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**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA**

AMGEN INC. and  
AMGEN MANUFACTURING, LIMITED,

Plaintiffs,

vs.

SANDOZ INC., SANDOZ  
INTERNATIONAL GMBH, and  
SANDOZ GMBH,

Defendants.

Case No. 3:14-cv-04741-RS

**JOINT CASE MANAGEMENT  
STATEMENT**

Date: October 1, 2015  
Time: 10:00 AM  
Dept: Courtroom 3  
Judge: Hon. Richard Seeborg

1 Pursuant to the Clerk's Notice (Dkt. No. 134), the Standing Order for all Judges of the  
2 Northern District of California / Contents of Joint Case Management Statement ("Standing  
3 Order"), Civil Local Rule 16-9, and Federal Rule of Civil Procedure 26(f), Plaintiffs Amgen  
4 Inc. and Amgen Manufacturing, Limited (together, "Amgen") and Defendant Sandoz Inc.  
5 ("Sandoz") hereby submit the following Joint Case Management Statement. Amgen and  
6 Sandoz are referred to together as "the Parties."

### 7 **1. Jurisdiction and Service**

8 This Court has subject matter jurisdiction over Amgen's patent infringement claim and  
9 Sandoz's sixth and seventh counterclaims under 28 U.S.C. §§ 1331 and 1338(a). There are no  
10 issues currently to be resolved regarding personal jurisdiction or venue with regard to Sandoz  
11 Inc. or Amgen. No parties remain to be served. The deadline for Sandoz International GmbH  
12 and Sandoz GmbH to move, answer, or otherwise respond to the complaint for either entity  
13 remains tolled until September 28, 2015. (Dkt. No. 133.) These foreign entities object to  
14 personal jurisdiction but have been engaged in discussions with Amgen in an effort to resolve  
15 their differences. The foreign entities and Amgen will continue those discussions and,  
16 depending on the course and speed of those discussions, the foreign entities may either seek to  
17 extend the deadline for responding to the complaint, move to dismiss, or preserve their rights to  
18 dismiss in an answer and address the issue in a later motion.

### 19 **2. Facts**

20 In 1991, Amgen obtained a license from FDA under 42 U.S.C. § 262(a) for  
21 NEUPOGEN<sup>®</sup> (filgrastim) for treating side effects of certain forms of cancer therapy. Amgen  
22 discovered, developed, and markets NEUPOGEN<sup>®</sup> (filgrastim), a recombinant biologic protein  
23 that stimulates the production of neutrophils, a type of white blood cells and is used to counteract  
24 a chemotherapy-induced neutrophil deficiency. Sandoz filed an application under 42 U.S.C.  
25 §262(k) ("aBLA") seeking FDA approval of a biosimilar filgrastim product ZARXIO<sup>®</sup>  
26 designating Amgen's NEUPOGEN<sup>®</sup> as the reference product. In July 2014, Sandoz informed  
27 Amgen that FDA had accepted its aBLA and that it intended to sell upon FDA approval, which  
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1 was expected in the first half of 2015, which Sandoz contends was a legally effective notice of  
2 commercial marketing.

3 On October 24, 2014, Amgen sued Sandoz Inc., Sandoz International GmbH, and Sandoz  
4 GmbH in this Court, asserting unlawful competition under California Business & Professions  
5 Code § 17200 et seq., conversion, and infringement of U.S. Patent 6,162,427 (“the ’427 patent”).  
6 Amgen alleged that Sandoz violated the BPCIA by seeking licensure by reference to Amgen’s  
7 license and failing to disclose to Amgen the information required by 42 U.S.C. § 262(l)(2)(A)  
8 and by failing to comply with the notice of commercial marketing under 42 U.S.C. §  
9 262(l)(8)(A). On November 20, 2014, Sandoz answered the Complaint and counterclaimed for  
10 declaratory judgments that its reading of the BPCIA was correct, noninfringement of the ’427  
11 patent, and invalidity of the ’427 patent. (Dkt. No. 22.) On December 15, 2014 Amgen  
12 answered Sandoz’s counterclaims. (Dkt. No. 28.)

13 In January 2015, the parties cross-moved for judgment on Amgen’s state-law  
14 counterclaims and Sandoz’s related counterclaims. On January 7, 2015, the FDA’s Oncologic  
15 Drugs Advisory Committee (“ODAC”) recommended approval of Sandoz’s (k) application.  
16 Amgen then sought a preliminary injunction barring Sandoz from launching ZARXIO until such  
17 time as the Court could address the pending motions for judgment. FDA approved ZARXIO<sup>®</sup> on  
18 March 6, 2015 and on that same day, Sandoz gave a notice of commercial marketing, which  
19 Amgen contends was the first legally effective notice of commercial marketing. *See Amgen Inc.*  
20 *v. Sandoz Inc.*, 794 F.3d 1347, 1359 (Fed. Cir. 2015).

21 On March 19, 2015, the Court granted partial judgment to Sandoz, entered judgment  
22 against Amgen on its state law claims, and denied Amgen’s motion for a preliminary injunction.  
23 The Court then granted Rule 54(b) judgment on the dismissed claims and stayed all remaining  
24 claims in this action, pending the Federal Circuit’s resolution of Amgen’s appeal. (Dkt. No.  
25 111.) The circuit court issued a panel opinion on July 21, 2015. *Amgen Inc. v. Sandoz Inc.*, 794  
26 F.3d 1347 (Fed. Cir. 2015). The parties are each currently seeking *en banc* review of aspects of  
27 that opinion.

1 Sandoz launched ZARXIO<sup>®</sup> on September 3, 2015. On September 8, 2015 the Court  
2 issued an order granting the Parties' joint motion to lift the stay in this case as to Amgen's  
3 claims of patent infringement and Sandoz's related counterclaims. (Dkt. No. 133.) The order  
4 also sets forth that a case management conference is scheduled for October 1, 2015 and no  
5 discovery shall be served and no motions shall be filed (except for any motion on behalf of  
6 Sandoz International GmbH or Sandoz GmbH in response to the complaint) until after the case  
7 management conference.

### 8 **3. Legal Issues in Dispute**

9 Amgen alleges, and Sandoz disputes, that Sandoz infringes the '427 patent. Sandoz  
10 alleges, and Amgen disputes, that the '427 patent is invalid. Amgen intends to allege, and  
11 Sandoz intends to dispute, that Sandoz infringes U.S. Patent No. 8,940,878 ("the '878  
12 patent"). Sandoz expects that it will allege, and Amgen intends to dispute, that the '878  
13 patent is invalid. The foreign entities intend to dispute personal jurisdiction as described  
14 above.

### 15 **4. Motions**

16 Sandoz may bring motions under Rule 56. The foreign entities intend to challenge  
17 personal jurisdiction as discussed above. Amgen does not anticipate filing any motions at  
18 this time.

### 19 **5. Amendment of Pleadings**

20 Amgen intends to amend its complaint and assert the '878 patent. Sandoz has agreed  
21 that Amgen may amend its pleadings no later than October 15, 2015, to assert infringement  
22 of the '878 patent, although Sandoz requires adequate time in discovery and other  
23 proceedings to address Amgen's addition as discussed below. Amgen and Sandoz agree that  
24 the deadline for any other motion to amend the pleadings to add parties, claims, patents,  
25 products or counterclaims be set for October 15, 2015 and both parties reserve all their rights  
26 under Federal Rule of Civil Procedure 15 and this Court's local rules. No later than five (5)  
27 days in advance of any such proposed amendment (other than to add the '878 patent"), the  
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1 amending party shall identify the scope of any proposed amendment and seek the opposing  
2 party's consent.

### 3           **6. Evidence Preservation**

4           Amgen and Sandoz have reviewed the Guidelines for the Discovery of Electronically  
5 Stored Information ("ESI Guidelines"), and have met and conferred about reasonable and  
6 proportionate steps to take regarding evidence preservation. Amgen and Sandoz confirm that  
7 they have taken appropriate and reasonable measures to preserve relevant evidence.

### 8           **7. Disclosures**

9           Amgen and Sandoz exchanged initial disclosures pursuant to Rule 26(a)(1)(A) of the  
10 Federal Rules of Civil Procedure on January 15, 2015.

### 11           **8. Discovery**

- 12           • Discovery Taken to Date: Amgen and Sandoz exchanged initial disclosures on January  
13 15, 2015, and Amgen provided first supplemental initial disclosures on January 21, 2015.  
14 Discovery to date has been largely directed to Amgen's motion for a preliminary  
15 injunction. Amgen served its first set of requests for production and its first set of  
16 interrogatories on January 22, 2015, and Sandoz responded on February 26, 2015.  
17 Sandoz served its first set of requests for production and first set of interrogatories on  
18 February 5, 2015, and Amgen responded on March 12, 2015. Sandoz produced its aBLA  
19 for filgrastim to Amgen in February 2015. Amgen deposed Alexander Thole on February  
20 26, 2015 and expert Gordon Rausser, Ph.D. on March 2, 2015. Sandoz deposed expert  
21 Tomas Philipson, Ph.D on February 13, 2015 and Robert Azelby on February 15, 2015.  
22 Amgen disclosed asserted claims and infringement contentions on February 5, 2015.
- 23           • Scope of Anticipated Discovery: Amgen intends to pursue discovery relating to all the  
24 factual and legal contentions identified above that involve factual disputes rather than  
25 pure issues of law. Among other things, Amgen intends to pursue discovery regarding at  
26 least the following subject matter: Sandoz's activities alleged to constitute infringement  
27 of the '427 patent and the '878 patent; methods used in the manufacture of ZARXIO<sup>®</sup>;  
28 the use of filgrastim or ZARXIO<sup>®</sup> in conjunction with Plerixafor; prior litigation and/or  
agreements regarding Plerixafor; knowledge and consideration of the '427 patent and the  
'878 patent; sales and marketing of ZARXIO<sup>®</sup>; profitability of ZARXIO<sup>®</sup> and other  
information relating to damages; communications with the FDA; communications with  
doctors regarding the use of ZARXIO<sup>®</sup>; marketing and business strategy for ZARXIO<sup>®</sup>;  
documents on competition and the relevant market, including the value of ZARXIO<sup>®</sup> in  
the market and potential and actual competitors to Amgen and/or Sandoz; payor  
formulary decisions, provider prescribing behaviors; the development, manufacture,  
importation and use of ZARXIO<sup>®</sup>; the activities and involvement of the various Sandoz  
entities with respect to the development, manufacture, importation, sale, offer for sale,  
and use of the Sandoz biosimilar product; Sandoz's (k) application and manufacturing

1 information; Sandoz's contentions regarding the invalidity of the '427 patent [and the  
2 '878 patent]; and Sandoz's contentions regarding the noninfringement of Sandoz's  
3 biosimilar product.

4 Sandoz intends to pursue discovery relating to all the factual and legal contentions  
5 identified above as well as issues related to Sandoz's defenses in this action. Among  
6 other things, Sandoz intends to pursue discovery regarding, *inter alia*: Amgen's BLA for  
7 NEUPOGEN®, including communications with the FDA; documents reflecting the  
8 development, manufacture, and use of NEUPOGEN® for the use in mobilization of stem  
9 cells, including clinical trial documents; documents reflecting the conception and  
10 reduction to practice of the claimed inventions; documents reflecting prior sales, offer for  
11 sales, and/or public use of the claimed inventions; documents reflecting patent ownership  
12 and licensing; documents reflecting the marketing and business strategy for  
13 NEUPOGEN®; information relevant to Sandoz's invalidity contentions, once served, and  
14 Amgen's contentions regarding the alleged infringement and validity of the '427 patent  
15 and the '878 patent.

- 16 • Conduct of Discovery: The Parties do not believe that discovery should be conducted in  
17 phases. The Parties believe discovery should be limited to the claims and defenses set  
18 forth in this action in accordance with Rule 26, as amended in light of new information  
19 learned in discovery.
- 20 • Written Discovery Requests: The Parties expect to issue written discovery requests on a  
21 rolling basis as appropriate, including requests for admission, document requests, and  
22 interrogatories, directed to each of the above subjects and others as the need arises.
- 23 • Anticipated Deponents: The Parties expect to depose representatives of each Party entity  
24 pursuant to Rule 30(b)(6) as well as any technical and damages expert witnesses who  
25 may provide opinions on behalf of each Party.
- 26 • Expert Witnesses: The parties each anticipate needing one or more experts in the fields  
27 of technology to which the '427 patent and the '878 patent are directed and in the field of  
28 damages. The Parties agree that expert communications and drafts will be protected as  
provided in Fed. R. Civ. P. 26(b)(4).
- Electronically Stored Information (ESI): The Parties have met and conferred regarding  
the scope of electronic discovery, including determining the form of any production, the  
identities of the custodians, potential search terms, the scope of metadata provided, and  
the relevant time period. The Parties intend to negotiate in an effort to agree on a  
stipulated e-discovery order as informed by the Northern District of California's ESI  
Guidelines.
- Privilege Log: The Parties agree that there will be no requirement to identify on a  
privilege log any attorney-client communications and/or attorney work-product that was  
created on or after October 24, 2014, the date of the filing of the Complaint in the  
Northern District of California. The Parties agree that neither party shall be obligated to  
log e-mail communication in which an attorney appears in the "from" line and the only  
recipients are employees or other attorneys for the party or communications in which an



1 employee of a party appears in the “from” line, only attorneys appear in the “to” line and  
 2 all other recipients are employees or attorneys for the party. The Parties agree that a  
 3 party shall not have to log each e-mail in any applicable chain but shall log only the  
 4 highest level communication. The Parties otherwise agree that the production of  
 5 privilege logs will be governed by Fed. R. Civ. P. 26(b)(5). The Parties further agree to  
 6 provide their privilege logs twenty-one (21) days after the deadline for close of document  
 7 production (which is the deadline for completing all non-expert discovery), except that  
 8 privileged documents that may be relevant to depositions, and that are identified by the  
 9 producing party as privileged before such depositions, shall be included on a privilege log  
 10 that is served at least seven (7) days before the scheduled deposition. Failure to provide a  
 11 pre-deposition privilege log will not be deemed a waiver of any privilege, and no Party  
 12 will argue to the contrary.

- 13 • Protective Order: The Court entered a Protective Order on February 9, 2015. (Dkt. No.  
 14 60.)
- 15 • Any Proposed Limitations or Modifications of the Discovery Rules: The Parties propose  
 16 that the discovery rules set forth in the Federal Rules of Civil Procedure should apply as  
 17 follows: For both fact and expert depositions, the Parties will work in good faith to agree  
 18 to the date, location, deponent, and burden of expenses for the deposition of the Parties’  
 19 employees so that no party suffers excessive burden caused by another party’s request to  
 20 depose such employees. No fact witness shall be deposed for more than seven (7) hours  
 21 total absent an agreement between the Parties or leave of the Court. Each 7 hours of a  
 22 Rule 30(b)(6) deposition (from a single notice) constitutes 1 deposition, regardless of the  
 23 number of witnesses. No single witness may be deposed longer than 14 hours total even  
 24 if deposed in his personal capacity and as a Rule 30(b)(6) witness, and the Parties will  
 25 work in good faith to limit the total deposition time of any such witness. No Party will  
 26 use a Rule 30(b)(6) notice to seek the infringement, validity, enforceability or other legal  
 27 contentions of the opposing party. Each side may serve up to 25 written interrogatories  
 28 and up to 40 requests for admission upon the opposing side, not including any requests  
 for admission relating to authenticity. Discrete subparts in any interrogatory will each  
 count as a separate interrogatory.

In addition, the Parties agree that each deposition of a foreign witness conducted in a  
 foreign language through an interpreter shall be permitted 1.5 times the amount of time  
 set forth above if the entirety of the testimony is in a foreign language and this expansion  
 of time shall apply to the total amount of time available for depositions.

Amgen Separate Statement: Amgen proposes that the default provisions of Fed. R.  
 Civ. P. 30 of ten (10) fact depositions per side is appropriate in this case, particularly in  
 light of the Parties agreement regarding Rule 30(b)(6) depositions. At this time, Amgen  
 does not believe that differences in technology and potential prior art between the ’427  
 and ’878 patents warrants expanding the number of fact depositions as these are topics  
 customarily addressed by expert witnesses the depositions of which are not subject to this  
 limitation.

Sandoz Separate Statement. Sandoz proposes that each side be limited to 15 fact  
 depositions and a maximum total time of 90 hours, excluding expert depositions. Sandoz

1 proposes this figure based on the inclusion by Amgen of both the '427 patent and the  
2 newly asserted '878 patent. Sandoz notes that in Amgen's February 2015 initial  
3 disclosures, which accounted only for the '427 patent, Amgen had already identified a  
4 total of 10 individuals and entities (including an entire company, Roche). Fifteen  
5 depositions are needed in light of the addition of the '878 patent, which covers a  
6 completely different subject matter than Amgen's previously asserted patent, which  
involves new inventors, and which implicates new and different prior art and new and  
different technology. Sandoz has mitigated the burden of additional depositions by  
reducing the total number of hours for all depositions compared to what would apply if  
all depositions went 7 hours.

- 7 • Identification of Any Discovery Disputes: There are currently no discovery disputes  
8 between the Parties.
- 9 • Additional Matters Required by Local Patent Rule 2-1: The Parties have included any  
10 proposed modifications to the obligations and deadlines established by the Patent Local  
11 Rules in the proposed schedule in Exhibit A below. The timing of the claim construction  
12 discovery schedule is set forth in Exhibit A below. At this point in the case, the Parties  
13 are not in a position to determine whether they will rely on experts for claim construction  
14 purposes but should the Parties desire to use an expert and are permitted to do so by the  
15 Court, the Parties will work in good faith to set a schedule at that time. As to the claim  
construction hearing, the Parties are not currently in a position to determine whether live  
testimony will be presented or the estimated length of the hearing. Amgen as Plaintiff  
will present its claim construction arguments first. Both parties may offer a short  
summary, explanation of the technology at issue and/or tutorial presentation to educate  
the court about the technology at issue.

## 16 **9. Class Actions**

17 This case is not a class action.

## 18 **10. Related Cases**

19 There are no related cases under Civil L.R. 3-12.

## 20 **11. Relief**

21 The relief sought by Amgen is fully set forth on pages 36-37 of its October 24, 2014  
22 Complaint (Dkt. No. 1). The relief sought by Sandoz is fully set forth on pages 32-33 of its  
23 November 20, 2014 Answer and Counterclaims (Dkt. No. 22).

## 24 **12. Settlement and ADR**

25 The Parties met and conferred pursuant to ADR L.R. 3-5(a), and did not reach  
26 agreement on an ADR process. The Parties attended an ADR Phone Conference held on  
27 January 21, 2015 with Daniel Bowling. A further ADR Phone Conference was scheduled for  
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1 May 6, 2015. After the case was stayed, the further ADR Conference was moved off  
2 calendar, and counsel were directed to contact the ADR unit if necessary following the ruling  
3 from the Federal Circuit. The Parties believe that it is not feasible to identify the appropriate  
4 method for or timing for additional ADR proceedings until both Parties have delivered their  
5 respective contentions regarding infringement and validity.

6 **13. Consent to Magistrate Judge For All Purposes**

7 The Parties have not consented to proceed before a magistrate judge for all purposes.

8 **14. Other References**

9 The Parties agree that this case is not suitable for reference to binding arbitration, a  
10 special master, or the Judicial Panel on Multidistrict Litigation.

11 **15. Narrowing of Issues**

12 The Parties are not presently aware of any issues that can be narrowed by agreement.  
13 The Parties reserve the right to seek further narrowing of issues and will do so in conjunction  
14 with the mandatory Pretrial Conference.

15 **16. Expedited Trial Procedure**

16 The Parties do not believe that this case is the type of case that can be handled under  
17 the Expedited Trial Procedure of General Order No. 64, Attachment A.

18 **17. Scheduling**

19 The Parties have agreed upon a proposed case schedule through the Claim  
20 Construction Hearing as reflected in Exhibit A. The Parties not been able to come to  
21 agreement regarding a proposed schedule for the remainder of the case and therefore present  
22 separate proposals in Exhibit A.

23 **Amgen's Statement Regarding Schedule:** Trial in this case was previously  
24 scheduled to begin June 13, 2016. Following the Court's ruling on the parties cross  
25 motions for judgment on the pleadings, the case was stayed on March 25. In late  
26 August, Amgen initiated efforts, which Sandoz ultimately joined, to lift the stay in  
27 this case so that the case may progress expeditiously to trial. The Court lifted the stay  
28 on September 8. Amgen has proposed a schedule that seeks to account for the stay  
and adjust the trial date. As set forth in Exhibit A, Amgen has proposed a case  
schedule that provides adequate time for the Parties to prepare their claims and  
defenses and allows the case to be trial ready by early October 2016 (approximately

1 two years from the commencement of this action). In contrast, Sandoz has proposed  
2 a schedule that would delay trial until spring 2017.

3 Amgen has proposed a schedule that allows for the expeditious resolution of the  
4 parties' disputes. Amgen has proposed a schedule that provides seven and a half  
5 months to conduct fact discovery. Amgen does not anticipate the need for extensive  
6 fact discovery following claim construction as the schedule requires that each party  
7 disclose its claim construction positions early in discovery and the parties may  
8 conduct their discovery accordingly. Fact discovery is followed by separate periods  
9 for expert discovery, dispositive motion practice, and pretrial submissions. Sandoz  
10 overstates the issues related to timing of expert discovery. The proposed schedule  
11 provides for three rounds of expert reports (opening on issues for which the parties  
bear the burden of proof, rebuttal, and reply). As rebuttal experts are likely to provide  
a single report, the parties may begin depositions of rebuttal experts immediately  
upon exchange of their reports leaving the period between reply reports and the close  
of expert discovery for the depositions of experts who provide opinions on which the  
party bears the burden of proof (opening and reply reports). Thus, under Amgen's  
proposed schedule the parties have almost a month to take expert depositions  
beginning on or about June 17 and continuing through July 15.

12 Sandoz's opposition to the schedule proposed by Amgen is, in large part, driven by  
13 Sandoz's contention that inclusion of the '878 patent necessitates greatly expanding  
14 fact and expert discovery. However, the addition of a single patent to a case, making  
15 this a two patent case, is hardly unusual in this District 2and, contrary to Sandoz's  
16 contention, does not warrant dramatically delaying resolution of the case. One of the  
17 two patents that will be at issue has been known to Sandoz since the case was filed in  
October 2014. Indeed, Sandoz has had the benefit of Amgen's infringement  
contentions for the '427 patent since February and has had more than six months to  
develop its invalidity contentions (invalidity contentions were due in March but the  
case was stayed the same week as the contentions would have been due).

18 Although the Parties have engaged in extensive efforts to reach agreement with  
19 regard to the case schedule, they have only been able to do so through claim  
20 construction. Amgen respectfully submits that its proposed schedule strikes a fair  
21 balance between the interests of the Parties and adequately addresses Sandoz's  
counsel's other matters and thus should be adopted.

22 **Sandoz's Statement:** On September 21, 2015, Amgen stated, for the first time, that  
23 it intended to amend its complaint and assert U.S. Patent No. 8,940,878 ("the '878  
24 patent"), a patent not previously identified by Amgen in any prior allegation or  
25 communication. The technology of the '878 patent differs in every possible way  
26 from the prior patent. Amgen's prior patent claims a method of treating patients with  
27 filgrastim. The new patent involves technology to perform a purification step during  
28 the production of recombinant proteins. There is no relationship at all between the  
technology claimed in the original and new patent. Nor do the steps associated with  
any alleged infringement overlap.

1 Amgen's addition of a new, previously undisclosed patent that claims different  
2 technology requires additional time for fact discovery and additional time to complete  
3 expert deposition since it is certain that additional expert witnesses will be required.  
Sandoz's proposed schedule after the Claim Construction Hearing has been included  
in Exhibit A.

4 The following discusses the primary differences between the two proposed schedules  
5 and the reasons for Sandoz's proposal:

- 6 • *Fact and Expert Discovery Deadlines.* Sandoz's schedule permits fact discovery to  
7 continue for approximately 45 days after the time set for claim construction,  
8 anticipating based on experience that claim construction proceedings may identify  
9 issues not previously addressed. Similarly, Sandoz's schedule allows experts to  
10 prepare reports with the benefit of the Court's claim construction rulings. Amgen's  
11 schedule requires the experts to guess the outcome. The adoption of Sandoz's  
12 schedule will avoid duplication of effort and confusion regarding the scope, nature  
and applicability of any expert opinions that arise from a claim construction different  
than the constructions adopted by the Court. Finally, Sandoz's proposed schedule  
provides approximately seven weeks additional time for fact discovery in comparison  
to Amgen's proposal to account for the additional discovery that will be required due  
to Amgen's late introduction of the '878 patent.
- 13 • *Expert Depositions.* Amgen's schedule does not allow sufficient time between the  
14 completion of expert reports and completion of expert depositions. Each side will  
15 likely have 3 or 4 expert witnesses, for a total of 6 to 8 expert deposition. Amgen's  
16 proposed schedule is likely to require many (if not all) of those deposition to occur  
between July 1, 2016, to July 15, 2016, a period that also includes the July 4 holiday.  
Sandoz's schedule permits those depositions to occur over 30 days.

## 17 **18. Trial**

18 The Parties have both requested a trial by jury for all issues so triable. The Parties  
19 currently anticipate the length of trial to be approximately 7-9 days.

## 20 **19. Disclosure of Non-Party Interested Entities or Persons**

21 Each party has filed the Certification of Interested Entities or Persons required by  
22 Civil L.R. 3-15, and restates here the contents of its certification.

- 23 • Plaintiff Amgen Inc. states that it has no parent corporation and that no publicly-  
24 held corporation owns 10% or more of its stock. Plaintiff Amgen Manufacturing,  
25 Ltd. states that it is a wholly owned subsidiary of Amgen Inc.
- 26 • Defendant Sandoz Inc. states that it is an indirect subsidiary of Novartis AG.  
27 Novartis AG's shares are listed and traded on the SIX Swiss Exchange as well as  
28 on the NYSE in the form of American Depositary Receipts representing Novartis  
American Depositary Shares.

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**20. Professional Conduct**

All attorneys of record for the Parties have reviewed the Guidelines for Professional Conduct for the Northern District of California.

**21. Other Matters**

The Parties have not identified any other matters that may facilitate the just, speedy and inexpensive disposition of this matter.

1 Dated: September 23, 2015

2 Respectfully submitted,

3 By: /s/ Vernon M. Winters

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**SIGNATURE ATTESTATION**

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Pursuant to Civil Local Rule 5-1(i)(3), I hereby certify that concurrence in the filing of this document has been obtained from each of the other Signatories shown above.

Dated: September 23, 2015

By: /s/ Alexander D. Baxter



**Exhibit A: Proposed Schedule**

Description	Amgen's Proposed Date	Sandoz's Proposed Date
Order Granting Joint Motion to Lift Stay of Patent Proceedings	09/08/2015	
Deadline for Sandoz International GmbH and Sandoz GmbH to move, answer, or otherwise respond to the complaint	09/28/2015	
Case Management Conference	10/01/2015	
Deadline for Infringement Contentions for '878 Patent	10/15/2015	
Deadline for Joining Parties and Amending Pleadings	10/15/2015	
Amgen's Supplemental FRCP 26(a) Disclosures for '878 Patent	11/5/2015	
Invalidity Contentions Due [45 days after infringement contentions under P.R. 3-3]	12/11/2015	
Sandoz's Supplemental FRCP 26(a) Disclosures	12/18/2015	
Exchange of Proposed Terms for Construction [14 days after invalidity contentions under P.R. 4-1]	12/22/2015	
Exchange of Preliminary Claim Constructions and Extrinsic Evidence [21 days after exchange of proposed terms under P.R. 4-2]	1/20/2016	
Joint Claim Construction and Prehearing Statement [60 days after invalidity contentions; P.R. 4-3]	2/19/2016	
Completion of Claim Construction Discovery [30	3/16/2016	

Description	Amgen's Proposed Date	Sandoz's Proposed Date
days after Joint Claim Construction and Prehearing Statement under P.R. 4-4]		
Amgen's Opening Claim Construction Brief [45 days after Joint Claim Construction and Prehearing Statement under P.R. 4-5(a)]	4/1/2016	
Sandoz's Opposing Claim Construction Brief [14 days after opening briefs under P.R. 4-5(b)]	4/15/2016	
Amgen's Reply Claim Construction Brief [7 days after opposition briefs under P.R. 4-5(c)]	4/22/2016	
Claim Construction Hearing [2 weeks after submission of reply brief under P.R. 4-6]	5/2/2016 or at the Court's earliest convenience	
Advice of Counsel Defense Discovery Due [P.R. 3-7]	50 days from claim construction ruling	
Completion of Non-Expert Discovery	5/13/2016	7/7/2016
Opening Expert Reports (on issues where the party bears the burden of proof)	5/30/2016	8/15/2016
Final Privilege Logs	6/3/2016	7/21/2016
Rebuttal Expert Reports	6/17/2016	9/15/2016
Reply Expert Report (to rebut any alleged secondary considerations of non-obviousness, which shall be addressed first by Amgen in its Rebuttal Expert Reports)	7/1/2016	9/30/2016
Completion of Expert Discovery	7/15/2016	11/3/2016
Dispositive Motions	7/25/2016	11/17/2016
Dispositive Motion	8/8/2016	12/8/2016

Description	Amgen's Proposed Date	Sandoz's Proposed Date
Responsive Briefs [14 days under L-R 7-3]		
Dispositive Motion Reply Briefs [7 days under L-R 7-3]	8/15/2016	12/15/2016
Dispositive Motion Hearing	Week of 8/29/2016 or at the Court's earliest convenience	1/6/2017 or at the Court's earliest convenience
Pretrial Meet and Confer [21 Days prior to the final Pretrial Conference; Judge Seeborg Guidelines, ¶ A]	9/22/2016	1/19/2017
Joint Pretrial Statement and Order, Pretrial Exchanges, and Motions in Limine [10 days prior to the final Pretrial Conference; Judge Seeborg Guidelines, ¶¶ B, D]	10/3/2016	1/31/2017
Jury Voir Dire Questions, Proposed Jury Instructions, and Proposed Jury Verdict Forms [5 days prior to the final Pretrial Conference; Judge Seeborg Guidelines, ¶D]	10/7/2016	2/2/2017
Oppositions to Motions in Limine [3 days prior to final Pretrial Conference; Judge Seeborg Guidelines, ¶¶ B, D]	10/10/2016	2/6/2017
Optional Trial Briefs, Deposition and Discovery Designations [5 days prior to Trial; Judge Seeborg Guidelines, ¶ D]	10/12/2016	2/14/2017
Pretrial Conference	10/13/2016	2/9/2017
Trial	Week of 10/17/2016 or at the Court's earliest convenience	Week of 2/20/2017 or the Court's earliest convenience