen, pursuant to 42 U.S.C. § 262(l)(7), a supplement to its purported list of patents pursuant to 42 U.S.C. § 262(l)(3)(A) to include U.S. Patent No. 9,795,672. Amgen admits that it provided Genentech, at least by email dated December 1, 2017, a detailed statement satisfying any obligation Amgen may have had pursuant to 42 U.S.C. § 262(l)(7)(B) and § 262(l)(3)(B). Amgen denies the remaining allegations of paragraph 29.

30. Amgen admits that Genentech has brought an action seeking relief against

Amgen. Amgen denies that Genentech is entitled to any such relief, requested or otherwise.

Amgen denies the remaining allegations of paragraph 30.

**COUNT 1**  
**(INFRINGEMENT OF THE '297 PATENT)**

31. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

32. Amgen admits that U.S. Patent No. 6,054,297 (“the ’297 patent”), a copy of which is attached as Exhibit 6 to the Complaint, issued on April 25, 2000. Amgen denies that the ’297 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 32.

33. The allegations of paragraph 33 contain legal conclusions that require no response, and on that basis Amgen denies them.

34. The allegations of paragraph 34 contain legal conclusions that require no response, and on that basis Amgen denies them.

35. Amgen admits the allegations of paragraph 35.

36. Amgen admits that the FDA approved Amgen’s BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 36 are legal conclusions that require no response, and on that basis Amgen denies them.

37. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it “will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter.” Amgen denies the remaining allegations of paragraph 37.

38. Amgen denies that it infringes the ’297 patent. The remaining allegations of paragraph 38 contain legal conclusions that require no response, and on that basis Amgen denies

them.

39. Amgen denies the allegations of paragraph 39.

40. [REDACTED]

[REDACTED]. Amgen denies the remaining allegations of paragraph 40.

41. Amgen denies the allegations of paragraph 41.

42. Amgen denies the allegations of paragraph 42.

**COUNT 2**  
**(INFRINGEMENT OF THE '428 PATENT)**

43. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

44. Amgen admits that U.S. Patent No. 6,121,428 (“the ’428 patent”), a copy of which is attached as Exhibit 7 to the Complaint, issued on September 19, 2000. Amgen denies that the ’428 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 44.

45. The allegations of paragraph 45 contain legal conclusions that require no response, and on that basis Amgen denies them.

46. The allegations of paragraph 46 contain legal conclusions that require no response, and on that basis Amgen denies them.

47. Amgen admits the allegations of paragraph 47.

48. Amgen admits that the FDA approved Amgen’s BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 48 are legal conclusions that require no response, and on that basis Amgen denies them..

49. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42

U.S.C. § 262(l)(8)(A) that it “will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter.” Amgen denies the remaining allegations of paragraph 49.

50. Amgen denies that it infringes the '428 patent. The remaining allegations of paragraph 50 contain legal conclusions that require no response, and on that basis Amgen denies them.

51. Amgen denies the allegations of paragraph 51.

52. Amgen admits that it complied with 42 U.S.C. § 262(l)(2)(A) by, for example, providing to Genentech its BLA for ABP 215 together with additional materials describing the manufacture of ABP 215. Amgen admits that Genentech purports that Amgen’s disclosures pursuant to 42 U.S.C. § 262(l)(2)(A) were insufficient and has demanded the further production of additional, unspecified information and materials relating to the manufacture of ABP 215. Amgen admits that it has maintained in response to Genentech’s demands that its disclosures pursuant to 42 U.S.C. § 262(l)(2)(A) satisfied any statutory obligations Amgen had under the BPCIA. Amgen denies the remaining allegations of paragraph 52.

53. [REDACTED]

[REDACTED] Amgen denies the remaining allegations of paragraph 53.

54. Amgen denies the allegations of paragraph 54.

55. Amgen denies the allegations of paragraph 55.

**COUNT 3**  
**(INFRINGEMENT OF THE '177 PATENT)**

56. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

57. Amgen admits that U.S. Patent No. 6,242,177 (“the ’177 patent”), a copy of which is attached as Exhibit 8 to the Complaint, issued on June 5, 2001. Amgen denies that the ’177 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 57.

58. The allegations of paragraph 58 contain legal conclusions that require no response, and on that basis Amgen denies them.

59. The allegations of paragraph 59 contain legal conclusions that require no response, and on that basis Amgen denies them.

60. Amgen admits the allegations of paragraph 60.

61. Amgen admits that the FDA approved Amgen’s BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 61 are legal conclusions that require no response, and on that basis Amgen denies them.

62. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it “will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter.” Amgen denies the remaining allegations of paragraph 62.

63. Amgen denies that it infringes the ’177 patent. The remaining allegations of paragraph 63 contain legal conclusions that require no response, and on that basis Amgen denies them.

64. Amgen denies the allegations of paragraph 64.

65. Amgen admits that it complied with 42 U.S.C. § 262(l)(2)(A) by, for example, providing to Genentech its BLA for ABP 215 together with additional materials describing the manufacture of ABP 215. Amgen admits that Genentech purports that Amgen’s disclosures

pursuant to 42 U.S.C. § 262(l)(2)(A) were insufficient and has demanded the further production of additional, unspecified information and materials relating to the manufacture of ABP 215. Amgen admits that it has maintained in response to Genentech's demands that its disclosures pursuant to 42 U.S.C. § 262(l)(2)(A) satisfied any statutory obligations Amgen had under the BPCIA. Amgen denies the remaining allegations of paragraph 65.

66. [REDACTED]

[REDACTED] Amgen denies the remaining allegations of paragraph 66.

67. Amgen denies the allegations of paragraph 67.

68. Amgen denies the allegations of paragraph 68.

**COUNT 4**  
**(INFRINGEMENT OF THE '415 PATENT)**

69. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

70. Amgen admits that U.S. Patent No. 6,331,415 ("the '415 patent"), a copy of which is attached as Exhibit 9 to the Complaint, issued on December 18, 2001. Amgen denies that the '415 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 70.

71. The allegations of paragraph 71 contain legal conclusions that require no response, and on that basis Amgen denies them.

72. The allegations of paragraph 72 contain legal conclusions that require no response, and on that basis Amgen denies them.

73. Amgen admits the allegations of paragraph 73.

74. Amgen admits that the FDA approved Amgen's BLA application for Mvasi™

(bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 74 are legal conclusions that require no response, and on that basis Amgen denies them.

75. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it “will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter.” Amgen denies the remaining allegations of paragraph 75.

76. Amgen denies that it infringes the '415 patent. The remaining allegations of paragraph 76 contain legal conclusions that require no response, and on that basis Amgen denies them.

77. Amgen denies the allegations of paragraph 77.

78. [REDACTED]

[REDACTED] Amgen denies the remaining allegations of paragraph 78.

79. Amgen denies the allegations of paragraph 79.

80. Amgen denies the allegations of paragraph 80.

**COUNT 5**  
**(INFRINGEMENT OF THE '213 PATENT)**

81. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

82. Amgen admits that U.S. Patent No. 6,407,213 (“the '213 patent”), a copy of which is attached as Exhibit 10 to the Complaint, issued on June 18, 2002. Amgen denies that the '213 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 82.

83. The allegations of paragraph 83 contain legal conclusions that require no

response, and on that basis Amgen denies them.

84. The allegations of paragraph 84 contain legal conclusions that require no response, and on that basis Amgen denies them.

85. Amgen admits the allegations of paragraph 85.

86. Amgen admits that the FDA approved Amgen's BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 86 are legal conclusions that require no response, and on that basis Amgen denies them.

87. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it "will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter." Amgen denies the remaining allegations of paragraph 87.

88. Amgen denies that it infringes the '213 patent. The remaining allegations of paragraph 88 contain legal conclusions that require no response, and on that basis Amgen denies them.

89. Amgen denies the allegations of paragraph 89.

90. Amgen denies the allegations of paragraph 90.

91. Amgen denies the allegations of paragraph 91.

**COUNT 6**  
**(INFRINGEMENT OF THE '335 PATENT)**

92. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

93. Amgen admits that U.S. Patent No. 6,417,335 ("the '335 patent"), a copy of which is attached as Exhibit 11 to the Complaint, issued on July 9, 2002. Amgen denies that the

'335 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 93.

94. The allegations of paragraph 94 contain legal conclusions that require no response, and on that basis Amgen denies them.

95. The allegations of paragraph 95 contain legal conclusions that require no response, and on that basis Amgen denies them.

96. Amgen admits the allegations of paragraph 96.

97. Amgen admits that the FDA approved Amgen's BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 97 are legal conclusions that require no response, and on that basis Amgen denies them.

98. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it "will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter." Amgen denies the remaining allegations of paragraph 98.

99. Amgen denies that it infringes the '335 patent. The remaining allegations of paragraph 99 contain legal conclusions that require no response, and on that basis Amgen denies them.

100. Amgen denies the allegations of paragraph 100.

101. Amgen denies the allegations of paragraph 101.

102. Amgen denies the allegations of paragraph 102.

**COUNT 7**  
**(INFRINGEMENT OF THE '206 PATENT)**

103. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

104. Amgen admits that U.S. Patent No. 6,586,206 (“the ’206 patent”), a copy of which is attached as Exhibit 12 to the Complaint, issued on July 1, 2003. Amgen denies that the ’206 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 104.

105. The allegations of paragraph 105 contain legal conclusions that require no response, and on that basis Amgen denies them.

106. The allegations of paragraph 106 contain legal conclusions that require no response, and on that basis Amgen denies them.

107. Amgen admits the allegations of paragraph 107.

108. Amgen admits that the FDA approved Amgen’s BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 108 are legal conclusions that require no response, and on that basis Amgen denies them.

109. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it “will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter.” Amgen denies the remaining allegations of paragraph 109.

110. Amgen denies that it infringes the ’206 patent. The remaining allegations of paragraph 110 contain legal conclusions that require no response, and on that basis Amgen denies them.

111. Amgen denies the allegations of paragraph 111.

112. Amgen denies the allegations of paragraph 112.

**COUNT 8**  
**(INFRINGEMENT OF THE ’918 PATENT)**

113. Amgen incorporates its responses to each of the preceding paragraphs as if fully

set forth herein.

114. Amgen admits that U.S. Patent No. 6,620,918 (“the ’918 patent”), a copy of which is attached as Exhibit 13 to the Complaint, issued on September 16, 2003. Amgen denies that the ’918 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 114.

115. The allegations of paragraph 115 contain legal conclusions that require no response, and on that basis Amgen denies them.

116. The allegations of paragraph 116 contain legal conclusions that require no response, and on that basis Amgen denies them.

117. Amgen admits the allegations of paragraph 117.

118. Amgen admits that the FDA approved Amgen’s BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 118 are legal conclusions that require no response, and on that basis Amgen denies them.

119. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it “will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter.” Amgen denies the remaining allegations of paragraph 119.

120. Amgen denies that it infringes the ’918 patent. The remaining allegations of paragraph 120 contain legal conclusions that require no response, and on that basis Amgen denies them.

121. Amgen denies the allegations of paragraph 121.

122. Amgen denies the allegations of paragraph 122.

**COUNT 9**  
**(INFRINGEMENT OF THE ’034 PATENT)**

123. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

124. Amgen admits that U.S. Patent No. 6,870,034 (“the ’034 patent”), a copy of which is attached as Exhibit 14 to the Complaint, issued on March 22, 2005. Amgen denies that the ’034 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 124.

125. The allegations of paragraph 125 contain legal conclusions that require no response, and on that basis Amgen denies them.

126. The allegations of paragraph 126 contain legal conclusions that require no response, and on that basis Amgen denies them.

127. Amgen admits the allegations of paragraph 127.

128. Amgen admits that the FDA approved Amgen’s BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 128 are legal conclusions that require no response, and on that basis Amgen denies them.

129. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it “will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter.” Amgen denies the remaining allegations of paragraph 129.

130. Amgen denies that it infringes the ’034 patent. The remaining allegations of paragraph 130 contain legal conclusions that require no response, and on that basis Amgen denies them.

131. Amgen denies the allegations of paragraph 131.

132. Amgen denies the allegations of paragraph 132.

**COUNT 10**  
**(INFRINGEMENT OF THE '879 PATENT)**

133. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

134. Amgen admits that U.S. Patent No. 6,884,879 (“the ’879 patent”), a copy of which is attached as Exhibit 15 to the Complaint, issued on April 26, 2005. Amgen denies that the ’879 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 134.

135. The allegations of paragraph 135 contain legal conclusions that require no response, and on that basis Amgen denies them.

136. Amgen admits the allegations of paragraph 136.

137. Amgen admits that the FDA approved Amgen’s BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 137 are legal conclusions that require no response, and on that basis Amgen denies them.

138. Amgen denies that it infringes the ’879 patent. The remaining allegations of paragraph 138 contain legal conclusions that require no response, and on that basis Amgen denies them.

139. Amgen denies the allegations of paragraph 139.

140. Amgen denies the allegations of paragraph 140.

141. [REDACTED]

[REDACTED] Amgen denies the remaining allegations of paragraph 141.

**COUNT 11**  
**(INFRINGEMENT OF THE '269 PATENT)**

142. Amgen incorporates its responses to each of the preceding paragraphs as if fully

set forth herein.

143. Amgen admits that U.S. Patent No. 7,060,269 (“the ’269 patent”), a copy of which is attached as Exhibit 16 to the Complaint, issued on June 13, 2006. Amgen denies that the ’269 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 143.

144. The allegations of paragraph 144 contain legal conclusions that require no response, and on that basis Amgen denies them.

145. The allegations of paragraph 145 contain legal conclusions that require no response, and on that basis Amgen denies them.

146. Amgen admits the allegations of paragraph 146.

147. Amgen admits that the FDA approved Amgen’s BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 147 are legal conclusions that require no response, and on that basis Amgen denies them.

148. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it “will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter.” Amgen denies the remaining allegations of paragraph 148.

149. Amgen denies that it infringes the ’269 patent. The remaining allegations of paragraph 149 contain legal conclusions that require no response, and on that basis Amgen denies them.

150. Amgen denies the allegations of paragraph 150.

151. Amgen denies the allegations of paragraph 151.

152. Amgen denies the allegations of paragraph 152.

153. Amgen denies the allegations of paragraph 153.

154. Amgen denies the allegations of paragraph 154.

155. Amgen denies the allegations of paragraph 155.

156. Amgen denies the allegations of paragraph 156.

**COUNT 12**  
**(INFRINGEMENT OF THE '901 PATENT)**

157. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

158. Amgen admits that U.S. Patent No. 7,169,901 (“the ’901 patent”), a copy of which is attached as Exhibit 17 to the Complaint, issued on January 30, 2007. Amgen denies that the ’901 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 158.

159. The allegations of paragraph 159 contain legal conclusions that require no response, and on that basis Amgen denies them.

160. The allegations of paragraph 160 contain legal conclusions that require no response, and on that basis Amgen denies them.

161. Amgen admits the allegations of paragraph 161.

162. Amgen admits that the FDA approved Amgen’s BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 162 are legal conclusions that require no response, and on that basis Amgen denies them.

163. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it “will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter.” Amgen denies the remaining allegations of paragraph 163.

164. Amgen denies that it infringes the '901 patent. The remaining allegations of paragraph 164 contain legal conclusions that require no response, and on that basis Amgen denies them.

165. Amgen denies the allegations of paragraph 165.

166. Amgen denies the allegations of paragraph 166.

**COUNT 13**  
**(INFRINGEMENT OF THE '334 PATENT)**

167. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

168. Amgen admits that U.S. Patent No. 7,297,334 ("the '334 patent"), a copy of which is attached as Exhibit 18 to the Complaint, issued on November 20, 2007. Amgen denies that the '334 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 168.

169. The allegations of paragraph 169 contain legal conclusions that require no response, and on that basis Amgen denies them.

170. Amgen admits the allegations of paragraph 170.

171. Amgen admits that the FDA approved Amgen's BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 171 are legal conclusions that require no response, and on that basis Amgen denies them.

172. Amgen denies that it infringes the '334 patent. The remaining allegations of paragraph 172 contain legal conclusions that require no response, and on that basis Amgen denies them.

173. Amgen denies the allegations of paragraph 173.

174. Amgen denies the allegations of paragraph 174.

175. Amgen denies the allegations of paragraph 175.

176. [REDACTED]

[REDACTED] Amgen denies the remaining allegations of paragraph 176.

**COUNT 14**  
**(INFRINGEMENT OF THE '193 PATENT)**

177. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

178. Amgen admits that U.S. Patent No. 7,375,193 (“the ’193 patent”), a copy of which is attached as Exhibit 19 to the Complaint, issued on May 20, 2008. Amgen denies that the ’193 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 178.

179. The allegations of paragraph 179 contain legal conclusions that require no response, and on that basis Amgen denies them.

180. Amgen admits the allegations of paragraph 180.

181. Amgen admits that the FDA approved Amgen’s BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 181 are legal conclusions that require no response, and on that basis Amgen denies them.

182. Amgen denies that it infringes the ’193 patent. The remaining allegations of paragraph 182 contain legal conclusions that require no response, and on that basis Amgen denies them.

183. Amgen denies the allegations of paragraph 183.

184. Amgen denies the allegations of paragraph 184.

185. [REDACTED]



denies them.

194. Amgen denies the allegations of paragraph 194.

195. Amgen denies the allegations of paragraph 195.

196. Amgen denies the allegations of paragraph 196.

197. Amgen denies the allegations of paragraph 197.

198. Amgen denies the allegations of paragraph 198.

199. Amgen denies the allegations of paragraph 199.

**COUNT 16**  
**(INFRINGEMENT OF THE '799 PATENT)**

200. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

201. Amgen admits that U.S. Patent No. 7,807,799 (“the ’799 patent”), a copy of which is attached as Exhibit 21 to the Complaint, issued on October 5, 2010. Amgen denies that the ’799 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 201.

202. The allegations of paragraph 202 contain legal conclusions that require no response, and on that basis Amgen denies them.

203. The allegations of paragraph 203 contain legal conclusions that require no response, and on that basis Amgen denies them.

204. Amgen admits the allegations of paragraph 204.

205. Amgen admits that the FDA approved Amgen’s BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 205 are legal conclusions that require no response, and on that basis Amgen denies them.

206. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42

U.S.C. § 262(l)(8)(A) that it “will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter.” Amgen denies the remaining allegations of paragraph 206.

207. Amgen denies that it infringes the '799 patent. The remaining allegations of paragraph 207 contain legal conclusions that require no response, and on that basis Amgen denies them.

208. Amgen denies the allegations of paragraph 208.

209. Amgen denies the allegations of paragraph 209.

**COUNT 17**  
**(INFRINGEMENT OF THE '221 PATENT)**

210. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

211. Amgen admits that U.S. Patent No. 7,923,221 (“the '221 patent”), a copy of which is attached as Exhibit 22 to the Complaint, issued on April 12, 2011. Amgen denies that the '221 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 211.

212. The allegations of paragraph 212 contain legal conclusions that require no response, and on that basis Amgen denies them.

213. The allegations of paragraph 213 contain legal conclusions that require no response, and on that basis Amgen denies them.

214. Amgen admits the allegations of paragraph 214.

215. Amgen admits that the FDA approved Amgen’s BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 215 are legal conclusions that require no response, and on that basis Amgen denies them.

216. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it “will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter.” Amgen denies the remaining allegations of paragraph 216.

217. Amgen denies that it infringes the '221 patent. The remaining allegations of paragraph 217 contain legal conclusions that require no response, and on that basis Amgen denies them.

218. Amgen denies the allegations of paragraph 218.

219. [REDACTED]

[REDACTED] Amgen denies the remaining allegations of paragraph 219.

220. Amgen denies the allegations of paragraph 220.

221. Amgen denies the allegations of paragraph 221.

**COUNT 18**  
**(INFRINGEMENT OF THE '017 PATENT)**

222. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

223. Amgen admits that U.S. Patent No. 8,044,017 (“the '017 patent”), a copy of which is attached as Exhibit 23 to the Complaint, issued on October 25, 2011. Amgen denies that the '017 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 223.

224. The allegations of paragraph 224 contain legal conclusions that require no response, and on that basis Amgen denies them.

225. The allegations of paragraph 225 contain legal conclusions that require no

response, and on that basis Amgen denies them.

226. Amgen admits the allegations of paragraph 226.

227. Amgen admits that the FDA approved Amgen's BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 227 are legal conclusions that require no response, and on that basis Amgen denies them.

228. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it "will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter." Amgen denies the remaining allegations of paragraph 228.

229. Amgen denies that it infringes the '017 patent. The remaining allegations of paragraph 229 contain legal conclusions that require no response, and on that basis Amgen denies them.

230. Amgen denies the allegations of paragraph 230.

231. Amgen denies the allegations of paragraph 231.

**COUNT 19**  
**(INFRINGEMENT OF THE '895 PATENT)**

232. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

233. Amgen admits that U.S. Patent No. 8,460,895 ("the '895 patent"), a copy of which is attached as Exhibit 24 to the Complaint, issued on June 11, 2013. Amgen denies that the '895 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 233.

234. The allegations of paragraph 234 contain legal conclusions that require no response, and on that basis Amgen denies them.

235. The allegations of paragraph 235 contain legal conclusions that require no response, and on that basis Amgen denies them.

236. Amgen admits the allegations of paragraph 236.

237. Amgen admits that the FDA approved Amgen's BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 237 are legal conclusions that require no response, and on that basis Amgen denies them.

238. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it "will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter." Amgen denies the remaining allegations of paragraph 238.

239. The allegations of paragraph 239 contain legal conclusions that require no response, and on that basis Amgen denies them.

240. Amgen denies the allegations of paragraph 240.

241. Amgen denies the allegations of paragraph 241.

**COUNT 20**  
**(INFRINGEMENT OF THE '983 PATENT)**

242. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

243. Amgen admits that U.S. Patent No. 8,512,983 ("the '983 patent"), a copy of which is attached as Exhibit 25 to the Complaint, issued on August 20, 2013. Amgen denies that the '983 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 243.

244. The allegations of paragraph 244 contain legal conclusions that require no response, and on that basis Amgen denies them.

245. Amgen admits the allegations of paragraph 245.

246. Amgen admits that the FDA approved Amgen's BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 246 are legal conclusions that require no response, and on that basis Amgen denies them.

247. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it "will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter." Amgen denies the remaining allegations of paragraph 247.

248. Amgen denies that it infringes the '983 patent. The remaining allegations of paragraph 248 contain legal conclusions that require no response, and on that basis Amgen denies them.

249. Amgen denies the allegations of paragraph 249.

250. Amgen denies the allegations of paragraph 250.

**COUNT 21**  
**(INFRINGEMENT OF THE '869 PATENT)**

251. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

252. Amgen admits that U.S. Patent No. 8,574,869 ("the '869 patent"), a copy of which is attached as Exhibit 26 to the Complaint, issued on November 5, 2013. Amgen denies that the '869 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 252.

253. The allegations of paragraph 253 contain legal conclusions that require no response, and on that basis Amgen denies them.

254. The allegations of paragraph 254 contain legal conclusions that require no

response, and on that basis Amgen denies them.

255. Amgen admits the allegations of paragraph 255.

256. Amgen admits that the FDA approved Amgen's BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 256 are legal conclusions that require no response, and on that basis Amgen denies them.

257. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it "will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter." Amgen denies the remaining allegations of paragraph 257.

258. Amgen denies that it infringes the '869 patent. The remaining allegations of paragraph 258 contain legal conclusions that require no response, and on that basis Amgen denies them.

259. Amgen denies the allegations of paragraph 259.

260. Amgen denies the allegations of paragraph 260.

**COUNT 22**  
**(INFRINGEMENT OF THE '302 PATENT)**

261. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

262. Amgen admits that U.S. Patent No. 8,633,302 ("the '302 patent"), a copy of which is attached as Exhibit 27 to the Complaint, issued on January 21, 2014. Amgen denies that the '302 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 262.

263. The allegations of paragraph 263 contain legal conclusions that require no response, and on that basis Amgen denies them.

264. The allegations of paragraph 264 contain legal conclusions that require no response, and on that basis Amgen denies them.

265. Amgen admits the allegations of paragraph 265.

266. Amgen admits that the FDA approved Amgen's BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 266 are legal conclusions that require no response, and on that basis Amgen denies them.

267. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(D)(8)(A) that it "will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter." Amgen denies the remaining allegations of paragraph 267.

268. Amgen denies that it infringes the '302 patent. The remaining allegations of paragraph 268 contain legal conclusions that require no response, and on that basis Amgen denies them.

269. Amgen denies the allegations of paragraph 269.

270. Amgen denies the allegations of paragraph 270.

**COUNT 23**  
**(INFRINGEMENT OF THE '196 PATENT)**

271. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

272. Amgen admits that U.S. Patent No. 8,710,196 ("the '196 patent"), a copy of which is attached as Exhibit 28 to the Complaint, issued on April 29, 2014. Amgen denies that the '196 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 272.

273. The allegations of paragraph 273 contain legal conclusions that require no

response, and on that basis Amgen denies them.

274. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

275. Amgen admits the allegations of paragraph 275.

276. Amgen admits that the FDA approved Amgen's BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 276 are legal conclusions that require no response, and on that basis Amgen denies them.

277. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it "will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter." Amgen denies the remaining allegations of paragraph 277.

278. Amgen denies that it infringes the '196 patent. The remaining allegations of paragraph 278 contain legal conclusions that require no response, and on that basis Amgen denies them.

279. Amgen denies the allegations of paragraph 279.

280. Amgen denies the allegations of paragraph 280.

**COUNT 24**  
**(INFRINGEMENT OF THE '035 PATENT)**

281. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

282. Amgen denies that U.S. Patent No. 9,441,035 ("the '035 patent"), a copy of which is attached as Exhibit 29 to the Complaint, issued on September 13, 2003, the date alleged in paragraph 282 of the Complaint. Amgen denies that the '035 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 282.

283. The allegations of paragraph 283 contain legal conclusions that require no response, and on that basis Amgen denies them.

284. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

285. Amgen admits the allegations of paragraph 285.

286. Amgen admits that the FDA approved Amgen's BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 286 are legal conclusions that require no response, and on that basis Amgen denies them.

287. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it "will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter." Amgen admits that before April 2018 it performed manufacturing activities relating to ABP 215 falling within the safe harbor of 35 U.S.C. § 271(e)(1). Amgen denies the remaining allegations of paragraph 287.

288. The allegations of paragraph 288 contain legal conclusions that require no response, and on that basis Amgen denies them.

289. Amgen denies the allegations of paragraph 289.

290. Amgen denies the allegations of paragraph 290.

**COUNT 25**  
**(INFRINGEMENT OF THE '809 PATENT)**

291. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

292. Amgen admits that U.S. Patent No. 9,487,809 ("the '809 patent"), a copy of which is attached as Exhibit 30 to the Complaint, issued on November 8, 2016. Amgen denies that the '809 patent was duly and legally issued. Amgen denies the remaining allegations of

paragraph 292.

293. The allegations of paragraph 293 contain legal conclusions that require no response, and on that basis Amgen denies them.

294. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

295. Amgen admits the allegations of paragraph 295.

296. Amgen admits that the FDA approved Amgen's BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations in paragraph 296 are legal conclusions that require no response, and on that basis Amgen denies them.

297. Amgen admits that, on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it "will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter." Amgen denies the remaining allegations of paragraph 297.

298. Amgen denies that it infringes the '809 patent. The remaining allegations of paragraph 298 contain legal conclusions that require no response, and on that basis Amgen denies them.

299. Amgen denies the allegations of paragraph 299.

300. Amgen denies the allegations of paragraph 300.

**COUNT 26**  
**(DECLARATORY JUDGMENT AS TO 42 U.S.C. § 262(l)(9)(C))**

301. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

302. The allegations of paragraph 302 contain legal conclusions that require no response, and on that basis Amgen denies them.

303. Amgen admits that it submitted its BLA for ABP 215 under 42 U.S.C. § 262(k), and that its BLA was approved by the FDA. The remaining allegations in paragraph 303 contain legal conclusions that require no response, and on that basis Amgen denies them.

304. Amgen admits that on January 23, 2017, it provided to Genentech its BLA for ABP 215, together with additional materials describing the manufacturing process for ABP 215. Amgen admits that on January 13, 2017, Genentech asked Amgen to provide information “in addition to the ABP 215 aBLA . . . irrespective of whether it is contained in the aBLA.” Amgen admits that subsequent to January 23, 2017, Genentech continued to demand the production of additional, unspecified information and materials relating to the manufacture of ABP 215. Amgen denies that it had any obligation under the BPCIA or otherwise to respond to or comply with Genentech’s demands. Amgen denies the remaining allegations of paragraph 304.

305. Amgen admits that it satisfied any obligations it had to Genentech pursuant to 42 U.S.C. § 262(l)(2)(A) on January 23, 2017, by, for example, providing its BLA for ABP 215 and additional materials relating to the manufacture of APB 215. Amgen admits that the materials it provided to Genentech were sufficient to enable Genentech to undertake its obligations under 42 U.S.C. § 262(l)(3)(A). Amgen denies the remaining allegations of paragraph 305.

306. Amgen admits that Genentech has disputed and now still disputes Amgen’s compliance with 42 U.S.C. § 262(l)(2)(A). Amgen denies the remaining allegations of paragraph 306.

307. The allegations of paragraph 307 contain legal conclusions that require no response, and on that basis Amgen denies them.

308. Amgen denies the allegations of paragraph 308.

**COUNT 27**  
**(DECLARATORY JUDGMENT AS TO 42 U.S.C. § 262(l)(9)(B))**

309. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

310. The allegations of paragraph 310 contain legal conclusions that require no response, and on that basis Amgen denies them.

311. Amgen admits that Genentech purported to provide Amgen with a list of patents on March 24, 2017, pursuant to 42 U.S.C. § 262(l)(3)(A). Amgen denies the remaining allegations of paragraph 311.

312. Amgen admits that on May 23, 2017, it provided its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B)(ii)(I) that described on a claim-by-claim basis factual and legal bases of Amgen's opinion that the patents identified therein are invalid, unenforceable, and/or will not be infringed by the commercial marketing of ABP 215. Amgen denies the remaining allegations of paragraph 312.

313. Amgen admits that Genentech has disputed and now still disputes Amgen's compliance with 42 U.S.C. § 262(l)(3)(B)(ii)(I). Amgen denies the remaining allegations of paragraph 313.

314. The allegations of paragraph 314 contain legal conclusions that require no response, and on that basis Amgen denies them.

315. Amgen denies the allegations of paragraph 315.

**COUNT 28**  
**(DECLARATORY JUDGMENT AS TO 42 U.S.C. § 262(l)(9)(B))**

316. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

317. The allegations of paragraph 317 contain legal conclusions that require no

response, and on that basis Amgen denies them.

318. Amgen admits that in July 2017, Genentech purported to provide Amgen with a statement pursuant to 42 U.S.C. § 262(l)(3)(C). Amgen denies that Genentech complied with the requirements of 42 U.S.C. § 262(l)(3)(C). Amgen denies the remaining allegations of paragraph 318.

319. Amgen denies the allegations of paragraph 319.

320. Amgen denies the allegations of paragraph 320.

321. Amgen admits that on October 6, 2017, it filed a declaratory judgment action against Genentech and City of Hope in the Central District of California, seeking a declaratory judgment of invalidity, unenforceability, and/or noninfringement of 27 patents and that all of these 27 patents were included on the list of patents Genentech provided to Amgen on March 24, 2017. Amgen admits that on October 6, 2017, it sent Genentech a letter regarding its availability for negotiations pursuant to 42 U.S.C. § 262(l)(5). Amgen denies the remaining allegations of paragraph 321.

322. The allegations of paragraph 322 contain legal conclusions that require no response, and on that basis Amgen denies them.

323. Amgen denies the allegations of paragraph 323.

**COUNT 29**  
**(DECLARATORY JUDGMENT AS TO 42 U.S.C. § 262(l)(9)(B))**

324. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

325. The allegations of paragraph 325 contain legal conclusions that require no response, and on that basis Amgen denies them.

326. Amgen admits that on May 23, 2017, it sent Genentech a letter that included the following statement:



Amgen admits that [REDACTED] is the latest expiration date identified in its May 23, 2017 letter. Amgen denies the remaining allegations of paragraph 326.

327. Amgen lacks knowledge or information sufficient to form a belief as to the truth of the allegations in paragraph 327, and on that basis denies them.

328. Amgen admits that on October 6, 2017, it sent Genentech a letter (attached to the Complaint as Exhibit 31) stating, among other things, that “Pursuant to 42 U.S.C. § 262(l)(8)(A), Amgen hereby provides notice that it will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter.” Amgen denies the remaining allegations of paragraph 328.

329. Amgen admits that April 4, 2018 is 180 days after October 6, 2017, and is earlier than [REDACTED]. Amgen denies the remaining allegations of paragraph 329.

330. Amgen admits that on October 9, 2017, Genentech sent a letter (attached to the

Complaint as Exhibit 4) stating, among other things, Genentech's view that "Amgen made a representation pursuant to 42 U.S.C. § 262(l)(3)(B)(ii)(II) that it will not market Mvasi™ any sooner than [REDACTED]. Please confirm that Amgen will honor its statutory commitment and not attempt to commercialize Mvasi™ before that date." Amgen denies the remaining allegations of paragraph 330.

331. Amgen admits that on October 9, 2017, it sent Genentech a letter (attached to the Complaint as Exhibit 5) stating, among other things, that "We made no such 'commitment' as characterized in your letter. Please let us know the basis for your characterization that our statement under § 262(l)(3)(B)(ii)(II) is a 'statutory commitment' that we will not market Mvasi™ prior to a date certain." Amgen denies the remaining allegations of paragraph 331.

332. Amgen admits that on October 6, 2017, Amgen sent a letter to Genentech providing notice pursuant to 42 U.S.C. § 262(l)(8)(A) that "it will commence commercial marketing of Mvasi™ (a/k/a ABP215) no earlier than 180 days from the date of this letter." Amgen denies the remaining allegations of paragraph 332.

333. Amgen denies the allegations of paragraph 333.

334. The allegations of paragraph 334 contain legal conclusions that require no response, and on that basis Amgen denies them.

335. Amgen denies the allegations of paragraph 335.

**COUNT 30**  
**(DECLARATORY JUDGMENT THAT AMGEN MAY NOT MARKET PRIOR TO**

336. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

337. Pursuant to the Court's memorandum and order dated April 17, 2018, Dkt. 84–85, Count 30 has been dismissed and therefore no response is required.

338. Pursuant to the Court's memorandum and order dated April 17, 2018, Dkt. 84–85, Count 30 has been dismissed and therefore no response is required.

339. Pursuant to the Court's memorandum and order dated April 17, 2018, Dkt. 84–85, Count 30 has been dismissed and therefore no response is required.

340. Pursuant to the Court's memorandum and order dated April 17, 2018, Dkt. 84–85, Count 30 has been dismissed and therefore no response is required.

341. Pursuant to the Court's memorandum and order dated April 17, 2018, Dkt. 84–85, Count 30 has been dismissed and therefore no response is required.

342. Pursuant to the Court's memorandum and order dated April 17, 2018, Dkt. 84–85, Count 30 has been dismissed and therefore no response is required.

343. Pursuant to the Court's memorandum and order dated April 17, 2018, Dkt. 84–85, Count 30 has been dismissed and therefore no response is required.

344. Pursuant to the Court's memorandum and order dated April 17, 2018, Dkt. 84–85, Count 30 has been dismissed and therefore no response is required.

345. Pursuant to the Court's memorandum and order dated April 17, 2018, Dkt. 84–85, Count 30 has been dismissed and therefore no response is required.

346. Pursuant to the Court's memorandum and order dated April 17, 2018, Dkt. 84–85, Count 30 has been dismissed and therefore no response is required.

**COUNT 31**  
**(INFRINGEMENT OF THE '672 PATENT)**

347. Amgen incorporates its responses to each of the preceding paragraphs as if fully set forth herein.

348. Amgen admits that U.S. Patent No. 9,795,672 (“the ‘672 patent”) issued on October 24, 2017. Amgen denies that the ‘672 patent was duly and legally issued. Amgen denies the remaining allegations of paragraph 348.

349. The allegations of paragraph 349 contain legal conclusions that require no response, and on that basis Amgen denies them.

350. The allegations of paragraph 350 contain legal conclusions that require no response, and on that basis Amgen denies them.

351. Amgen admits that on November 2, 2017, Genentech purported to provide, under 42 U.S.C. § 262(l)(7), a supplement to its purported list under 42 U.S.C. § 262(l)(3)(A) to include U.S. Patent No. 9,795,672, and at that time, Genentech provided a copy of U.S. Patent No. 9,795,672. Amgen admits that Amgen provided to Genentech, at least by email dated December 1, 2017, a detailed statement that satisfied any obligation Amgen had pursuant to 42 U.S.C. § 262(l)(7)(B) and § 262(l)(3)(B). Amgen denies the remaining allegations of paragraph 351.

352. Amgen admits that the FDA approved Amgen’s BLA application for Mvasi™ (bevacizumab-awwb) on September 14, 2017. The remaining allegations of paragraph 352 are legal conclusions that require no response, and on that basis Amgen denies them.

353. Amgen denies the allegations of paragraph 353.

354. Amgen admits that claim 1 of the ‘672 patent includes the words “a method of treating cancer in a patient comprising administering to the patient an effective amount of bevacizumab.” Amgen admits that MVASI is approved for the particular indications listed in Section 1 of the MVASI Label, which is titled “Indications and Usage.” Amgen admits that Section 2 of the MVASI Label is titled “Dosage and Administration.” Amgen denies the

remaining allegations of paragraph 354.

355. Amgen admits that claim 1 of the '672 patent includes the words “wherein the patient has a grade III hypertensive event resulting from the bevacizumab administration.” Amgen admits that Section 5.7 of the MVASI Label is titled “Hypertension.” Amgen denies the remaining allegations of paragraph 355.

356. Amgen admits that claim 1 of the '672 patent includes the words “the method further comprising administering to the patient an antihypertensive agent in an amount sufficient to manage the grade III hypertensive event.” Amgen admits that Section 5.7 of the MVASI Label is titled “Hypertension.” Amgen denies the remaining allegations of paragraph 356.

357. Amgen admits that Section 5.7 of the MVASI Label is titled “Hypertension.” Amgen denies that the quoted language in the first sentence of paragraph 357 appears in claim 1 of the '672 patent. Amgen lacks knowledge or information sufficient to form a belief as to the truth of the allegations relating to “Sections 2,4” and on that basis denies them. Amgen denies the remaining allegations of paragraph 357.

358. Amgen denies the allegations of paragraph 358.

359. Amgen admits that on December 1, 2017, Amgen provided to Genentech a detailed statement satisfying any obligations Amgen may have had pursuant to 42 U.S.C. § 262(l)(7)(B) and § 262(l)(3)(B). Amgen denies the remaining allegations of paragraph 359.

360. Amgen denies the allegations of paragraph 360.

361. Amgen admits that on October 6, 2017, Amgen provided notice pursuant to 42 U.S.C. § 262(l)(8)(A) that it “will commence commercial marketing of Mvasi<sup>TM</sup> (a/k/a ABP215) no earlier than 180 days from the date of this letter.” Amgen denies the remaining allegations of paragraph 361.

362. Amgen denies the allegations of paragraph 362.

363. Amgen denies the allegations of paragraph 363.

364. Amgen denies the allegations of paragraph 364.

365. Amgen denies the allegations of paragraph 365.

366. Amgen denies the allegations of paragraph 366.

#### **ANSWER TO PRAYER FOR RELIEF**

Plaintiffs' prayer for relief does not require a response. To the extent a response is required, Amgen denies that Plaintiffs are entitled to any remedy or relief.

#### **AFFIRMATIVE DEFENSES**

Without admitting or implying that Amgen bears the burden of proof or burden of persuasion as to any of them, Amgen, on information and belief, asserts the following defenses:

##### **FIRST AFFIRMATIVE DEFENSE (Failure to State a Claim)**

1. Plaintiffs' Complaint fails to state a claim upon which relief can be granted.

##### **SECOND AFFIRMATIVE DEFENSE (Lack of Subject Matter Jurisdiction)**

2. Plaintiffs' Complaint fails to state a claim for which this Court has subject matter jurisdiction.

##### **THIRD AFFIRMATIVE DEFENSE (Invalidity)**

3. The patents-in-suit, and each of the claims thereof, are invalid for failure to comply with one or more conditions of patentability set forth in 35 U.S.C. § 1, et. seq., including one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in

Amgen's detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B), which are incorporated by reference as if fully set forth herein.

**FOURTH AFFIRMATIVE DEFENSE  
(No Infringement)**

4. Amgen has not, does not, and will not directly or indirectly infringe, either literally or under the doctrine of equivalents, any valid and enforceable claim of the patents-in-suit, for at least the reasons set forth in Amgen's detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B), which are incorporated by reference as if fully set forth herein.

**FIFTH AFFIRMATIVE DEFENSE  
(Safe Harbor)**

5. Amgen is exempt from liability under the safe harbor of 35 U.S.C. § 271(e)(1), including to the extent Plaintiffs claim that the manufacture and clinical use of ABP 215 is an act of infringement.

**SIXTH AFFIRMATIVE DEFENSE  
(No Willfulness)**

6. Amgen has not willfully infringed any claim of the patents-in-suit.

**SEVENTH AFFIRMATIVE DEFENSE  
(No Recovery of Costs)**

7. Plaintiffs are barred by 35 U.S.C. § 288 from recovering any costs associated with this action.

**EIGHTH AFFIRMATIVE DEFENSE  
(No Exceptional Case)**

8. Amgen's actions in defending this case do not give rise to an exceptional case under 35 U.S.C. § 285 or 35 U.S.C. § 271(e)(4).

**NINTH AFFIRMATIVE DEFENSE  
(No Equitable Relief)**

9. Plaintiffs are not entitled to any preliminary or permanent equitable relief.

**TENTH AFFIRMATIVE DEFENSE  
(No Standing)**

10. Plaintiffs lack standing to assert one or more patents-in-suit.

**ELEVENTH AFFIRMATIVE DEFENSE  
(BPCIA Compliance by Amgen)**

11. Amgen has complied with the procedures of the BPCIA.

**TWELFTH AFFIRMATIVE DEFENSE  
(Waiver, Estoppel)**

12. Plaintiffs' Complaint, and each of its purported causes of action, is barred in whole or in part by the doctrines of waiver and/or estoppel.

**THIRTEENTH AFFIRMATIVE DEFENSE  
(Failure to Mitigate)**

13. Plaintiffs have failed to mitigate the harm they claim to have sustained, if any.

**FOURTEENTH AFFIRMATIVE DEFENSE  
(Unclean Hands)**

14. Plaintiffs' Complaint, and each of its purported causes of action, is barred by Plaintiffs' unclean hands, in view of at least the reasons relating to Genentech's inequitable conduct.

**FIFTEENTH AFFIRMATIVE DEFENSE  
(Inequitable Conduct)**

15. Plaintiffs' Complaint, and each of its purported causes of action, is barred by Plaintiffs' inequitable conduct. During the prosecution of the '213 patent, Genentech made misrepresentations and omissions material to patentability and did so with the specific intent to mislead or deceive the Patent Office.

16. Genentech deliberately misrepresented the teachings of U.S. Patent No. 5,530,101 ("the '101 patent") to the Patent Office in order to overcome a rejection based on that reference.

Specifically, Genentech told the Examiner that the '101 patent does not use the Kabat numbering system, despite its repeated references to “numbering according to Kabat” and “the Kabat system.”

17. Genentech also made deliberate misrepresentations and omissions regarding Queen 1989, including (i) falsely distinguishing Queen 1989 on the ground that it used “sequential numbering,” as opposed to the Kabat numbering system; and (ii) providing information at the request of the Examiner that conspicuously omitted a key residue (“62L”) disclosed in the prior art. Deceptive intent by Genentech is the single most reasonable inference to be drawn from the prosecution history and all other available evidence.

18. On November 17, 1993, Genentech filed its patent application with claims requiring substitutions at specific locations, including positions “62L” and “93H.” On December 9, 1994, the Examiner issued a Non-Final Rejection, rejecting the claims as obvious under § 103 over EP 0239400, Queen 1989, Riechmann 1988.

19. On June 12, 1995, Genentech amended the pending claims and deleted references to amino acid position “62L.”

20. Following a final rejection and an Examiner interview, the case was transferred to a different Examiner and a new non-final rejection issued on December 23, 1996. The new Examiner maintained all prior rejections and further rejected the pending claims as anticipated by the '101 patent.

21. In response to the non-final rejection, Genentech once again amended the pending claims on June 27, 1997, adding amino acid position “62L” back into the claims.

22. On October 7, 1997, Genentech argued in its remarks to the Patent Office that Queen 1989 and the '101 patent were distinguishable because they “use sequential numbering for the variable domain residues of the antibodies described in these references, whereas the claims of the instant application use Kabat numbering for the framework region residues.” Genentech repeated the same argument later in the prosecution of the '213 patent to distinguish Queen 1989 and the '101 patent with specific reference to residue “93H”:

Applicants point out that – as explained earlier in prosecution – the substituted 93 FR residue in the cited references [Queen 1989 and the '101 patent] is not 93H 'utilizing the numbering system set forth in Kabat' (see page 13, line 33 through to line 22 on page 14 of the present application) as required by claims 115-117, 123 and 127 of the present application. In particular, as noted on page 6 of the amendment hand carried to the Office on 10/7/97, residue no. 93 in the heavy chain of the anti-Tac antibody in the cited references, is actually 89H utilizing the numbering system set forth in Kabat. The cited references use a sequential numbering system, rather than the Kabat numbering system claimed herein.

(See Applicant Remarks, dated Apr. 26, 2001, at 7.)

23. On December 11, 2001, the Examiner indicated during an interview that the pending claims were allowable.

24. Contrary to Genentech's representations to the Patent Office—namely, that the '101 patent does not use the Kabat numbering system—the '101 patent states: "Residues are numbered according to the Kabat system (E. A. Kabat et al., Sequences of Proteins of Immunological Interest (National Institutes of Health, Bethesda, Md.) (1987)." ('101 patent at 9:13–18.) In addition, the '101 patent expressly refers to "numbering according to Kabat, op. cit." with specific reference to position 93 in the heavy chain. (See *id.* at 15:17–37.) Moreover, Table 5 of the '101 patent refers to residue "H93," with explicit reference to numbering "according to the Kabat system," as shown below:

TABLE 5

Residues in the framework sequence showing contacts with  
residues in the hypervariable regions.

Residue No. <sup>1</sup>	Amino Acid	Contacting CDR residues <sup>2</sup>
<u>Fd79</u>		
L49	Lys	L50Y, L53N, L55E, H99D, H100Y
H93	Leu	H35S, H37V, H100CF
<u>Fd138-80</u>		
L36	His	L34V, L89Q
H27	Tyr	H32H, H34I
H30	Tyr	H32H, H53R
H48	Phe	H63F
H66	Lys	H63F
H67	Ala	H63F

1. The amino acid residues are numbered according to the Kabat system (E. A. Kabat et al., Sequences of Proteins of Immunological Interest, National Institutes of Health, Bethesda, MD (1987)); the first letter (H or L) stands for the heavy chain or light chain. The following number is the residue number. The last letter is the amino acid one letter code.

2. The hypervariable regions are defined according to Kabat: Light chain CDR1: residue 24–34; CDR2: 50–56; CDR3: 89–97. Heavy chain CDR1: 31–35; CDR2: 50–65; CDR3: 95–102.

25. In order to overcome the § 102 rejection based on the '101 patent, Genentech falsely represented to the Patent Office that the '101 patent used sequential numbering, while arguing that the “claims of the instant application use Kabat numbering for the framework region residues.” Genentech misrepresented the teachings of the '101 patent, despite clear and repeated references in the '101 patent to the Kabat numbering system. Absent Genentech’s false and misleading distinction, the Examiner had no reason to withdraw the § 102 rejection based on the '101 patent.

26. Genentech also made deliberate and material misrepresentations and omissions regarding Queen 1989 during the prosecution of the '213 patent. Genentech distinguished Queen 1989 on the ground that it used “sequential numbering,” as opposed to the Kabat numbering system. At the Examiner’s request, Genentech submitted a comparison of the different numbering systems purportedly utilized in Queen 1989 and the pending claims.<sup>1</sup> The alignments

<sup>1</sup> See 10/7/97 Applicant Remarks at 6–10 (“As requested by the Examiner in the interview, alignments of heavy chain variable domain (Exhibit A) and light chain variable domain (Exhibit

provided by Genentech to the Examiner conspicuously omitted the “62L” residue in both numbering systems. As noted above, residue “62L” was recited in then-pending claims of the ’213 patent, and Queen 1989 expressly discloses “residues at positions corresponding to . . . 47 and 62 of the light chain (Fig. 2).” (See Queen 1989 at 10032.) Importantly, Queen 1989 discloses residues in the Kabat numbering system and, in particular, residue “62 of the light chain.”

**SIXTEENTH AFFIRMATIVE DEFENSE  
(Failure to Mark)**

27. Plaintiffs are barred from recovering damages for any alleged patent infringement because Plaintiffs have made, offered for sale, or sold within the United States a patented article—Avastin®—without giving proper notice to the public that the same is patented. 35 U.S.C. § 287.

**SEVENTEENTH AFFIRMATIVE DEFENSE  
(No Remedy Under 35 U.S.C. § 271(e)(4))**

28. Plaintiffs are barred from any of the remedies provided under 35 U.S.C. § 271(e)(4) to the extent that any patent they allege Amgen has infringed has expired, or will have expired prior to Amgen engaging in any commercial manufacture, use, offer to sell, or sale within the United States, or importation into the United States, of ABP 215.

**OTHER AFFIRMATIVE DEFENSES RESERVED**

As Amgen’s investigation is ongoing and discovery has not yet been completed, Amgen is without complete information regarding the existence or non-existence of other facts or acts that would constitute a defense to the purported causes of action in Plaintiffs’ Complaint. Accordingly, Amgen reserves the right to assert any other defenses that discovery may reveal.

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B) sequences of the 101 patent (including the sequences for the murine and humanized anti-Tac antibody of Queen *et al.*) with sequential and Kabat residue numbering is attached.”).

## **COUNTERCLAIMS**

Amgen submits these counterclaims against Plaintiffs Genentech, Inc. and City of Hope:

### **THE PARTIES**

1. Counterclaim-Plaintiff Amgen is a company organized and existing under the laws of the State of Delaware with its corporate headquarters at One Amgen Center Drive, Thousand Oaks, CA 91320.

2. As alleged in Plaintiffs' Complaint, Genentech, Inc. is a corporation organized under the laws of the State of Delaware, with its principal place of business at 1 DNA Way, South San Francisco, CA 94080.

3. As alleged in Plaintiffs' Complaint, City of Hope is a California not-for-profit organization, with its principal place of business at 1500 East Duarte Road, Duarte CA 91010.

### **JURISDICTION AND VENUE**

4. Amgen's Counterclaims arise under the patent laws of the United States, Title 35 of the United States Code, and the Declaratory Judgment Act, 28 U.S.C. §§ 2201-2202. This Court has subject matter jurisdiction over Amgen's Counterclaims under 28 U.S.C. §§ 1331 and 1338.

5. Venue in this case is proper in this District because Genentech, Inc. is a Delaware corporation, and by virtue of Plaintiffs' filing of the Complaint in this District, which gave rise to these Counterclaims. Plaintiffs contend in their Complaint that venue is proper in this District.

### **FACTUAL BACKGROUND**

6. Amgen has been a biotechnology pioneer since the 1980s, discovering, developing, manufacturing, and delivering innovative and important human therapeutic products. Since its inception, Amgen has focused on the development of biologic drugs. Unlike most

traditional drugs that are synthesized chemically and have a known structure, biologic drugs are “complex mixtures that are not easily identified or characterized” and represent “the cutting-edge of biomedical research.” FDA, What are “Biologics” Questions and Answers (Aug. 5, 2015), <http://www.fda.gov/aboutfda/centersoffices/officeofmedicalproductsandtobacco/cber/ucm133077.htm>. Because of their complexity, biologic drugs require substantially more effort, monetary resources and technical expertise to develop than traditional drugs that are synthesized chemically.

7. Over the last nearly 40 years and still today, Amgen’s unparalleled experience and expertise in biologics research, development and manufacture has enabled it to develop biologic drugs to treat serious illnesses where there has previously been unmet medical needs and limited treatment options. These medicines have dramatically changed the treatment of disease and the lives of patients with these life-altering and life-threatening diseases. Since its inception, Amgen has developed a number of biologic medicines that have changed the standard of care, two of which have been named Product of the Year by Fortune Magazine and many which have received scientific and industry awards in recognition of Amgen’s innovation. Over the last twenty years alone, Amgen received FDA approval of at least thirteen drugs that have addressed serious illnesses of patients.

8. In 2011, Amgen announced that it would develop and commercialize several oncology antibody biosimilar drugs, including biosimilar versions of Genentech’s Avastin®, Herceptin®, and Rituxan®. In the announcement, Amgen recognized that “the development and commercialization of biosimilar products will not follow a pure brand or generic model, and will require significant expertise, infrastructure, and investment to ensure safe, reliably supplied therapies for patients.” Amgen and Watson Announce Collaboration to Develop and

Commercialize Oncology Biosimilars, Media Release (Dec. 19, 2011), <http://www.amgenbiosimilars.com/media/media-releases/2011/12/amgen-and-watson-announce-collaboration-to-develop-and-commercialize-oncology-biosimilars/>.

9. Since its original announcement regarding biosimilars, Amgen has devoted significant time, effort, and substantial monetary resources to the development of Mvasi™. With its deep experience in biologics development and manufacture, Amgen developed materials that have been and will be used to make Mvasi™, including its proprietary cell line and cell culture used to produce the antibody that is the active ingredient of Mvasi™ (“Mvasi™ antibody”). Amgen also designed the manufacturing process and process controls that have been and will be used to make Mvasi™, including, among other things, developing the cell culture, harvest, and numerous purification steps to manufacture and purify the Mvasi™ antibody. Amgen also conducted numerous clinical studies in which it successfully tested Mvasi™ in humans. In the end, Amgen generated comprehensive analytical, pharmacokinetic, pharmacodynamic and clinical data that was submitted to the FDA as part of the FDA-approval process and that is the basis for the FDA’s ultimate approval of Mvasi™.

#### **Congress Enacts Legislation Creating a Regulatory Pathway for Biosimilar Biological Products**

10. By amending the Public Health Service Act, the Patent Act, and the Declaratory Judgment Act, and through the Biologics Price Competition and Innovation Act of 2009 (the “BPCIA”), Congress created a new pathway for FDA review and approval of “biosimilar” biological products, as well as new mechanisms to resolve patent disputes that may arise with respect to such products.

11. The BPCIA governs a type of drug called a biosimilar, which is a biologic product that is highly similar to a biologic product that has already been approved by the Food

and Drug Administration (FDA).” *Sandoz Inc. v. Amgen Inc.*, 137 S. Ct. 1664, 1669 (2017).

12. The BPCIA sets forth an abbreviated pathway for FDA approval of biosimilars. 42 U.S.C. § 262(k). To obtain approval through the BPCIA’s abbreviated process, an applicant must show that its biosimilar product is “highly similar” to the reference product and that there are no “clinically meaningful differences” between the two products in terms of “safety, purity, and potency.” 42 U.S.C. § 262(k)(2). Under the BPCIA, an applicant may not submit an application until 4 years after the reference product is first licensed, and the FDA may not license a biosimilar until 12 years after the reference product is first licensed. 42 U.S.C. § 262(k)(7).

13. The reference product sponsor may have patents relating to the biological product, as well as therapeutic uses for and/or processes used to manufacture the biological product that it believes may be relevant to the biosimilar product. In recognition that there may be patent disputes between the reference product sponsor and the biosimilar applicant, “[t]he BPCIA sets forth a carefully calibrated scheme for preparing to adjudicate, and then adjudicating, claims of [patent] infringement.” *Sandoz*, 137 S. Ct. at 1671 (citing 42 U.S.C. § 262(l)).

14. The BPCIA describes a process whereby the reference product sponsor and the biosimilar applicant exchange information in advance of a specific and statutorily prescribed action for patent infringement. *First*, the process begins when the applicant provides “a copy of the application submitted to the Secretary under subsection (k), and such other information that describes the process or processes used to manufacture the biological product that is the subject of such application.” 42 U.S.C. § 262(l)(2)(A). In addition, the applicant “may provide to the reference product sponsor additional information requested by or on behalf of the reference product sponsor.” 42 U.S.C. § 262(l)(2)(B). *Second*, the BPCIA states that the reference product sponsor shall provide “a list of patents for which the reference product sponsor believes

a claim of patent infringement could reasonably be asserted by the reference product sponsor . . . if a person not licensed by the reference product sponsor engaged in the making, using, offering to sell, selling, or importing into the United States of the biological product that is the subject of the subsection (k) application.” 42 U.S.C. § 262(l)(3)(A). *Third*, the BPCIA requires the applicant to provide a “detailed statement that describes, on a claim by claim basis, the factual and legal basis of the opinion of the subsection (k) applicant that such patent is invalid, unenforceable, or will not be infringed by the commercial marketing of the biological product that is the subject of the subsection (k) application.” 42 U.S.C. § 262(l)(3)(B)(ii)(I). Alternatively, the applicant can provide “a statement that the subsection (k) applicant does not intend to begin commercial marketing of the biological product before the date that such patent expires.” 42 U.S.C. § 262(l)(3)(B)(ii)(II). *Last*, the BPCIA states that the reference product sponsor “shall provide to the subsection (k) applicant a detailed statement that describes, with respect to each patent described in subparagraph (B)(ii)(I), on a claim by claim basis, the factual and legal basis of the opinion of the reference product sponsor that such patent will be infringed by the commercial marketing of the biological product that is the subject of the subsection (k) application and a response to the statement concerning validity and enforceability provided under subparagraph (B)(ii)(I).” 42 U.S.C. § 262(l)(3)(C).

15. Following the information exchange, the BPCIA requires the reference product sponsor and the applicant to engage in “good faith negotiations to agree on which, if any, patents listed under paragraph (3) by the subsection (k) applicant or the reference product sponsor shall be the subject of an action for patent infringement under paragraph (6) [of the statute].” 42 U.S.C. § 262(l)(4). If agreement cannot be reached, the statute provides for a mechanism of further exchanges to determine which patent(s) will be the subject of a paragraph (6) patent

litigation. 42 U.S.C. § 262(l)(4)(B)-(5). While the procedure and timing depend on whether the reference product sponsor and the applicant can reach agreement, the process may result in a statutorily defined action for patent infringement. 42 U.S.C. § 262(l)(6).

16. Paragraph (l)(8) of the BPCIA states that “[t]he subsection (k) applicant shall provide notice to the reference product sponsor not later than 180 days before the date of the first commercial marketing of the biological product licensed under subsection (k).” 42 U.S.C. § 262(l)(8)(A). Once the applicant’s notice of commercial marketing is received by the reference product sponsor, any limitation under the BPCIA on bringing an action under section 2201 of title 28 for a declaration of rights concerning patent infringement, validity and/or enforceability is lifted. 42 U.S.C. § 262(l)(9).

**The Parties’ Exchanges Following the Filing of Amgen’s Subsection (k)  
Application for Approval of Its Biosimilar Product**

17. According to the FDA’s “Purple Book,” Genentech’s Avastin® brand of bevacizumab was first approved on February 26, 2004.

18. On November 14, 2016, Amgen filed its Biologics License Application (“BLA”) for Mvasi™ pursuant to 42 U.S.C. § 262(k). Amgen’s BLA was filed after the expiration of the 4 year and 12 year statutory periods provided by 42 U.S.C. § 262(k)(7). Amgen received notification from the FDA that its BLA had been accepted for review on January 4, 2017.

19. Genentech wrote a letter to Amgen following the FDA’s acceptance of Amgen’s BLA. In this letter, dated January 13, 2017, Genentech requested vaguely defined information relating to the processes used in the production of Mvasi™ “irrespective of whether it is contained in the aBLA.” The letter also purported to include “exemplary citations” to approximately 30 patents, including several which, upon information and belief, were not assigned or exclusively licensed to Genentech.

20. One week later, on January 20, 2017, Amgen timely sent to Genentech its disclosure pursuant to 42 U.S.C. § 262(l)(2)(A). Amgen's § 262(l)(2)(A) disclosure contained, *inter alia*, extensive information regarding the manufacturing processes used to make Mvasi™. In fact, Amgen provided Genentech more than a million pages of technical details and batch records describing, among other things, (i) the source, history, and generation of the cell substrate, (ii) the cell culture and harvest process, (iii) each and every purification process step, and (iv) the raw materials used during the manufacture of Mvasi™.

21. Together with Amgen's § 262(l)(2)(A) disclosure, Amgen sent a letter to Genentech communicating Amgen's good-faith belief that its disclosure contained sufficiently detailed information regarding its biosimilar product and manufacturing processes, which "satisfie[d] Amgen's production obligations under 42 U.S.C. § 262(l)(2)(A) and enable[d] Genentech to undertake its obligations under 42 U.S.C. § 262(l)(3)(A)."

22. Thereafter, the parties exchanged additional correspondence. Genentech continued to insist that Amgen had an obligation to produce "all" documents relating to its manufacturing processes, regardless of whether the information was provided in or duplicative of the information already provided in Amgen's § 262(l)(2)(A) disclosure.

23. Amgen communicated its willingness to reasonably cooperate with Genentech in response to specific requests for non-cumulative information if Genentech believed it needed additional information to assist it in fulfilling its § 262(l)(3)(A) duties. Amgen wrote the following to Genentech in a letter dated January 25, 2017:

Amgen believes that its disclosure contains the information sufficient for Genentech to determine for which patents it can reasonably assert a claim of patent infringement pursuant to § 262(l)(3)(A). If, however, in your evaluation of Amgen's disclosure you believe that additional targeted information not already provided in Amgen's § 262(l)(2)(A) disclosure would be

helpful to Genentech in making its determination under § 262(l)(3)(A), we would be happy to discuss the production of such information if it is reasonably available to Amgen.

24. Instead of making any requests for targeted information as Amgen invited Genentech to do, Genentech filed suit against Amgen on February 15, 2017, in the District of Delaware, alleging that Amgen had violated the BPCIA, including alleged violations of 42 U.S.C. § 262(l)(2)(A). The Court dismissed Genentech's Complaint two weeks later. In its March 1, 2017 Order, the Court provided Genentech with 45 days to file an amended Complaint alleging patent infringement pursuant to 42 U.S.C. § 262(l)(9)(C) if Genentech, in fact, believed that Amgen had violated the BPCIA. As discussed further below, Genentech did not file an amended complaint.

25. Meanwhile, on March 24, 2017, Genentech provided Amgen with its list of patents purporting to comply with 42 U.S.C. § 262(l)(3)(A) ("the (3)(A) list") that Genentech "believe[d] could reasonably be asserted against Amgen's proposed ABP 215 product based upon a review of the product's aBLA filing." Genentech's (3)(A) list included a total of 27 patents, including the patents-in-suit.

26. On April 14, 2017, Genentech told the Court in the Delaware action that Genentech would not be filing an amended Complaint because "[w]e believe it is more efficient for the Court and the parties to address both the patent merits and Amgen's continued noncompliance with its statutory production obligations . . . after the Supreme Court's expected decision in June in *Amgen v. Sandoz*." Genentech, however, failed to inform the Court that it had already provided Amgen with its (3)(A) list. The Supreme Court subsequently issued its decision in the *Amgen v. Sandoz* case on June 12, 2017. Following the decision, Genentech again did not file a declaratory judgment action for patent infringement pursuant to 42 U.S.C.

§ 262(l)(9)(C), which, according to the Supreme Court, “excludes all other federal remedies, including injunctive relief,” for any alleged noncompliance with § 262(l)(2)(A).

27. Amgen fully responded to Genentech’s (3)(A) list by providing Genentech a statement pursuant to 42 U.S.C. § 262(l)(3)(B)(ii)(II), and further providing Genentech, pursuant to 42 U.S.C. § 262(l)(3)(B)(ii)(I), a 778-page detailed statement that describes on a claim-by-claim basis the factual and legal bases for Amgen’s opinion that patents included on Genentech’s (3)(A) list are not infringed and/or are invalid or unenforceable (Amgen’s “(3)(B) statement”). Amgen annotated its non-infringement positions with detailed citations to its BLA. Amgen timely provided its detailed statement to Genentech on May 23, 2017.

28. On July 22, 2017, Amgen received Genentech’s alleged statement pursuant to § 262(l)(3)(C) (Genentech’s “(3)(C) statement”). Even though the BPCIA required Genentech to provide, among other things, “on a claim by claim basis, the factual and legal basis of the opinion of the reference product sponsor that [each] patent [identified in Amgen’s (3)(B) statement] will be infringed by the commercial marketing of the biological product that is the subject of the subsection (k) application,” Genentech did not address all of the patents identified in Amgen’s (3)(B) statement. Specifically, Genentech did not provide any response to Amgen’s detailed statement for the ’553 patent or the ’516 patent, or claim 4 of the ’017 patent. In addition, Genentech provided no factual or legal basis to support a claim of infringement for 11 patents, and 2 claims of a twelfth patent, on its (3)(A) list, relying instead on its own unsupported assertion that Amgen violated § 262(l)(2)(A) to “justify” its position that Amgen’s commercial marketing of Mvasi™ would somehow infringe Genentech’s patents.

29. On September 6, 2017, Amgen wrote to Genentech regarding its non-compliance with § 262(l)(3)(C). For example, Amgen explained that “Genentech’s § 262(l)(3)(C) statement

fails to provide the requisite detailed factual and legal basis for its infringement contentions when . . . Genentech relies on Amgen’s alleged § 262(l)(2)(A) violation.” Amgen also explained that, according to recent Supreme Court precedent, any purported or perceived violation of § 262(l)(2)(A) is not an act of patent infringement and, therefore, cannot serve as the basis for Genentech’s continued assertion of 11 patents and 2 claims of a twelfth patent. *See Sandoz Inc. v. Amgen Inc.*, 137 S. Ct. 1664, 1674 (2017) (“Failing to disclose the application and manufacturing information under § 262(l)(2)(A) does not [constitute an act of infringement under 35 U.S.C. § 271(e)(2)].”) In view of Genentech’s failure to properly address the 13 patents and 3 claims described in Paragraph 27 above, Amgen requested that Genentech confirm that it would remove them from its (3)(A) list, or otherwise covenant that it would not assert them with respect to Mvasi™.

30. Genentech responded on September 8, 2017. In its letter, Genentech refused to withdraw any patents or claims from its (3)(A) list as previously requested by Amgen. Genentech also stated that, in spite of the issues raised regarding its compliance with § 262(l)(3)(C), “[w]e believe those contentions suffice.”

31. On September 14, 2017, the parties held an in-person meeting in Los Angeles, California to engage in good-faith negotiations under § 262(l)(4) regarding which patents on Genentech’s (3)(A) list shall be the subject of an action for patent infringement under § 262(l)(6). Genentech again told Amgen that it refused to withdraw any patents or claims from its (3)(A) list.

32. On the same day, the FDA approved Amgen’s Mvasi™ as a biosimilar to Genentech’s Avastin®, making it the first biosimilar approved in the United States for the treatment of cancer.

33. On September 29, 2017, the parties' negotiations under § 262(l)(4) ended without an agreement on a final and complete list of which, if any, patents on Genentech's (3)(A) list shall be the subject of an action for patent infringement under § 262(l)(6).

34. On October 6, 2017, Amgen sent a letter to Genentech providing notice pursuant to 42 U.S.C. § 262(l)(8)(A) that "it will commence commercial marketing of Mvasi<sup>TM</sup> (a/k/a ABP215) no earlier than 180 days from the date of this letter."

35. On October 6, 2017, Amgen filed a lawsuit in the Central District of California seeking declaratory judgment as to all patents identified on Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(A).

36. On October 9, 2017, Amgen sent a letter to Genentech providing notice pursuant to 42 U.S.C. § 262(l)(5)(A) that the number of patents that it believed should be the subject of an action for patent infringement under 42 U.S.C. § 262(l)(6) was 27.

#### **THE PATENTS-IN-SUIT**

37. U.S. Patent No. 6,054,297, titled "Humanized Antibodies and Methods for Making Them," issued on April 25, 2000. Upon information and belief, Genentech owns the '297 patent. The earliest possible priority date for the '297 patent is June 14, 1991. Upon information and belief, the '297 patent expired on February 26, 2018.

38. U.S. Patent No. 6,121,428, titled "Protein Recovery," issued on September 19, 2000. Upon information and belief, Genentech owns the '428 patent. The earliest possible priority date for the '428 patent is June 13, 1997. Upon information and belief, the '428 patent expires on June 12, 2018.

39. U.S. Patent No. 6,242,177, titled "Methods and Compositions for Secretion of Heterologous Polypeptides," issued on June 5, 2001. Upon information and belief, Genentech

owns the '177 patent. The earliest possible priority date for the '177 patent is March 1, 1995. Upon information and belief, the '177 patent expires on June 5, 2018.

40. U.S. Patent No. 6,331,415, titled "Methods of Producing Immunoglobulins, Vectors and Transformed Host Cells for Use Therein," issued on December 18, 2001. Upon information and belief, Genentech and City of Hope co-own the '415 patent. The earliest possible priority date for the '415 patent is April 8, 1983. Upon information and belief, the '415 patent expires on December 18, 2018.

41. U.S. Patent No. 6,407,213, titled "Method for Making Humanized Antibodies," issued on June 18, 2002. Upon information and belief, Genentech owns the '213 patent. The earliest possible priority date for the '213 patent is June 14, 1991. Upon information and belief, the '213 patent expires on June 18, 2019.

42. U.S. Patent No. 6,417,335, titled "Protein Purification," issued on July 9, 2002. Upon information and belief, Genentech owns the '335 patent. The earliest possible priority date for the '335 patent is May 6, 1998. Upon information and belief, the '213 patent expires on May 3, 2019.

43. U.S. Patent No. 6,586,206, titled "Methods for Making Recombinant Proteins Using Apoptosis Inhibitors," issued on July 1, 2003. Upon information and belief, Genentech owns the '206 patent. The earliest possible priority date for the '206 patent is September 27, 1999. Upon information and belief, the '206 patent expires on September 25, 2020.

44. U.S. Patent No. 6,610,516, titled "Cell Culture Process," issued on August 26, 2003. Upon information and belief, Genentech owns the '516 patent. The earliest possible priority date for the '516 patent is April 26, 1999. Upon information and belief, the '516 patent expires on April 21, 2020.

45. U.S. Patent No. 6,620,918, titled “Separation of Polypeptide Monomers,” issued on September 16, 2003. Upon information and belief, Genentech owns the ’918 patent. The earliest possible priority date for the ’918 patent is June 1, 1998. Upon information and belief, the ’918 patent expires on May 26, 2019.

46. U.S. Patent No. 6,870,034, titled “Protein Purification,” issued on March 22, 2005. Upon information and belief, Genentech owns the ’034 patent. The earliest possible priority date for the ’034 patent is February 5, 2002. Upon information and belief, the ’034 patent expires on February 3, 2023.

47. U.S. Patent No. 6,884,879, titled “Anti-VEGF Antibodies,” issued on April 26, 2005. Upon information and belief, Genentech owns the ’879 patent. The earliest possible priority date of the ’879 patent is August 7, 1997. Upon information and belief, the ’879 patent expired on August 7, 2017.

48. U.S. Patent No. 7,060,269, titled “Anti-VEGF Antibodies,” issued on June 13, 2006. Upon information and belief, Genentech owns the ’269 patent. The earliest possible priority date of the ’269 patent is August 6, 1997. Upon information and belief, the ’269 patent expires on July 4, 2019. Genentech contends that the ’269 patent covers bevacizumab.

49. U.S. Patent No. 7,169,901, titled “Anti-VEGF Antibodies,” issued on January 30, 2007. Upon information and belief, Genentech owns the ’901 patent. The earliest possible priority date of the ’901 patent is April 7, 1997. Upon information and belief, the ’901 patent expires on March 23, 2019. Genentech contends that the ’901 patent covers bevacizumab.

50. U.S. Patent No. 7,297,334, titled “Anti-VEGF Antibodies,” issued on November 20, 2007. Upon information and belief, Genentech owns the ’334 patent. The earliest possible

priority date of the '334 patent is August 7, 1997. Upon information and belief, the '334 patent expired on August 7, 2017.

51. U.S. Patent No. 7,323,553, titled “Non-Affinity Purification of Proteins,” issued on January 29, 2008. Upon information and belief, Genentech owns the '553 patent. The earliest possible priority date for the '553 patent is April 26, 2002. Upon information and belief, the '553 patent expires on April 25, 2023.

52. U.S. Patent No. 7,375,193, titled “Anti-VEGF Antibodies,” issued on May 20, 2008. Upon information and belief, Genentech owns the '193 patent. The earliest possible priority date of the '193 patent is August 7, 1997. Upon information and belief, the '193 patent expired on August 7, 2017.

53. U.S. Patent No. 7,622,115, titled “Treatment with Anti-VEGF Antibodies,” issued on November 24, 2009. Upon information and belief, Genentech owns the '115 patent. The earliest possible priority date for the '115 patent is May 30, 2003. Upon information and belief, the '115 patent expires on May 28, 2024.

54. U.S. Patent No. 7,807,799, titled “Reducing Protein A Leaching During Protein A Affinity Chromatography,” issued on October 5, 2010. Upon information and belief, Genentech owns the '799 patent. The earliest possible priority date for the '799 patent is July 28, 2003. Upon information and belief, the '799 patent expires on June 24, 2024.

55. U.S. Patent No. 7,923,221, titled “Methods of Making Antibody Heavy and Light Chains Having Specificity for a Desired Antigen,” issued on April 12, 2011. Upon information and belief, Genentech and City of Hope co-own the '221 patent. The earliest possible priority date of the '221 patent is April 8, 1983. Upon information and belief, the '221 patent expires on December 18, 2018.

56. U.S. Patent No. 8,044,017, titled “Protein Purification,” issued on October 25, 2011. Upon information and belief, Genentech owns the ’017 patent. The earliest possible priority date for the ’017 patent is September 11, 2002. Upon information and belief, the ’017 patent expires on March 28, 2026.

57. U.S. Patent No. 8,460,895, titled “Method for Producing Recombinant Proteins with a Constant Content of pCO<sub>2</sub> in the Medium,” issued on June 11, 2013. Upon information and belief, the ’895 patent is owned by Hoffman-La Roche, Inc. with all substantial rights exclusively licensed to Genentech. The earliest possible priority date for the ’895 patent is March 12, 2008. Upon information and belief, the ’895 patent expires on August 8, 2029.

58. U.S. Patent No. 8,512,983, titled “Production of Proteins in Glutamine-Free Cell Culture Media,” issued on August 20, 2013. Upon information and belief, the ’983 patent is owned by F. Hoffmann-La Roche AG with all substantial rights exclusively licensed to Genentech. The earliest possible priority date for the ’983 patent is August 11, 2009. Upon information and belief, the ’983 patent expires on January 4, 2031.

59. U.S. Patent No. 8,574,869, titled “Prevention of Disulfide Bond Reduction During Recombinant Production of Polypeptides,” issued on November 5, 2013. Upon information and belief, Genentech owns the ’869 patent. The earliest possible priority date for the ’869 patent is July 9, 2007. Upon information and belief, the ’869 patent expires on July 8, 2028.

60. U.S. Patent No. 8,633,302, titled “Variable Tangential Flow Filtration,” issued on January 21, 2014. Upon information and belief, the ’302 patent is owned Hoffman-La Roche, Inc. with all substantial rights exclusively licensed to Genentech. The earliest possible priority date for the ’302 patent is July 17, 2007. Upon information and belief, the ’302 patent expires on July 23, 2030.

61. U.S. Patent No. 8,710,196, titled “Protein Purification,” issued on April 29, 2014. Upon information and belief, Genentech owns the ’196 patent. The earliest possible priority date for the ’196 patent is September 11, 2002. Upon information and belief, the ’196 patent expires on September 10, 2023.

62. U.S. Patent No. 9,441,035, titled “Cell Culture Media and Methods of Antibody Production,” issued on September 13, 2016. Upon information and belief, Genentech owns the ’035 patent. The earliest possible priority date for the ’035 patent is March 15, 2013. Upon information and belief, the ’035 patent expires on April 23, 2034.

63. U.S. Patent No. 9,487,809, titled “Decreasing Lactate Level and Increasing Polypeptide Production by Downregulating the Expression of Lactate Dehydrogenase and Pyruvate Dehydrogenase Kinase,” issued on November 8, 2016. Upon information and belief, Genentech owns the ’809 patent. The earliest possible priority date for the ’809 patent is May 28, 2010. Upon information and belief, the ’809 patent expires on January 14, 2032.

64. U.S. Patent No. 9,795,672, titled “Treatment With Anti-VEGF Antibodies,” issued on October 24, 2017. Upon information and belief, Genentech owns the ’672 patent. The earliest possible priority date for the ’672 patent is May 30, 2003. Upon information and belief, the ’672 patent expires on May 28, 2024.

### **Count 1**

#### **Non-Infringement and Invalidity of U.S. Patent No. 6,054,297**

65. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

66. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 6,054,297 (“the ’297 patent”).

67. A case or controversy exists because Plaintiffs have alleged that Amgen has

infringed and will infringe one or more claims of the '297 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

68. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '297 patent.

69. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215," and therefore were "moot," and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as "moot."

70. Under 35 U.S.C. § 271(e)(1), Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any claim of the '297 patent because particular activities related to ABP 215, such as the manufacture or testing of ABP 215 related to submission of the BLA, were and will be solely for uses reasonably related to the development and submission of information under a federal law that regulates the manufacture, use, or sale of drugs.

71. The claims of the '297 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at

least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(D)(3)(B).

72. Amgen is entitled to a judgment that the claims of the '297 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(D)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 2**  
**Non-Infringement and Invalidity of U.S. Patent No. 6,121,428**

73. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

74. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 6,121,428 ("the '428 patent").

75. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the '428 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(D)(3)(C).

76. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '428 patent.

77. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(D)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(D)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215," and therefore were

“moot,” and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as “moot.”

78. Under 35 U.S.C. § 271(e)(1), Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any claim of the '428 patent because particular activities related to ABP 215, such as the manufacture or testing of ABP 215 related to submission of the BLA, were and will be solely for uses reasonably related to the development and submission of information under a federal law that regulates the manufacture, use, or sale of drugs.

79. The claims of the '428 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

80. Amgen is entitled to a judgment that the claims of the '428 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 3**  
**Non-Infringement and Invalidity of U.S. Patent No. 6,242,177**

81. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

82. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 6,242,177 (“the '177 patent”).

83. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the '177 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

84. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '177 patent.

85. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215," and therefore were "moot," and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as "moot."

86. Under 35 U.S.C. § 271(e)(1), Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any claim of the '177 patent because particular activities related to ABP 215, such as the manufacture or testing of ABP 215 related to submission of the BLA, were and will be solely for uses reasonably related to the development and submission of information under a federal law that regulates the manufacture, use, or sale of drugs.

87. The claims of the '177 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103,

and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

88. Amgen is entitled to a judgment that the claims of the '177 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

#### **Count 4**

#### **Non-Infringement and Invalidity of U.S. Patent No. 6,331,415**

89. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

90. Plaintiffs have alleged that Genentech and City of Hope co-own U.S. Patent No. 6,331,415 ("the '415 patent").

91. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the '415 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

92. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '415 patent.

93. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed

by the manufacture, use, sale, offer for sale, or importation of ABP 215,” and therefore were “moot,” and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as “moot.”

94. Under 35 U.S.C. § 271(e)(1), Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any claim of the '415 patent because particular activities related to ABP 215, such as the manufacture or testing of ABP 215 related to submission of the BLA, were and will be solely for uses reasonably related to the development and submission of information under a federal law that regulates the manufacture, use, or sale of drugs.

95. The claims of the '415 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

96. Amgen is entitled to a judgment that the claims of the '415 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 5**  
**Non-Infringement and Invalidity of U.S. Patent No. 6,407,213**

97. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

98. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest

in U.S. Patent No. 6,407,213 (“the ’213 patent”).

99. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the ’213 patent, as set forth in Plaintiffs’ disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

100. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the ’213 patent.

101. Plaintiffs’ alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs’ opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that “Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215,” and therefore were “moot,” and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as “moot.”

102. The claims of the ’213 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen’s disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

103. Amgen is entitled to a judgment that the claims of the ’213 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable

claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 6**  
**Non-Infringement and Invalidity of U.S. Patent No. 6,417,335**

104. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

105. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 6,417,335 ("the '335 patent").

106. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the '335 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

107. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '335 patent.

108. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215," and therefore were "moot," and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42

U.S.C. § 262(l)(3)(C) and which they characterized as “moot.”

109. The claims of the '335 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

110. Amgen is entitled to a judgment that the claims of the '335 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 7**  
**Non-Infringement and Invalidity of U.S. Patent No. 6,586,206**

111. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

112. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 6,586,206 (“the '206 patent”).

113. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the '206 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

114. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '206 patent.

115. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or

unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that “Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215,” and therefore were “moot,” and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as “moot.”

116. The claims of the '206 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

117. Amgen is entitled to a judgment that the claims of the '206 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 8**  
**Non-Infringement and Invalidity of U.S. Patent No. 6,610,516**

118. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

119. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 6,610,516 (“the '516 patent”).

120. A case or controversy exists because, among other things, Plaintiffs have

expressed their belief that a claim of patent infringement of the '516 patent could reasonably be asserted against Amgen by identifying the '516 patent on their 42 U.S.C. § 262(l)(3)(A) list, and subsequently refusing to remove the '516 patent from their 42 U.S.C. § 262(l)(3)(A) list or to otherwise remove the existing case or controversy surrounding the '516 patent.

121. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '516 patent.

122. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215," and therefore were "moot," and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as "moot."

123. The claims of the '516 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

124. Amgen is entitled to a judgment that the claims of the '516 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable

claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 9**  
**Non-Infringement and Invalidity of U.S. Patent No. 6,620,918**

125. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

126. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 6,620,918 ("the '918 patent").

127. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the '918 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

128. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '918 patent.

129. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215," and therefore were "moot," and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42

U.S.C. § 262(l)(3)(C) and which they characterized as “moot.”

130. The claims of the '918 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

131. Amgen is entitled to a judgment that the claims of the '918 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 10**  
**Non-Infringement and Invalidity of U.S. Patent No. 6,870,034**

132. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

133. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 6,870,034 (“the '034 patent”).

134. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the '034 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

135. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '034 patent.

136. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or

unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that “Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215,” and therefore were “moot,” and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as “moot.”

137. The claims of the '034 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

138. Amgen is entitled to a judgment that the claims of the '034 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 11**  
**Non-Infringement and Invalidity of U.S. Patent No. 6,884,879**

139. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

140. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 6,884,879 (“the '879 patent”).

141. A case or controversy exists because Plaintiffs have alleged that Amgen has

infringed and will infringe one or more claims of the '879 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

142. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '879 patent.

143. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215," and therefore were "moot," and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as "moot."

144. Under 35 U.S.C. § 271(e)(1), Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any claim of the '879 patent because particular activities related to ABP 215, such as the manufacture or testing of ABP 215 related to submission of the BLA, were and will be solely for uses reasonably related to the development and submission of information under a federal law that regulates the manufacture, use, or sale of drugs.

145. The claims of the '879 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at

least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

146. Amgen is entitled to a judgment that the claims of the '879 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

### **Count 12**

#### **Non-Infringement and Invalidity of U.S. Patent No. 7,060,269**

147. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

148. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 7,060,269 ("the '269 patent").

149. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the '269 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

150. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '269 patent.

151. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215," and therefore were

“moot,” and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as “moot.”

152. The claims of the '269 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

153. Amgen is entitled to a judgment that the claims of the '269 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 13**  
**Non-Infringement and Invalidity of U.S. Patent No. 7,169,901**

154. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

155. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 7,169,901 (“the '901 patent”).

156. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the '901 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

157. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '901 patent.

158. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215," and therefore were "moot," and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as "moot."

159. The claims of the '901 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

160. Amgen is entitled to a judgment that the claims of the '901 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 14**  
**Non-Infringement and Invalidity of U.S. Patent No. 7,297,334**

161. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

162. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 7,297,334 (“the ’334 patent”).

163. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the ’334 patent, as set forth in Plaintiffs’ disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

164. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the ’334 patent.

165. Plaintiffs’ alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs’ opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that “Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215,” and therefore were “moot,” and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as “moot.”

166. Under 35 U.S.C. § 271(e)(1), Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any claim of the ’334 patent because particular activities related to ABP 215, such as the manufacture or testing of ABP 215 related to submission of the BLA, were and will be solely for uses reasonably related to the development and submission of information under a federal law that regulates the manufacture, use, or sale of drugs.

167. The claims of the '334 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

168. Amgen is entitled to a judgment that the claims of the '334 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 15**  
**Non-Infringement and Invalidity of U.S. Patent No. 7,323,553**

169. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

170. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 7,323,553 ("the '553 patent").

171. A case or controversy exists because, among other things, Plaintiffs have expressed their belief that a claim of patent infringement of the '553 patent could reasonably be asserted against Amgen by identifying the '553 patent on their 42 U.S.C. § 262(l)(3)(A) list, and subsequently refusing to remove the '553 patent from their 42 U.S.C. § 262(l)(3)(A) list or to otherwise remove the existing case or controversy surrounding the '553 patent.

172. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '553 patent.

173. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and

enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that “Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215,” and therefore were “moot,” and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as “moot.”

174. The claims of the '553 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

175. Amgen is entitled to a judgment that the claims of the '553 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

#### **Count 16**

#### **Non-Infringement and Invalidity of U.S. Patent No. 7,375,193**

176. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

177. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 7,375,193 (“the '193 patent”).

178. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the '193 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

179. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '193 patent.

180. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215," and therefore were "moot," and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as "moot."

181. Under 35 U.S.C. § 271(e)(1), Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any claim of the '193 patent because particular activities related to ABP 215, such as the manufacture or testing of ABP 215 related to submission of the BLA, were and will be solely for uses reasonably related to the development and submission of information under a federal law that regulates the manufacture, use, or sale of drugs.

182. The claims of the '193 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103,

and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

183. Amgen is entitled to a judgment that the claims of the '193 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 17**  
**Non-Infringement and Invalidity of U.S. Patent No. 7,622,115**

184. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

185. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 7,622,115 ("the '115 patent").

186. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the '115 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

187. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '115 patent.

188. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed

by the manufacture, use, sale, offer for sale, or importation of ABP 215,” and therefore were “moot,” and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as “moot.”

189. The claims of the '115 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). The Patent Trial and Appeal Board recently found claims 1–5 of the '115 patent unpatentable over the prior art. *See Hospira, Inc. v. Genentech, Inc.*, IPR2016-01771, Paper No. 34, at 21 (PTAB Mar. 9, 2018).

190. Amgen is entitled to a judgment that the claims of the '115 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

#### **Count 18**

#### **Non-Infringement and Invalidity of U.S. Patent No. 7,807,799**

191. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

192. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 7,807,799 (“the '799 patent”).

193. A case or controversy exists because Plaintiffs have alleged that Amgen has

infringed and will infringe one or more claims of the '799 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

194. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '799 patent.

195. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215," and therefore were "moot," and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as "moot."

196. The claims of the '799 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). The Patent Trial and Appeal Board recently found claims 1–3 and 5–11 of the '799 patent were unpatentable over the prior art. *See Hospira, Inc. v. Genentech, Inc.*, IPR2016-01837, Paper No. 40, at 51 (PTAB Mar. 6, 2018).

197. Amgen is entitled to a judgment that the claims of the '799 patent are invalid

and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 19**  
**Non-Infringement and Invalidity of U.S. Patent No. 7,923,221**

198. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

199. Plaintiffs have alleged that Genentech and City of Hope are co-owners of U.S. Patent No. 7,923,221 ("the '221 patent").

200. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the '221 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

201. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '221 patent.

202. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215," and therefore were "moot," and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive

infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(D)(3)(C) and which they characterized as “moot.”

203. Under 35 U.S.C. § 271(e)(1), Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any claim of the '221 patent because particular activities related to ABP 215, such as the manufacture or testing of ABP 215 related to submission of the BLA, were and will be solely for uses reasonably related to the development and submission of information under a federal law that regulates the manufacture, use, or sale of drugs.

204. The claims of the '221 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(D)(3)(B).

205. Amgen is entitled to a judgment that the claims of the '221 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(D)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

#### **Count 20**

#### **Non-Infringement and Invalidity of U.S. Patent No. 8,044,017**

206. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

207. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 8,044,017 (“the '017 patent”).

208. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the '017 patent, as set forth in Plaintiffs'

disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

209. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '017 patent.

210. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215," and therefore were "moot," and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as "moot."

211. The claims of the '017 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

212. Amgen is entitled to a judgment that the claims of the '017 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 21**  
**Non-Infringement and Invalidity of U.S. Patent No. 8,460,895**

213. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

214. Plaintiffs have alleged that Hoffman-La Roche, Inc. is the owner of U.S. Patent No. 8,460,895 (“the ’895 patent”), and that Genentech is the exclusive licensee with the sole right to enforce that patent pursuant to a Patent License Agreement between Genentech and Hoffman-La Roche, Inc. dated January 13, 2017.

215. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the ’895 patent, as set forth in Plaintiffs’ disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

216. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the ’895 patent.

217. Plaintiffs’ alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs’ opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that “Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215,” and therefore were “moot,” and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as “moot.”

218. The claims of the '895 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

219. Amgen is entitled to a judgment that the claims of the '895 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 22**  
**Non-Infringement and Invalidity of U.S. Patent No. 8,512,983**

220. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

221. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 8,512,983 ("the '983 patent").

222. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the '983 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

223. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '983 patent.

224. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B).

According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that “Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215,” and therefore were “moot,” and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as “moot.”

225. The claims of the '983 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

226. Amgen is entitled to a judgment that the claims of the '983 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

### **Count 23**

#### **Non-Infringement and Invalidity of U.S. Patent No. 8,574,869**

227. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

228. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 8,574,869 (“the '869 patent”).

229. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the '869 patent, as set forth in Plaintiffs'

disclosure pursuant to 42 U.S.C. § 262(*I*)(3)(C).

230. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '869 patent.

231. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(*I*)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(*I*)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215," and therefore were "moot," and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(*I*)(3)(C) and which they characterized as "moot."

232. The claims of the '869 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(*I*)(3)(B).

233. Amgen is entitled to a judgment that the claims of the '869 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(*I*)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 24**  
**Non-Infringement and Invalidity of U.S. Patent No. 8,633,302**

234. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

235. Plaintiffs have alleged that Hoffman-La Roche, Inc. is the owner of U.S. Patent No. 8,633,302 (“the ’302 patent”), and that Genentech is the exclusive licensee with the sole right to enforce that patent pursuant to a Patent License Agreement between Genentech and Hoffman-La Roche, Inc. dated January 13, 2017.

236. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the ’302 patent, as set forth in Plaintiffs’ disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

237. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the ’302 patent.

238. Plaintiffs’ alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs’ opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that “Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215,” and therefore were “moot,” and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as “moot.”

239. The claims of the '302 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

240. Amgen is entitled to a judgment that the claims of the '302 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 25**  
**Non-Infringement and Invalidity of U.S. Patent No. 8,710,196**

241. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

242. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 8,710,196 ("the '196 patent").

243. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the '196 patent, as set forth in Plaintiffs' disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

244. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '196 patent.

245. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B).

According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that “Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215,” and therefore were “moot,” and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as “moot.”

246. The claims of the ’196 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen’s disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

247. Amgen is entitled to a judgment that the claims of the ’196 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen’s disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

#### **Count 26**

#### **Non-Infringement and Invalidity of U.S. Patent No. 9,441,035**

248. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

249. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 9,441,035 (“the ’035 patent”).

250. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the ’035 patent, as set forth in Plaintiffs’

disclosure pursuant to 42 U.S.C. § 262(l)(3)(C).

251. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '035 patent.

252. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that "Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215," and therefore were "moot," and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as "moot."

253. The claims of the '035 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

254. Amgen is entitled to a judgment that the claims of the '035 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 27**  
**Non-Infringement and Invalidity of U.S. Patent No. 9,487,809**

255. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

256. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 9,487,809 (“the ’809 patent”).

257. A case or controversy exists because Plaintiffs have alleged that Amgen has infringed and will infringe one or more claims of the ’809 patent, as set forth in Plaintiffs’ disclosure pursuant to 42 U.S.C. § 262(*l*)(3)(C).

258. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the ’809 patent.

259. Plaintiffs’ alleged statement pursuant to 42 U.S.C. § 262(*l*)(3)(C) did not provide, on a claim by claim basis, Plaintiffs’ opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(*l*)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and enforceability positions were claims that “Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215,” and therefore were “moot,” and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(*l*)(3)(C) and which they characterized as “moot.”

260. The claims of the ’809 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103,

and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

261. Amgen is entitled to a judgment that the claims of the '809 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 28**

**Non-Infringement and Invalidity of U.S. Patent No. 9,795,672**

262. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

263. Plaintiffs have alleged that Genentech is the owner of all right, title, and interest in U.S. Patent No. 9,795,672 ("the '672 patent").

264. A case or controversy exists because, among other things, Plaintiffs have expressed their belief that a claim of patent infringement of the '672 patent could reasonably be asserted against Amgen by identifying the '672 patent in their alleged 42 U.S.C. § 262(l)(7) supplement of their 42 U.S.C. § 262(l)(3)(A) list.

265. Amgen has not directly or indirectly infringed, and will not directly or indirectly infringe, any valid and enforceable claim of the '672 patent.

266. Plaintiffs' alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) did not provide, on a claim by claim basis, Plaintiffs' opinion regarding the purported infringement, validity, and enforceability of all claims for which Amgen had provided non-infringement, invalidity, and/or unenforceability positions in its detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B). According to Plaintiffs, those claims for which they had failed to provide responsive validity and

enforceability positions were claims that “Genentech does not presently allege will be infringed by the manufacture, use, sale, offer for sale, or importation of ABP 215,” and therefore were “moot,” and, as a result, Plaintiffs, for example, waived the ability to assert, or are estopped from asserting, against Amgen at least any and all claims for which they did not provide responsive infringement, validity, and enforceability positions in their alleged statement pursuant to 42 U.S.C. § 262(l)(3)(C) and which they characterized as “moot.”

267. The claims of the '672 patent are invalid for failure to comply with one or more conditions of patentability set forth in one or more provisions of 35 U.S.C. §§ 101, 102, 103, and/or 112, or under other judicially-created bases for invalidation and/or unenforceability, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B).

268. Amgen is entitled to a judgment that the claims of the '672 patent are invalid and/or unenforceable, and that Amgen has not and will not infringe any valid and enforceable claim of that patent, for at least the reasons set forth in Amgen's disclosure pursuant to 42 U.S.C. § 262(l)(3)(B). Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

**Count 29**  
**Inequitable Conduct During Prosecution of the '213 Patent**

269. Amgen restates and incorporates by reference the allegations in the preceding paragraphs as if fully set forth herein.

270. During the prosecution of the '213 patent, Genentech made misrepresentations and omissions material to patentability and did so with the specific intent to mislead or deceive the Patent Office.

271. Genentech deliberately misrepresented the teachings of U.S. Patent No. 5,530,101 (“the '101 patent”) to the Patent Office in order to overcome a rejection based on that reference. Specifically, Genentech told the Examiner that the '101 patent does not use the Kabat numbering

system, despite its repeated references to “numbering according to Kabat” and “the Kabat system.”

272. Genentech also made deliberate misrepresentations and omissions regarding Queen 1989, including (i) falsely distinguishing Queen 1989 on the ground that it used “sequential numbering,” as opposed to the Kabat numbering system; and (ii) providing information at the request of the Examiner that conspicuously omitted a key residue (“62L”) disclosed in the prior art. Deceptive intent by Genentech is the single most reasonable inference to be drawn from the prosecution history and all other available evidence.

273. On November 17, 1993, Genentech filed its patent application with claims requiring substitutions at specific locations, including positions “62L” and “93H.” On December 9, 1994, the Examiner issued a Non-Final Rejection, rejecting the claims as obvious under § 103 over EP 0239400, Queen 1989, Riechmann 1988.

274. On June 12, 1995, Genentech amended the pending claims and deleted references to amino acid position “62L.”

275. Following a final rejection and an Examiner interview, the case was transferred to a different Examiner and a new non-final rejection issued on December 23, 1996. The new Examiner maintained all prior rejections and further rejected the pending claims as anticipated by the ’101 patent.

276. In response to the non-final rejection, Genentech once again amended the pending claims on June 27, 1997, adding amino acid position “62L” back into the claims.

277. On October 7, 1997, Genentech argued in its remarks to the Patent Office that Queen 1989 and the ’101 patent were distinguishable because they “use sequential numbering for the variable domain residues of the antibodies described in these references, whereas the claims of the instant application use Kabat numbering for the framework region residues.” Genentech repeated the same argument later in the prosecution of the ’213 patent to distinguish Queen 1989 and the ’101 patent with specific reference to residue “93H”:

Applicants point out that – as explained earlier in prosecution – the substituted 93 FR residue in the cited references [Queen 1989 and the '101 patent] is not 93H ‘utilizing the numbering system set forth in Kabat’ (see page 13, line 33 through to line 22 on page 14 of the present application) as required by claims 115-117, 123 and 127 of the present application. In particular, as noted on page 6 of the amendment hand carried to the Office on 10/7/97, residue no. 93 in the heavy chain of the anti-Tac antibody in the cited references, is actually 89H utilizing the numbering system set forth in Kabat. The cited references use a sequential numbering system, rather than the Kabat numbering system claimed herein.

(See Applicant Remarks, dated Apr. 26, 2001, at 7.)

278. On December 11, 2001, the Examiner indicated during an interview that the pending claims were allowable.

279. Contrary to Genentech’s representations to the Patent Office—namely, that the '101 patent does not use the Kabat numbering system—the '101 patent states: “Residues are numbered according to the Kabat system (E. A. Kabat et al., Sequences of Proteins of Immunological Interest (National Institutes of Health, Bethesda, Md.) (1987).” ('101 patent at 9:13–18.) In addition, the '101 patent expressly refers to “numbering according to Kabat, op. cit.” with specific reference to position 93 in the heavy chain. (See *id.* at 15:17–37.) Moreover, Table 5 of the '101 patent refers to residue “H93,” with explicit reference to numbering “according to the Kabat system,” as shown below:

TABLE 5

Residues in the framework sequence showing contacts with  
residues in the hypervariable regions.

Residue No. <sup>1</sup>	Amino Acid	Contacting CDR residues <sup>2</sup>
<u>Fd79</u>		
L49	Lys	L50Y, L53N, L55E, H99D, H100Y
H93	Leu	H35S, H37V, H100CF
<u>Fd138-80</u>		
L36	His	L34V, L89Q
H27	Tyr	H32H, H34I
H30	Tyr	H32H, H53R
H48	Phe	H63F
H66	Lys	H63F
H67	Ala	H63F

1. The amino acid residues are numbered according to the Kabat system (E. A. Kabat et al., *Sequences of Proteins of Immunological Interest*, National Institutes of Health, Bethesda, MD (1987)); the first letter (H or L) stands for the heavy chain or light chain. The following number is the residue number. The last letter is the amino acid one letter code.

2. The hypervariable regions are defined according to Kabat: Light chain CDR1: residue 24–34; CDR2: 50–56; CDR3: 89–97. Heavy chain CDR1: 31–35; CDR2: 50–65; CDR3: 95–102.

280. In order to overcome the § 102 rejection based on the '101 patent, Genentech falsely represented to the Patent Office that the '101 patent used sequential numbering, while arguing that the “claims of the instant application use Kabat numbering for the framework region residues.” Genentech misrepresented the teachings of the '101 patent, despite clear and repeated references in the '101 patent to the Kabat numbering system. Absent Genentech's false and misleading distinction, the Examiner had no reason to withdraw the § 102 rejection based on the '101 patent.

281. Genentech also made deliberate and material misrepresentations and omissions regarding Queen 1989 during the prosecution of the '213 patent. Genentech distinguished Queen 1989 on the ground that it used “sequential numbering,” as opposed to the Kabat numbering system. At the Examiner's request, Genentech submitted a comparison of the different numbering systems purportedly utilized in Queen 1989 and the pending claims.<sup>2</sup> The alignments

<sup>2</sup> See 10/7/97 Applicant Remarks at 6–10 (“As requested by the Examiner in the interview, alignments of heavy chain variable domain (Exhibit A) and light chain variable domain (Exhibit

provided by Genentech to the Examiner conspicuously omitted the “62L” residue in both numbering systems. As noted above, residue “62L” was recited in then-pending claims of the ’213 patent, and Queen 1989 expressly discloses “residues at positions corresponding to . . . 47 and 62 of the light chain (Fig. 2).” (See Queen 1989 at 10032.) Importantly, Queen 1989 discloses residues in the Kabat numbering system and, in particular, residue “62 of the light chain.”

282. Amgen is entitled to a judgment that the claims of the ’213 patent are unenforceable as a result of Genentech’s inequitable conduct. Such a declaration is necessary and appropriate at this time to determine the rights and obligations of the parties.

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B) sequences of the 101 patent (including the sequences for the murine and humanized anti-Tac antibody of Queen *et al.*) with sequential and Kabat residue numbering is attached.”).

**PRAYER FOR RELIEF**

WHEREFORE, Amgen respectfully requests that the Court enter judgment:

- A. adjudging and decreeing that Plaintiffs be denied all forms of relief requested in their Complaint;
- B. dismissing the Complaint in its entirety with prejudice;
- C. declaring that the claims of the patents-in-suit have not been and will not be infringed by Amgen;
- D. declaring that the claims of the patents-in-suit are invalid;
- E. declaring that the claims of the patents-in-suit are unenforceable;
- F. finding that this is an exceptional case under 35 U.S.C. § 285;
- G. awarding attorneys' fees, costs and disbursements to Amgen; and
- H. granting such other and further relief as this Court deems just and proper.

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Dated: May 1, 2018

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**CERTIFICATE OF SERVICE**

I, Melanie K. Sharp, Esquire, hereby certify that on May 1, 2018, I caused to be electronically filed a true and correct copy of Amgen Inc.'s Answer, Affirmative Defenses, and Counterclaims to Plaintiffs' First Amended and Supplemental Complaint with the Clerk of the Court using CM/ECF, which will send notification to the following counsel of record:

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I further certify that on May 1, 2018, I caused a copy of the foregoing document to be served on the above-listed counsel of record and on the following non-registered participants in the manner indicated:

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