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18 UNITED STATES DISTRICT COURT  
19 CENTRAL DISTRICT OF CALIFORNIA

20  
21 AMGEN INC.,  
22 Plaintiff,  
23 v.  
24 GENENTECH, INC. and CITY OF  
25 HOPE,  
26 Defendants.

Case No. 17-cv-7349

**COMPLAINT FOR  
DECLARATORY JUDGMENT OF  
PATENT NON-INFRINGEMENT,  
INVALIDITY, AND  
UNENFORCEABILITY**

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1 Plaintiff Amgen Inc. (“Amgen”) brings this action for declaratory judgment  
2 of patent non-infringement, invalidity, and unenforceability against Defendants  
3 Genentech, Inc. (“Genentech”) and City of Hope. Amgen alleges as follows:

4 **NATURE OF THE CASE**

5 1. This is an action for declaratory judgment of non-infringement,  
6 invalidity, and unenforceability relating to the following patents:

- 7 (i) U.S. Patent No. 6,054,297 (“the ’297 patent”);
- 8 (ii) U.S. Patent No. 6,121,428 (“the ’428 patent”);
- 9 (iii) U.S. Patent No. 6,242,177 (“the ’177 patent”);
- 10 (iv) U.S. Patent No. 6,331,415 (“the ’415 patent”);
- 11 (v) U.S. Patent No. 6,407,213 (“the ’213 patent”);
- 12 (vi) U.S. Patent No. 6,417,335 (“the ’335 patent”);
- 13 (vii) U.S. Patent No. 6,586,206 (“the ’206 patent”);
- 14 (viii) U.S. Patent No. 6,610,516 (“the ’516 patent”);
- 15 (ix) U.S. Patent No. 6,620,918 (“the ’918 patent”);
- 16 (x) U.S. Patent No. 6,870,034 (“the ’034 patent”);
- 17 (xi) U.S. Patent No. 6,884,879 (“the ’879 patent”);
- 18 (xii) U.S. Patent No. 7,060,269 (“the ’269 patent”);
- 19 (xiii) U.S. Patent No. 7,169,901 (“the ’901 patent”);
- 20 (xiv) U.S. Patent No. 7,297,334 (“the ’334 patent”);
- 21 (xv) U.S. Patent No. 7,323,553 (“the ’553 patent”);
- 22 (xvi) U.S. Patent No. 7,375,193 (“the ’193 patent”);
- 23 (xvii) U.S. Patent No. 7,622,115 (“the ’115 patent”);
- 24 (xviii) U.S. Patent No. 7,807,799 (“the ’799 patent”);
- 25 (xix) U.S. Patent No. 7,923,221 (“the ’221 patent”);
- 26 (xx) U.S. Patent No. 8,044,017 (“the ’017 patent”);
- 27 (xxi) U.S. Patent No. 8,460,895 (“the ’895 patent”);

1 (xxii) U.S. Patent No. 8,512,983 (“the ’983 patent”);  
2 (xxiii) U.S. Patent No. 8,574,869 (“the ’869 patent”);  
3 (xxiv) U.S. Patent No. 8,633,302 (“the ’302 patent”);  
4 (xxv) U.S. Patent No. 8,710,196 (“the ’196 patent”);  
5 (xxvi) U.S. Patent No. 9,441,035 (“the ’035 patent”); and  
6 (xxvii) U.S. Patent No. 9,487,809 (“the ’809 patent”) (collectively, “the  
7 patents-in-suit”).

8 2. According to Genentech, the patents-in-suit relate to an antibody  
9 product called bevacizumab, which Genentech markets under the brand name  
10 Avastin®. Avastin® is approved by the FDA for the treatment of several types of  
11 cancer.

12 3. A substantial controversy exists between Amgen, on the one hand, and  
13 Genentech and City of Hope, on the other hand, in which the parties have adverse  
14 legal interests of sufficient immediacy and reality to warrant the issuance of a  
15 declaratory judgment. Amgen submitted an application to the FDA under 42 U.S.C.  
16 § 262(k) for licensure of a bevacizumab biological product (hereinafter, “biosimilar  
17 product,” “ABP 215,” or “Mvasi™”) that is highly similar to the Avastin® brand of  
18 bevacizumab. Amgen provided Genentech with a copy of its application and in  
19 response, Genentech identified the patents-in-suit, which Genentech alleges could  
20 reasonably be asserted against Amgen if it were to manufacture, use, offer for sale,  
21 or sell in the United States, or import into the United States, its biosimilar product.  
22 In addition, Genentech provided Amgen with a statement purporting to contain the  
23 factual and legal basis of Genentech’s opinion that the patents-in-suit would be  
24 infringed by the commercial marketing of Amgen’s biosimilar product. Finally,  
25 Genentech has asserted that patents-in-suit have been infringed by the manufacture  
26 and/or use of Amgen’s bevacizumab biological product for uses that were not  
27 reasonably related to the development and submission of information to the FDA.

1 The FDA approved Amgen's biosimilar product, Mvasi™, for commercial  
2 marketing on September 14, 2017. Pursuant to 42 U.S.C. § 262(l)(8)(A) and on  
3 October 6, 2017, Amgen provided Genentech with notice that the first commercial  
4 marketing of Amgen's Mvasi™ brand of bevacizumab will commence not earlier  
5 than 180 days from the date of notice.

#### 6 **PARTIES**

7 4. Amgen Inc. is a corporation organized under the laws of the State of  
8 Delaware, with its principal place of business at One Amgen Center Drive,  
9 Thousand Oaks, CA 91320.

10 5. Genentech, Inc. is a corporation organized under the laws of the State  
11 of Delaware, with its principal place of business at 1 DNA Way, South San  
12 Francisco, CA 94080.

13 6. On information and belief, Defendant City of Hope is a not-for-profit  
14 organization organized and existing under the laws of California, having its  
15 principal place of business in this District at 1500 East Duarte Road, Duarte,  
16 California 91010.

#### 17 **JURISDICTION AND VENUE**

18 7. This Court has subject matter jurisdiction pursuant to 28 U.S.C.  
19 §§ 1331, 1338(a), 2201, and 2202, as well as 35 U.S.C. § 271(e)(2). Amgen  
20 provided to Genentech the application and information required under 42 U.S.C.  
21 § 262(l)(2)(A). And in response, Genentech identified the patents-in-suit pursuant  
22 to 42 U.S.C. § 262(l)(3)(A), which Genentech alleges could reasonably be asserted  
23 against Amgen if it were to manufacture, use, offer for sale, or sell in the United  
24 States, or import into the United States, its biosimilar product. On October 6, 2017,  
25 Amgen provided notice of commercial marketing to Genentech pursuant to 42  
26 U.S.C. § 262(l)(8)(A).

1           8.     The Court has personal jurisdiction over Genentech because Genentech  
2 has its headquarters and principal place of business in the State of California.  
3 Genentech also maintains multiple other facilities in California. Upon information  
4 and belief, Genentech markets, distributes and sells pharmaceutical products,  
5 including Avastin®, in California. Genentech’s continuous corporate operations  
6 within California are so substantial and of such a nature to justify suit against it on  
7 causes of action arising from dealings entirely distinct from those activities.

8           9.     The Court also has personal jurisdiction over Genentech because,  
9 among other reasons, Genentech’s activities in California are continuous and  
10 systematic and gave rise to this action. For example, Genentech has sent to Amgen  
11 (i) notice letters, (ii) a list of patents that it purports could reasonably be asserted  
12 against Amgen, and (iii) a statement that purports to describe, among other things,  
13 the factual and legal basis of Genentech’s opinion that patents that it owns, or for  
14 which it is an exclusive licensee, will be infringed by the commercial marketing of  
15 Amgen’s biosimilar product, all within this District and the State of California.  
16 Genentech has also engaged in other activities in California relating to the  
17 enforcement of the patents-in-suit, including an in-person meeting with Amgen in  
18 this District to determine which, if any, patents should be the subject of an action for  
19 patent infringement under 42 U.S.C. § 262(l)(6).

20           10.    The Court has personal jurisdiction over City of Hope because, among  
21 other reasons, upon information and belief, it is organized under the laws of the  
22 State of California and has its principal place of operations in this District in  
23 California. Upon information and belief, City of Hope is the co-owner of one or  
24 more patents-in-suit.

25           11.    Venue is proper in this district pursuant to 28 U.S.C. § 1391 because,  
26 among other reasons, upon information and belief, City of Hope resides in this  
27 judicial district. Also, Genentech has directed at Amgen certain activities in this  
28

1 District relating to the enforcement of the patents-in-suit, including the transmission  
2 of (i) notice letters, (ii) a list identifying the patents-in-suit among those patents that  
3 Genentech believes could reasonably be asserted against Amgen following the  
4 submission of its subsection (k) application, and (iii) a statement that purports to  
5 describe Genentech’s opinions regarding the infringement, validity, and  
6 enforceability of the patents-in-suit. Genentech also attended an in-person meeting  
7 with Amgen in this District to determine which, if any, patents should be the subject  
8 of an action for patent infringement. Both Genentech and City of Hope have  
9 litigated in this District at least 11 separate actions relating to patents-in-suit,  
10 including those having civil action numbers 2-16-cv-04992, 2-15-cv-09991, 2-15-  
11 cv-05685, 2-13-cv-07248, 2-13-cv-05400, 2-11-cv-06594, 2-11-cv-03065, 2-11-cv-  
12 06519, 2-10-cv-02764, 2-08-cv-03573, and 2-03-cv-02567. Furthermore, a  
13 substantial part of the events giving rise to Genentech’s assertions that Amgen has  
14 infringed the patents-in-suit occurred in this District. Amgen, a resident of this  
15 District, prepared and filed its application for its biosimilar product pursuant to 42  
16 U.S.C. § 262(k) (“subsection (k)” application) from within this District. Amgen  
17 also has conducted substantial activities, including correspondence with the FDA, in  
18 furtherance of its subsection (k) application from within this District. Amgen also  
19 has performed substantial activities in this District relating to the development,  
20 manufacture, and future commercial marketing of its biosimilar product.

## 21 **FACTUAL BACKGROUND**

22 12. Amgen has been a biotechnology pioneer since 1980, discovering,  
23 developing, manufacturing, and delivering innovative and important human  
24 therapeutic products. Since its inception, Amgen has focused on the development of  
25 biologic drugs. Unlike most traditional drugs that are synthesized chemically and  
26 have a known structure, biologic drugs are “complex mixtures that are not easily  
27 identified or characterized” and represent “the cutting-edge of biomedical research.”

1 FDA, What are “Biologics” Questions and Answers (Aug. 5, 2015),  
2 [http://www.fda.gov/aboutfda/centersoffices/officeofmedicalproductsandtobacco/cbe](http://www.fda.gov/aboutfda/centersoffices/officeofmedicalproductsandtobacco/cber/ucm133077.htm)  
3 [r/ucm133077.htm](http://www.fda.gov/aboutfda/centersoffices/officeofmedicalproductsandtobacco/cber/ucm133077.htm). Because of their complexity, biologic drugs require substantially  
4 more effort, monetary resources and technical expertise to develop than traditional  
5 drugs that are synthesized chemically.

6 13. Over the last nearly 40 years and still today, Amgen’s unparalleled  
7 experience and expertise in biologics research, development and manufacture has  
8 enabled it to develop biologic drugs to treat serious illnesses where there has  
9 previously been unmet medical needs and limited treatment options. These  
10 medicines have dramatically changed the treatment of disease and the lives of  
11 patients with these life-altering and life-threatening diseases. Since its inception,  
12 Amgen has developed a number of biologic medicines that have changed the  
13 standard of care, two of which have been named Product of the Year by Fortune  
14 Magazine and many which have received scientific and industry awards in  
15 recognition of Amgen’s innovation. Over the last twenty years alone, Amgen  
16 received FDA approval of at least thirteen drugs that have addressed serious  
17 illnesses of patients.

18 14. In 2011, Amgen announced that it would develop and commercialize  
19 several oncology antibody biosimilar drugs, including biosimilar versions of  
20 Genentech’s Avastin®, Herceptin®, and Rituxan®. In the announcement, Amgen  
21 recognized that “the development and commercialization of biosimilar products will  
22 not follow a pure brand or generic model, and will require significant expertise,  
23 infrastructure, and investment to ensure safe, reliably supplied therapies for  
24 patients.” Amgen and Watson Announce Collaboration to Develop and  
25 Commercialize Oncology Biosimilars, Media Release (Dec. 19, 2011),  
26 <http://www.amgenbiosimilars.com/media/media-releases/2011/12/amgen-and->  
27

1 watson-announce-collaboration-to-develop-and-commercialize-oncology-  
2 biosimilars/.

3 15. Since its original announcement regarding biosimilars, Amgen has  
4 devoted significant time, effort, and substantial monetary resources to the  
5 development of Mvasi™. With its deep experience in biologics development and  
6 manufacture, Amgen developed materials that have been and will be used to make  
7 Mvasi™, including its proprietary cell line and cell culture used to produce the  
8 antibody that is the active ingredient of Mvasi™ (“Mvasi™ antibody”). Amgen  
9 also designed the manufacturing process and process controls that have been and  
10 will be used to make Mvasi™, including, among other things, developing the cell  
11 culture, harvest, and numerous purification steps to manufacture and purify the  
12 Mvasi™ antibody. Amgen also conducted numerous clinical studies in which it  
13 successfully tested Mvasi™ in humans. In the end, Amgen generated  
14 comprehensive analytical, pharmacokinetic, pharmacodynamic and clinical data that  
15 was submitted to the FDA as part of the FDA-approval process and that is the basis  
16 for the FDA’s ultimate approval of Mvasi™.

17 **Congress Enacts Legislation Creating a Regulatory Pathway for**  
18 **Biosimilar Biological Products**

19 16. By amending the Public Health Service Act, the Patent Act, and the  
20 Declaratory Judgment Act, and through the Biologics Price Competition and  
21 Innovation Act of 2009 (the “BPCIA”), Congress created a new pathway for FDA  
22 review and approval of “biosimilar” biological products, as well as new mechanisms  
23 to resolve patent disputes that may arise with respect to such products.

24 17. “The BPCIA governs a type of drug called a biosimilar, which is a  
25 biologic product that is highly similar to a biologic product that has already been  
26 approved by the Food and Drug Administration (FDA).” *Sandoz Inc. v. Amgen Inc.*,  
27 137 S. Ct. 1664, 1669 (2017).



1 asserted by the reference product sponsor . . . if a person not licensed by the  
2 reference product sponsor engaged in the making, using, offering to sell, selling, or  
3 importing into the United States of the biological product that is the subject of the  
4 subsection (k) application.” 42 U.S.C. § 262(l)(3)(A). *Third*, the BPCIA requires  
5 the applicant to provide a “detailed statement that describes, on a claim by claim  
6 basis, the factual and legal basis of the opinion of the subsection (k) applicant that  
7 such patent is invalid, unenforceable, or will not be infringed by the commercial  
8 marketing of the biological product that is the subject of the subsection (k)  
9 application.” 42 U.S.C. § 262(l)(3)(B)(ii)(I). Alternatively, the applicant can  
10 provide “a statement that the subsection (k) applicant does not intend to begin  
11 commercial marketing of the biological product before the date that such patent  
12 expires.” 42 U.S.C. § 262(l)(3)(B)(ii)(II). *Last*, the BPCIA states that the reference  
13 product sponsor “shall provide to the subsection (k) applicant a detailed statement  
14 that describes, with respect to each patent described in subparagraph (B)(ii)(I), on a  
15 claim by claim basis, the factual and legal basis of the opinion of the reference  
16 product sponsor that such patent will be infringed by the commercial marketing of  
17 the biological product that is the subject of the subsection (k) application and a  
18 response to the statement concerning validity and enforceability provided under  
19 subparagraph (B)(ii)(I).” 42 U.S.C. § 262(l)(3)(C).

20 21. Following the information exchange, the BPCIA requires the reference  
21 product sponsor and the applicant to engage in “good faith negotiations to agree on  
22 which, if any, patents listed under paragraph (3) by the subsection (k) applicant or  
23 the reference product sponsor shall be the subject of an action for patent  
24 infringement under paragraph (6) [of the statute].” 42 U.S.C. § 262(l)(4). If  
25 agreement cannot be reached, the statute provides for a mechanism of further  
26 exchanges to determine which patent(s) will be the subject of a paragraph (6) patent  
27 litigation. 42 U.S.C. § 262(l)(4)(B)-(5). While the procedure and timing depend on  
28

1 whether the reference product sponsor and the applicant can reach agreement, the  
2 process may result in a statutorily defined action for patent infringement. 42 U.S.C.  
3 § 262(l)(6).

4 22. Paragraph (l)(8) of the BPCIA states that “[t]he subsection (k) applicant  
5 shall provide notice to the reference product sponsor not later than 180 days before  
6 the date of the first commercial marketing of the biological product licensed under  
7 subsection (k).” 42 U.S.C. § 262(l)(8)(A). Once the applicant’s notice of  
8 commercial marketing is received by the reference product sponsor, any limitation  
9 under the BPCIA on bringing an action under section 2201 of title 28 for a  
10 declaration of rights concerning patent infringement, validity and/or enforceability is  
11 lifted. 42 U.S.C. § 262(l)(9).

12 **The Parties’ Exchanges Following the Filing of Amgen’s**

13 **Subsection (k) Application for Approval of Its Biosimilar Product**

14 23. According to the FDA’s “Purple Book,” Genentech’s Avastin® brand  
15 of bevacizumab was first approved on February 26, 2004.

16 24. On November 14, 2016, Amgen filed its Biologics License Application  
17 (“BLA”) for Mvasi™ pursuant to 42 U.S.C. § 262(k). Amgen’s BLA was filed after  
18 the expiration of the 4 year and 12 year statutory periods provided by 42 U.S.C.  
19 § 262(k)(7). Amgen received notification from the FDA that its BLA had been  
20 accepted for review on January 4, 2017.

21 25. Genentech wrote a letter to Amgen following the FDA’s acceptance of  
22 Amgen’s BLA. In this letter, dated January 13, 2017, Genentech requested vaguely  
23 defined information relating to the processes used in the production of Mvasi™  
24 “irrespective of whether it is contained in the aBLA.” The letter also purported to  
25 include “exemplary citations” to approximately 30 patents, including several which,  
26 upon information and belief, were not assigned or exclusively licensed to  
27 Genentech.



1 infringement pursuant to § 262(l)(3)(A). If, however, in  
2 your evaluation of Amgen’s disclosure you believe that  
3 additional targeted information not already provided in  
4 Amgen’s § 262(l)(2)(A) disclosure would be helpful to  
5 Genentech in making its determination under  
6 § 262(l)(3)(A), we would be happy to discuss the  
7 production of such information if it is reasonably  
8 available to Amgen.

9 30. Instead of making any requests for targeted information as Amgen  
10 invited Genentech to do, Genentech filed suit against Amgen on February 15, 2017,  
11 in the District of Delaware, alleging that Amgen had violated the BPCIA, including  
12 alleged violations of 42 U.S.C. § 262(l)(2)(A). The Court dismissed Genentech’s  
13 Complaint two weeks later. In its March 1, 2017 Order, the Court provided  
14 Genentech with 45 days to file an amended Complaint alleging patent infringement  
15 pursuant to 42 U.S.C. § 262(l)(9)(C) if Genentech, in fact, believed that Amgen had  
16 violated the BPCIA. As discussed further below, Genentech did not file an  
17 amended complaint.

18 31. Meanwhile, on March 24, 2017, Genentech provided Amgen with its  
19 list of patents pursuant to 42 U.S.C. § 262(l)(3)(A) (“the (3)(A) list”) that Genentech  
20 “believe[d] could reasonably be asserted against Amgen’s proposed ABP 215  
21 product based upon a review of the product’s aBLA filing.” Genentech’s (3)(A) list  
22 included a total of 27 patents, including the patents-in-suit.

23 32. On April 14, 2017, Genentech told the Court in the Delaware action  
24 that Genentech would not be filing an amended Complaint because “[w]e believe it  
25 is more efficient for the Court and the parties to address both the patent merits and  
26 Amgen’s continued noncompliance with its statutory production obligations . . .  
27 after the Supreme Court’s expected decision in June in *Amgen v. Sandoz*.”

1 Genentech, however, failed to inform the Court that it had already provided Amgen  
2 with its (3)(A) list. The Supreme Court subsequently issued its decision in the  
3 *Amgen v. Sandoz* case on June 12, 2017. Following the decision, Genentech again  
4 did not file a declaratory judgment action for patent infringement pursuant to 42  
5 U.S.C. § 262(l)(9)(C), which, according to the Supreme Court, “excludes all other  
6 federal remedies, including injunctive relief,” for any alleged noncompliance with  
7 § 262(l)(2)(A).

8 33. Amgen fully responded to Genentech’s (3)(A) list by providing  
9 Genentech a statement pursuant to 42 U.S.C. § 262(l)(3)(B)(ii)(II), and further  
10 providing Genentech, pursuant to 42 U.S.C. § 262(l)(3)(B)(ii)(I), a 778-page  
11 detailed statement that describes on a claim-by-claim basis the factual and legal  
12 bases for Amgen’s opinion that patents included on Genentech’s (3)(A) list are not  
13 infringed and/or are invalid or unenforceable (Amgen’s “(3)(B) statement”).  
14 Amgen annotated its non-infringement contentions with detailed citations to its  
15 BLA. Amgen timely provided its detailed statement to Genentech on May 23, 2017.

16 34. On July 22, 2017, Amgen received Genentech’s alleged statement  
17 pursuant to § 262(l)(3)(C) (Genentech’s “(3)(C) statement”). Even though the  
18 BPCIA required Genentech to provide, among other things, “on a claim by claim  
19 basis, the factual and legal basis of the opinion of the reference product sponsor that  
20 [each] patent [identified in Amgen’s (3)(B) statement] will be infringed by the  
21 commercial marketing of the biological product that is the subject of the subsection  
22 (k) application,” Genentech did not address all of the patents identified in Amgen’s  
23 (3)(B) statement. Specifically, Genentech did not provide any response to Amgen’s  
24 contentions for the ’553 patent or the ’516 patent, or claim 4 of the ’017 patent. In  
25 addition, Genentech provided no factual or legal basis to support a claim of  
26 infringement for 11 patents, and 2 claims of a twelfth patent, on its (3)(A) list,  
27 relying instead on its own unsupported assertion that Amgen violated § 262(l)(2)(A)

1 to “justify” contentions that Amgen’s commercial marketing of Mvasi™ would  
2 somehow infringe Genentech’s patents.

3 35. On September 6, 2017, Amgen wrote to Genentech regarding its non-  
4 compliance with § 262(l)(3)(C). For example, Amgen explained that “Genentech’s  
5 § 262(l)(3)(C) statement fails to provide the requisite detailed factual and legal basis  
6 for its infringement contentions when . . . Genentech relies on Amgen’s alleged  
7 § 262(l)(2)(A) violation.” Amgen also explained that, according to recent Supreme  
8 Court precedent, any purported or perceived violation of § 262(l)(2)(A) is not an act  
9 of patent infringement and, therefore, cannot serve as the basis for Genentech’s  
10 continued assertion of 11 patents and 2 claims of a twelfth patent. *See Sandoz Inc.*  
11 *v. Amgen Inc.*, 137 S.Ct. 1664, 1674 (2017) (“Failing to disclose the application and  
12 manufacturing information under § 262(l)(2)(A) does not [constitute an act of  
13 infringement under 35 U.S.C. § 271(e)(2)].”) In view of Genentech’s failure to  
14 properly address the 13 patents and 3 claims described in Paragraph 34 above,  
15 Amgen requested that Genentech confirm that it would remove them from its (3)(A)  
16 list, or otherwise covenant that it would not assert them with respect to Mvasi™.

17 36. Genentech responded on September 8, 2017. In its letter, Genentech  
18 refused to withdraw any patents or claims from its (3)(A) list as previously  
19 requested by Amgen. Genentech also stated that, in spite of the issues raised  
20 regarding its compliance with § 262(l)(3)(C), “[w]e believe those contentions  
21 suffice.”

22 37. On September 14, 2017, the parties held an in-person meeting in Los  
23 Angeles, California to engage in good-faith negotiations under § 262(l)(4) regarding  
24 which patents on Genentech’s (3)(A) list shall be the subject of an action for patent  
25 infringement under § 262(l)(6). Genentech again told Amgen that it refused to  
26 withdraw any patents or claims from its (3)(A) list.



1 8, 1983. Upon information and belief, the '415 patent expires on December 18,  
2 2018.

3 44. U.S. Patent No. 6,407,213, titled "Method for Making Humanized  
4 Antibodies," issued on June 18, 2002. Upon information and belief, Genentech  
5 owns the '213 patent. The earliest possible priority date for the '213 patent is June  
6 14, 1991. Upon information and belief, the '213 patent expires on June 18, 2019.

7 45. U.S. Patent No. 6,417,335, titled "Protein Purification," issued on July  
8 9, 2002. Upon information and belief, Genentech owns the '335 patent. The  
9 earliest possible priority date for the '335 patent is May 6, 1998. Upon information  
10 and belief, the '213 patent expires on May 3, 2019.

11 46. U.S. Patent No. 6,586,206, titled "Methods for Making Recombinant  
12 Proteins Using Apoptosis Inhibitors," issued on July 1, 2003. Upon information and  
13 belief, Genentech owns the '206 patent. The earliest possible priority date for the  
14 '206 patent is September 27, 1999. Upon information and belief, the '206 patent  
15 expires on September 25, 2020.

16 47. U.S. Patent No. 6,610,516, titled "Cell Culture Process," issued on  
17 August 26, 2003. Upon information and belief, Genentech owns the '516 patent.  
18 The earliest possible priority date for the '516 patent is April 26, 1999. Upon  
19 information and belief, the '516 patent expires on April 21, 2020.

20 48. U.S. Patent No. 6,620,918, titled "Separation of Polypeptide  
21 Monomers," issued on September 16, 2003. Upon information and belief,  
22 Genentech owns the '918 patent. The earliest possible priority date for the '918  
23 patent is June 1, 1998. Upon information and belief, the '918 patent expires on May  
24 26, 2019.

25 49. U.S. Patent No. 6,870,034, titled "Protein Purification," issued on  
26 March 22, 2005. Upon information and belief, Genentech owns the '034 patent.

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1 The earliest possible priority date for the '034 patent is February 5, 2002. Upon  
2 information and belief, the '034 patent expires on February 3, 2023.

3 50. U.S. Patent No. 6,884,879, titled "Anti-VEGF Antibodies," issued on  
4 April 26, 2005. Upon information and belief, Genentech owns the '879 patent. The  
5 earliest possible priority date of the '879 patent is August 7, 1997. Upon  
6 information and belief, the '879 patent expired on August 7, 2017.

7 51. U.S. Patent No. 7,060,269, titled "Anti-VEGF Antibodies," issued on  
8 June 13, 2006. Upon information and belief, Genentech owns the '269 patent. The  
9 earliest possible priority date of the '269 patent is August 6, 1997. Upon  
10 information and belief, the '269 patent expires on July 4, 2019. Genentech contends  
11 that the '269 patent covers bevacizumab.

12 52. U.S. Patent No. 7,169,901, titled "Anti-VEGF Antibodies," issued on  
13 January 30, 2007. Upon information and belief, Genentech owns the '901 patent.  
14 The earliest possible priority date of the '901 patent is April 7, 1997. Upon  
15 information and belief, the '901 patent expires on March 23, 2019. Genentech  
16 contends that the '901 patent covers bevacizumab.

17 53. U.S. Patent No. 7,297,334, titled "Anti-VEGF Antibodies," issued on  
18 November 20, 2007. Upon information and belief, Genentech owns the '334 patent.  
19 The earliest possible priority date of the '334 patent is August 7, 1997. Upon  
20 information and belief, the '334 patent expired on August 7, 2017.

21 54. U.S. Patent No. 7,323,553, titled "Non-Affinity Purification of  
22 Proteins," issued on January 29, 2008. Upon information and belief, Genentech  
23 owns the '553 patent. The earliest possible priority date for the '553 patent is April  
24 26, 2002. Upon information and belief, the '553 patent expires on April 25, 2023.

25 55. U.S. Patent No. 7,375,193, titled "Anti-VEGF Antibodies," issued on  
26 May 20, 2008. Upon information and belief, Genentech owns the '193 patent. The  
27

1 earliest possible priority date of the '193 patent is August 7, 1997. Upon  
2 information and belief, the '193 patent expired on August 7, 2017.

3 56. U.S. Patent No. 7,622,115, titled "Treatment with Anti-VEGF  
4 Antibodies," issued on November 24, 2009. Upon information and belief,  
5 Genentech owns the '115 patent. The earliest possible priority date for the '115  
6 patent is May 30, 2003. Upon information and belief, the '115 patent expires on  
7 May 28, 2024.

8 57. U.S. Patent No. 7,807,799, titled "Reducing Protein A Leaching During  
9 Protein A Affinity Chromatography," issued on October 5, 2010. Upon information  
10 and belief, Genentech owns the '799 patent. The earliest possible priority date for  
11 the '799 patent is July 28, 2003. Upon information and belief, the '799 patent  
12 expires on June 24, 2024.

13 58. U.S. Patent No. 7,923,221, titled "Methods of Making Antibody Heavy  
14 and Light Chains Having Specificity for a Desired Antigen," issued on April 12,  
15 2011. Upon information and belief, Genentech and City of Hope co-own the '221  
16 patent. The earliest possible priority date of the '221 patent is April 8, 1983. Upon  
17 information and belief, the '221 patent expires on December 18, 2018.

18 59. U.S. Patent No. 8,044,017, titled "Protein Purification," issued on  
19 October 25, 2011. Upon information and belief, Genentech owns the '017 patent.  
20 The earliest possible priority date for the '017 patent is September 11, 2002. Upon  
21 information and belief, the '017 patent expires on March 28, 2026.

22 60. U.S. Patent No. 8,460,895, titled "Method for Producing Recombinant  
23 Proteins with a Constant Content of pCO<sub>2</sub> in the Medium," issued on June 11, 2013.  
24 Upon information and belief, the '895 patent is owned by Hoffman-La Roche, Inc.  
25 with all substantial rights exclusively licensed to Genentech. The earliest possible  
26 priority date for the '895 patent is March 12, 2008. Upon information and belief,  
27 the '895 patent expires on August 8, 2029.

1           61. U.S. Patent No. 8,512,983, titled “Production of Proteins in Glutamine-  
2 Free Cell Culture Media,” issued on August 20, 2013. Upon information and belief,  
3 the ’983 patent is owned by F. Hoffmann-La Roche AG with all substantial rights  
4 exclusively licensed to Genentech. The earliest possible priority date for the ’983  
5 patent is August 11, 2009. Upon information and belief, the ’983 patent expires on  
6 January 4, 2031.

7           62. U.S. Patent No. 8,574,869, titled “Prevention of Disulfide Bond  
8 Reduction During Recombinant Production of Polypeptides,” issued on November  
9 5, 2013. Upon information and belief, Genentech owns the ’869 patent. The  
10 earliest possible priority date for the ’869 patent is July 9, 2007. Upon information  
11 and belief, the ’869 patent expires on July 8, 2028.

12           63. U.S. Patent No. 8,633,302, titled “Variable Tangential Flow Filtration,”  
13 issued on January 21, 2014. Upon information and belief, the ’302 patent is owned  
14 Hoffman-LaRoche, Inc. with all substantial rights exclusively licensed to  
15 Genentech. The earliest possible priority date for the ’302 patent is July 17, 2007.  
16 Upon information and belief, the ’302 patent expires on July 23, 2030.

17           64. U.S. Patent No. 8,710,196, titled “Protein Purification,” issued on April  
18 29, 2014. Upon information and belief, Genentech owns the ’196 patent. The  
19 earliest possible priority date for the ’196 patent is September 11, 2002. Upon  
20 information and belief, the ’196 patent expires on September 10, 2023.

21           65. U.S. Patent No. 9,441,035, titled “Cell Culture Media and Methods of  
22 Antibody Production,” issued on September 13, 2016. Upon information and belief,  
23 Genentech owns the ’035 patent. The earliest possible priority date for the ’035  
24 patent is March 15, 2013. Upon information and belief, the ’035 patent expires on  
25 April 23, 2034.

26           66. U.S. Patent No. 9,487,809, titled “Decreasing Lactate Level and  
27 Increasing Polypeptide Production by Downregulating the Expression of Lactate

1 Dehydrogenase and Pyruvate Dehydrogenase Kinase,” issued on November 8, 2016.  
2 Upon information and belief, Genentech owns the ’809 patent. The earliest possible  
3 priority date for the ’809 patent is May 28, 2010. Upon information and belief, the  
4 ’809 patent expires on January 14, 2032.

## 5 **COUNT I**

### 6 **Declaratory Judgment of Non-Infringement of U.S. Patent No. 6,054,297**

7 67. Amgen restates and incorporates by reference the allegations in  
8 paragraphs 1–66 above as if fully set forth herein.

9 68. To the extent there is an invention which is properly the subject of a  
10 United States patent, any manufacture, use, sale, offer for sale or import into the  
11 United States of ABP 215 by Amgen prior to the expiration date of the ’297 patent  
12 was not an infringement of the ’297 patent. Any manufacture and use of ABP 215  
13 by Amgen prior to the expiration date of the ’297 patent was solely for uses  
14 reasonably related to the development and submission of information under a  
15 Federal law, for example to the FDA under the Public Health Service Act including  
16 42 U.S.C. § 262(k), which regulates biological products. These are not acts of  
17 infringement. 35 U.S.C. § 271(e)(1). In addition, Amgen cannot infringe the ’297  
18 patent because it is invalid. *See Commil USA, LLC v. Cisco Systems, Inc.*, 135 S. Ct.  
19 1920, 1929 (2015) (“To say that an invalid patent cannot be infringed, or that  
20 someone cannot be induced to infringe an invalid patent, is in one sense a simple  
21 truth, both as a matter of logic and semantics . . . To be sure, if at the end of the day,  
22 an act that would have been an infringement or an inducement to infringe pertains to  
23 a patent that is shown to be invalid, there is no patent to be infringed.”).

24 69. There is a real, substantial, and justiciable controversy between Amgen  
25 and Genentech concerning whether Amgen has infringed any valid and enforceable  
26 claim of the ’297 patent.



1 United States of ABP 215 by Amgen prior to the expiration date of the '428 patent  
2 was not an infringement of the '428 patent. Any manufacture and use of ABP 215  
3 by Amgen prior to the expiration date of the '428 patent was solely for uses  
4 reasonably related to the development and submission of information under a  
5 Federal law, for example to the FDA under the Public Health Service Act including  
6 42 U.S.C. § 262(k), which regulates biological products. These are not acts of  
7 infringement. 35 U.S.C. § 271(e)(1). In addition, Amgen cannot infringe the '428  
8 patent because it is invalid. *See Commil*, 135 S. Ct. at 1929 (“To say that an invalid  
9 patent cannot be infringed, or that someone cannot be induced to infringe an invalid  
10 patent, is in one sense a simple truth, both as a matter of logic and semantics . . . To  
11 be sure, if at the end of the day, an act that would have been an infringement or an  
12 inducement to infringe pertains to a patent that is shown to be invalid, there is no  
13 patent to be infringed.”).

14 79. There is a real, substantial, and justiciable controversy between Amgen  
15 and Genentech concerning whether Amgen has infringed any valid and enforceable  
16 claim of the '428 patent.

17 80. The controversy between the parties is amenable to specific relief  
18 through a decree of a conclusive character.

19 81. Amgen is entitled to a judicial declaration that Amgen has not and will  
20 not infringe, directly or indirectly, any valid and enforceable claim of the '428  
21 patent.

#### 22 **COUNT IV**

#### 23 **Declaratory Judgment of Invalidity of U.S. Patent No. 6,121,428**

24 82. Amgen restates and incorporates by reference the allegations in  
25 paragraphs 1–81 above as if fully set forth herein.



1 patent, is in one sense a simple truth, both as a matter of logic and semantics . . . To  
2 be sure, if at the end of the day, an act that would have been an infringement or an  
3 inducement to infringe pertains to a patent that is shown to be invalid, there is no  
4 patent to be infringed.”).

5 89. There is a real, substantial, and justiciable controversy between Amgen  
6 and Genentech concerning whether Amgen has infringed any valid and enforceable  
7 claim of the ’177 patent.

8 90. The controversy between the parties is amenable to specific relief  
9 through a decree of a conclusive character.

10 91. Amgen is entitled to a judicial declaration that Amgen has not and will  
11 not infringe, directly or indirectly, any valid and enforceable claim of the ’177  
12 patent.

## 13 **COUNT VI**

### 14 **Declaratory Judgment of Invalidity of U.S. Patent No. 6,242,177**

15 92. Amgen restates and incorporates by reference the allegations in  
16 paragraphs 1–91 above as if fully set forth herein.

17 93. Among other reasons, the claims of the ’177 patent are invalid in light  
18 of prior art that published or was otherwise available to the public before the earliest  
19 possible priority date of the ’177 patent.

20 94. There is a real, substantial, and justiciable controversy between Amgen  
21 and Genentech concerning whether the claims of the ’177 patent are invalid for  
22 failure to comply with the requirements of Title 35 of the United States Code,  
23 including, without limitation, one or more of §§ 101, 102, 103, 112, and/or pursuant  
24 to common law and/or equitable doctrines.

25 95. The controversy between the parties is amenable to specific relief  
26 through a decree of a conclusive character.





1 the commercial marketing of ABP 215. For example, the claims of the '213 patent  
2 recite amino acid substitutions at specific sites in the framework region(s) which  
3 Amgen's ABP 215 biological product does not satisfy. In addition, Amgen cannot  
4 infringe the '213 patent because it is invalid. *See Commil*, 135 S. Ct. at 1929 (“To  
5 say that an invalid patent cannot be infringed, or that someone cannot be induced to  
6 infringe an invalid patent, is in one sense a simple truth, both as a matter of logic  
7 and semantics . . . To be sure, if at the end of the day, an act that would have been an  
8 infringement or an inducement to infringe pertains to a patent that is shown to be  
9 invalid, there is no patent to be infringed.”). To the extent there is an invention  
10 which is properly the subject of a United States patent, any manufacture, use, sale,  
11 offer for sale or import into the United States of ABP 215 by Amgen prior to the  
12 expiration date of the '213 patent was not an infringement of the '213 patent. Any  
13 manufacture and use of ABP 215 by Amgen prior to the expiration date of the '213  
14 patent was solely for uses reasonably related to the development and submission of  
15 information under a Federal law, for example to the FDA under the Public Health  
16 Service Act including 42 U.S.C. § 262(k), which regulates biological products.  
17 These are not acts of infringement. 35 U.S.C. § 271(e)(1).

18       109. There is a real, substantial, and justiciable controversy between Amgen  
19 and Genentech concerning whether commercial marketing of the biological product  
20 that is the subject of Amgen's BLA would infringe any valid and enforceable claim  
21 of the '213 patent.

22       110. The controversy between the parties is amenable to specific relief  
23 through a decree of a conclusive character.

24       111. Amgen is entitled to a judicial declaration that Amgen has not and will  
25 not infringe, directly or indirectly, any valid and enforceable claim of the '213  
26 patent.

1 **COUNT X**

2 **Declaratory Judgment of Invalidity of U.S. Patent No. 6,407,213**

3 112. Amgen restates and incorporates by reference the allegations in  
4 paragraphs 1–111 above as if fully set forth herein.

5 113. On May 23, 2017, Amgen provided Genentech with a detailed  
6 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
7 for Amgen’s opinion at the time that the ’213 patent is invalid. Among other  
8 reasons, the claims of the ’213 patent are invalid in light of prior art that published  
9 or was otherwise available to the public before the earliest possible priority date of  
10 the ’213 patent.

11 114. There is a real, substantial, and justiciable controversy between Amgen  
12 and Genentech concerning whether the claims of the ’213 patent are invalid for  
13 failure to comply with the requirements of Title 35 of the United States Code,  
14 including, without limitation, one or more of §§ 101, 102, 103, 112, and/or pursuant  
15 to common law and/or equitable doctrines.

16 115. The controversy between the parties is amenable to specific relief  
17 through a decree of a conclusive character.

18 116. Amgen is entitled to a judicial declaration that all claims of the ’213  
19 patent are invalid.

20 **COUNT XI**

21 **Declaratory Judgment of Unenforceability of U.S. Patent No. 6,407,213**

22 117. Amgen restates and incorporates by reference the allegations in  
23 paragraphs 1–116 above as if fully set forth herein.

24 118. During the prosecution of the ’213 patent, Genentech made  
25 misrepresentations and omissions material to patentability and did so with the  
26 specific intent to mislead or deceive the Patent Office.

1           119. Genentech deliberately misrepresented the teachings of U.S. Patent No.  
2 5,530,101 (“’101 patent”) to the Patent Office in order to overcome a rejection  
3 based on that reference. Specifically, Genentech told the Examiner that the ’101  
4 patent does not use the Kabat numbering system, despite its repeated references to  
5 “numbering according to Kabat” and “the Kabat system.”

6           120. Genentech also made deliberate misrepresentations and omissions  
7 regarding Queen 1989, including (i) falsely distinguishing Queen 1989 on the  
8 ground that it used “sequential numbering,” as opposed to the Kabat numbering  
9 system; and (ii) providing information at the request of the Examiner that  
10 conspicuously omitted a key residue (“62L”) disclosed in the prior art. Deceptive  
11 intent by Genentech is the single most reasonable inference to be drawn from the  
12 prosecution history and all other available evidence.

13           121. On November 17, 1993, Genentech filed its patent application with  
14 claims requiring substitutions at specific locations, including positions “62L” and  
15 “93H.” On December 9, 1994, the Examiner issued a Non-Final Rejection, rejecting  
16 the claims as obvious under § 103 over EP 0239400, Queen 1989, Riechmann 1988.

17           122. On June 12, 1995, Genentech amended the pending claims and deleted  
18 references to amino acid position “62L.”

19           123. Following a final rejection and an Examiner interview, the case was  
20 transferred to a different Examiner and a new non-final rejection issued on  
21 December 23, 1996. The new Examiner maintained all prior rejections and further  
22 rejected the pending claims as anticipated by the ’101 patent.

23           124. In response to the non-final rejection, Genentech once again amended  
24 the pending claims on June 27, 1997, adding amino acid position “62L” back into  
25 the claims.

26           125. On October 7, 1997, Genentech argued in its remarks to the Patent  
27 Office that Queen 1989 and the ’101 patent were distinguishable because they “use  
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1 sequential numbering for the variable domain residues of the antibodies described in  
2 these references, whereas the claims of the instant application use Kabat numbering  
3 for the framework region residues.” Genentech repeated the same argument later in  
4 the prosecution of the ’213 patent to distinguish Queen 1989 and the ’101 patent  
5 with specific reference to residue “93H”:

6 Applicants point out that – as explained earlier in  
7 prosecution – the substituted 93 FR residue in the cited  
8 references [Queen 1989 and the ’101 patent] is not 93H  
9 ‘utilizing the numbering system set forth in Kabat’ (see  
10 page 13, line 33 through to line 22 on page 14 of the  
11 present application) as required by claims 115-117, 123  
12 and 127 of the present application. In particular, as noted  
13 on page 6 of the amendment hand carried to the Office on  
14 10/7/97, residue no. 93 in the heavy chain of the anti-Tac  
15 antibody in the cited references, is actually 89H utilizing  
16 the numbering system set forth in Kabat. The cited  
17 references use a sequential numbering system, rather than  
18 the Kabat numbering system claimed herein.

19 (*See Applicant Remarks, dated Apr. 26, 2001, at 7.*)

20 126. On December 11, 2001, the Examiner indicated during an interview  
21 that the pending claims were allowable.

22 127. Contrary to Genentech’s representations to the Patent Office—namely,  
23 that the ’101 patent does not use the Kabat numbering system—the ’101 patent  
24 states: “Residues are numbered according to the Kabat system (E. A. Kabat et al.,  
25 Sequences of Proteins of Immunological Interest (National Institutes of Health,  
26 Bethesda, Md.) (1987).” (’101 patent at 9:13–18.) In addition, the ’101 patent  
27 expressly refers to “numbering according to Kabat, op. cit.” with specific reference

1 to position 93 in the heavy chain. (*See id.* at 15:17–37.) Moreover, Table 5 of the  
 2 '101 patent refers to residue “H93,” with explicit reference to numbering “according  
 3 to the Kabat system,” as shown below:

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TABLE 5

Residues in the framework sequence showing contacts with residues in the hypervariable regions.		
Residue No. <sup>1</sup>	Amino Acid	Contacting CDR residues <sup>2</sup>
<u>Fd79</u>		
L49	Lys	L50Y, L53N, L55E, H99D, H100Y
H93	Leu	H35S, H37V, H100CF
<u>Fd138-80</u>		
L36	His	L34V, L89Q
H27	Tyr	H32H, H34I
H30	Tyr	H32H, H53R
H48	Phe	H63F
H66	Lys	H63F
H67	Ala	H63F

1. The amino acid residues are numbered according to the Kabat system (E. A. Kabat et al., *Sequences of Proteins of Immunological Interest*, National Institutes of Health, Bethesda, MD (1987)): the first letter (H or L) stands for the heavy chain or light chain. The following number is the residue number. The last letter is the amino acid one letter code.  
 2. The hypervariable regions are defined according to Kabat: Light chain CDR1: residue 24–34; CDR2: 50–56; CDR3: 89–97. Heavy chain CDR1: 31–35; CDR2: 50–65; CDR3: 95–102.

128. In order to overcome the § 102 rejection based on the '101 patent, Genentech falsely represented to the Patent Office that the '101 patent used sequential numbering, while arguing that the “claims of the instant application use Kabat numbering for the framework region residues.” Genentech misrepresented the teachings of the '101 patent, despite clear and repeated references in the '101 patent to the Kabat numbering system. Absent Genentech’s false and misleading distinction, the Examiner had no reason to withdraw the § 102 rejection based on the '101 patent.

129. Genentech also made deliberate and material misrepresentations and omissions regarding Queen 1989 during the prosecution of the '213 patent. Genentech distinguished Queen 1989 on the ground that it used “sequential

1 numbering,” as opposed to the Kabat numbering system. At the Examiner’s request,  
2 Genentech submitted a comparison of the different numbering systems purportedly  
3 utilized in Queen 1989 and the pending claims.<sup>1</sup> The alignments provided by  
4 Genentech to the Examiner conspicuously omitted the “62L” residue in both  
5 numbering systems. As noted above, residue “62L” was recited in then-pending  
6 claims of the ’213 patent, and Queen 1989 expressly discloses “residues at positions  
7 corresponding to . . . 47 and 62 of the light chain (Fig. 2).” (*See* Queen 1989 at  
8 10032.) Importantly, Queen 1989 discloses residues in the Kabat numbering system  
9 and, in particular, residue “62 of the light chain.”

10 130. There is a real, substantial, and justiciable controversy between Amgen  
11 and Genentech concerning whether the claims of the ’213 patent are enforceable in  
12 view of Genentech’s inequitable conduct before the Patent Office.

13 131. The controversy between the parties is amenable to specific relief  
14 through a decree of a conclusive character.

15 132. Amgen is entitled to a judicial declaration that all claims of the ’213  
16 patent are unenforceable.

## 17 **COUNT XII**

### 18 **Declaratory Judgment of Non-Infringement of U.S. Patent No. 6,417,335**

19 133. Amgen restates and incorporates by reference the allegations in  
20 paragraphs 1–132 above as if fully set forth herein.

21 134. On May 23, 2017, Amgen provided Genentech with a detailed  
22 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
23 for Amgen’s opinion at the time that the ’335 patent would not be infringed by the  
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25 <sup>1</sup> *See* 10/7/97 Applicant Remarks at 6–10 (“As requested by the Examiner in the  
26 interview, alignments of heavy chain variable domain (Exhibit A) and light chain  
27 variable domain (Exhibit B) sequences of the 101 patent (including the sequences  
for the murine and humanized anti-Tac antibody of Queen *et al.*) with sequential and  
Kabat residue numbering is attached.”).

1 commercial marketing of ABP 215. For example, the claims of the '335 patent  
2 recite an “anti-HER2 antibody,” which Amgen’s ABP 215 biological product does  
3 not satisfy. In addition, Amgen cannot infringe the '335 patent because it is invalid.  
4 *See Commil*, 135 S. Ct. at 1929 (“To say that an invalid patent cannot be infringed,  
5 or that someone cannot be induced to infringe an invalid patent, is in one sense a  
6 simple truth, both as a matter of logic and semantics . . . To be sure, if at the end of  
7 the day, an act that would have been an infringement or an inducement to infringe  
8 pertains to a patent that is shown to be invalid, there is no patent to be infringed.”).  
9 To the extent there is an invention which is properly the subject of a United States  
10 patent, any manufacture, use, sale, offer for sale or import into the United States of  
11 ABP 215 by Amgen prior to the expiration date of the '335 patent was not an  
12 infringement of the '335 patent. Any manufacture and use of ABP 215 by Amgen  
13 prior to the expiration date of the '335 patent was solely for uses reasonably related  
14 to the development and submission of information under a Federal law, for example  
15 to the FDA under the Public Health Service Act including 42 U.S.C. § 262(k),  
16 which regulates biological products. These are not acts of infringement. 35 U.S.C.  
17 § 271(e)(1).

18 135. There is a real, substantial, and justiciable controversy between Amgen  
19 and Genentech concerning whether commercial marketing of the biological product  
20 that is the subject of Amgen’s BLA for ABP 215 would infringe any valid and  
21 enforceable claim of the '335 patent.

22 136. The controversy between the parties is amenable to specific relief  
23 through a decree of a conclusive character.

24 137. Amgen is entitled to a judicial declaration that Amgen has not and will  
25 not infringe, directly or indirectly, any valid and enforceable claim of the '335  
26 patent.

1 **COUNT XIII**

2 **Declaratory Judgment of Invalidity of U.S. Patent No. 6,417,335**

3 138. Amgen restates and incorporates by reference the allegations in  
4 paragraphs 1–137 above as if fully set forth herein.

5 139. On May 23, 2017, Amgen provided Genentech with a detailed  
6 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
7 for Amgen’s opinion at the time that the ’335 patent is invalid. Among other  
8 reasons, the claims of the ’335 patent are invalid in light of prior art that published  
9 or was otherwise available to the public before the earliest possible priority date of  
10 the ’335 patent.

11 140. There is a real, substantial, and justiciable controversy between Amgen  
12 and Genentech concerning whether the claims of the ’335 patent are invalid for  
13 failure to comply with the requirements of Title 35 of the United States Code,  
14 including, without limitation, one or more of §§ 101, 102, 103, 112, and/or pursuant  
15 to common law and/or equitable doctrines.

16 141. The controversy between the parties is amenable to specific relief  
17 through a decree of a conclusive character.

18 142. Amgen is entitled to a judicial declaration that all claims of the ’335  
19 patent are invalid.

20 **COUNT XIV**

21 **Declaratory Judgment of Non-Infringement of U.S. Patent No. 6,586,206**

22 143. Amgen restates and incorporates by reference the allegations in  
23 paragraphs 1–142 above as if fully set forth herein.

24 144. On May 23, 2017, Amgen provided Genentech with a detailed  
25 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
26 for Amgen’s opinion at the time that the ’206 patent would not be infringed by the  
27 commercial marketing of ABP 215. For example, the claims of the ’206 patent

1 recite “provid[ing] a vector comprising a gene encoding caspase-9 dominant  
2 negative protein,” “provid[ing] a Chinese hamster ovary (CHO) host cell comprising  
3 a gene encoding caspase-9 dominant negative protein,” or “provid[ing] an amount of  
4 caspase inhibitor z-VAD-fmk,” which Amgen’s ABP 215 biological product and  
5 associated manufacturing processes do not satisfy. In addition, Amgen cannot  
6 infringe the ’206 patent because it is invalid. *See Commil*, 135 S. Ct. at 1929 (“To  
7 say that an invalid patent cannot be infringed, or that someone cannot be induced to  
8 infringe an invalid patent, is in one sense a simple truth, both as a matter of logic  
9 and semantics . . . To be sure, if at the end of the day, an act that would have been an  
10 infringement or an inducement to infringe pertains to a patent that is shown to be  
11 invalid, there is no patent to be infringed.”). To the extent there is an invention  
12 which is properly the subject of a United States patent, any manufacture, use, sale,  
13 offer for sale or import into the United States of ABP 215 by Amgen prior to the  
14 expiration date of the ’206 patent was not an infringement of the ’206 patent. Any  
15 manufacture and use of ABP 215 by Amgen prior to the expiration date of the ’206  
16 patent was solely for uses reasonably related to the development and submission of  
17 information under a Federal law, for example to the FDA under the Public Health  
18 Service Act including 42 U.S.C. § 262(k), which regulates biological products.  
19 These are not acts of infringement. 35 U.S.C. § 271(e)(1).

20 145. There is a real, substantial, and justiciable controversy between Amgen  
21 and Genentech concerning whether commercial marketing of the biological product  
22 that is the subject of Amgen’s BLA for ABP 215 would infringe any valid and  
23 enforceable claim of the ’206 patent.

24 146. The controversy between the parties is amenable to specific relief  
25 through a decree of a conclusive character.







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**COUNT XVIII**

**Declaratory Judgment of Non-Infringement of U.S. Patent No. 6,620,918**

163. Amgen restates and incorporates by reference the allegations in paragraphs 1–162 above as if fully set forth herein.

164. On May 23, 2017, Amgen provided Genentech with a detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases for Amgen’s opinion at the time that the ’918 patent would not be infringed by the commercial marketing of ABP 215. For example, the claims of the ’918 patent recite purifying “polypeptide monomers from a mixture consisting essentially of said polypeptide monomers, and dimers or multimers of said polypeptide monomers or both dimers and multimers of said polypeptide monomers” or use a purification method that “consists essentially of applying the mixture to a cation-exchange or anion-exchange chromatography resin in a buffer,” which Amgen’s ABP 215 biological product and associated manufacturing processes do not satisfy. In addition, Amgen cannot infringe the ’918 patent because it is invalid. *See Commil*, 135 S. Ct. at 1929 (“To say that an invalid patent cannot be infringed, or that someone cannot be induced to infringe an invalid patent, is in one sense a simple truth, both as a matter of logic and semantics . . . To be sure, if at the end of the day, an act that would have been an infringement or an inducement to infringe pertains to a patent that is shown to be invalid, there is no patent to be infringed.”). To the extent there is an invention which is properly the subject of a United States patent, any manufacture, use, sale, offer for sale or import into the United States of ABP 215 by Amgen prior to the expiration date of the ’918 patent was not an infringement of the ’918 patent. Any manufacture and use of ABP 215 by Amgen prior to the expiration date of the ’918 patent was solely for uses reasonably related to the development and submission of information under a Federal law, for example to the FDA under the Public Health Service Act including 42 U.S.C. § 262(k),

1 which regulates biological products. These are not acts of infringement. 35 U.S.C.  
2 § 271(e)(1).

3 165. There is a real, substantial, and justiciable controversy between Amgen  
4 and Genentech concerning whether commercial marketing of the biological product  
5 that is the subject of Amgen's BLA for ABP 215 would infringe any valid and  
6 enforceable claim of the '918 patent.

7 166. The controversy between the parties is amenable to specific relief  
8 through a decree of a conclusive character.

9 167. Amgen is entitled to a judicial declaration that Amgen has not and will  
10 not infringe, directly or indirectly, any valid and enforceable claim of the '918  
11 patent.

## 12 **COUNT XIX**

### 13 **Declaratory Judgment of Invalidity of U.S. Patent No. 6,620,918**

14 168. Amgen restates and incorporates by reference the allegations in  
15 paragraphs 1–167 above as if fully set forth herein.

16 169. On May 23, 2017, Amgen provided Genentech with a detailed  
17 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
18 for Amgen's opinion at the time that the '918 patent is invalid. Among other  
19 reasons, the claims of the '918 patent are invalid in light of prior art that published  
20 or was otherwise available to the public before the earliest possible priority date of  
21 the '918 patent.

22 170. There is a real, substantial, and justiciable controversy between Amgen  
23 and Genentech concerning whether the claims of the '918 patent are invalid for  
24 failure to comply with the requirements of Title 35 of the United States Code,  
25 including, without limitation, one or more of §§ 101, 102, 103, 112, and/or pursuant  
26 to common law and/or equitable doctrines.

1 171. The controversy between the parties is amenable to specific relief  
2 through a decree of a conclusive character.

3 172. Amgen is entitled to a judicial declaration that all claims of the '918  
4 patent are invalid.

5 **COUNT XX**

6 **Declaratory Judgment of Non-Infringement of U.S. Patent No. 6,870,034**

7 173. Amgen restates and incorporates by reference the allegations in  
8 paragraphs 1–172 above as if fully set forth herein.

9 174. On May 23, 2017, Amgen provided Genentech with a detailed  
10 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
11 for Amgen's opinion at the time that the '034 patent would not be infringed by the  
12 commercial marketing of ABP 215. For example, the claims of the '034 patent  
13 recite (i) "wash[] the solid phase with a composition comprising detergent," (ii)  
14 "wash[] the solid phase with a composition comprising . . . a solvent selected from  
15 the group consisting of ethanol, methanol, isopropanol, acetonitrile, hexylene glycol,  
16 propylene glycol, and 2,2-thiodiglycol," (iii) "wash[] the solid phase with a  
17 composition comprising a buffer at a concentration of greater than about 0.8M," or  
18 (iv) "wash[] the solid phase with a composition comprising . . . a polymer selected  
19 from the group consisting of polyethylene glycol, polypropyl glycol, and  
20 copolymers of ethylene and propylene glycol," which Amgen's ABP 215 biological  
21 product and associated manufacturing processes do not satisfy. In addition, Amgen  
22 cannot infringe the '034 patent because it is invalid. *See Commil*, 135 S. Ct. at 1929  
23 ("To say that an invalid patent cannot be infringed, or that someone cannot be  
24 induced to infringe an invalid patent, is in one sense a simple truth, both as a matter  
25 of logic and semantics . . . To be sure, if at the end of the day, an act that would have  
26 been an infringement or an inducement to infringe pertains to a patent that is shown  
27 to be invalid, there is no patent to be infringed."). To the extent there is an invention

1 which is properly the subject of a United States patent, any manufacture, use, sale,  
2 offer for sale or import into the United States of ABP 215 by Amgen prior to the  
3 expiration date of the '034 patent was not an infringement of the '034 patent. Any  
4 manufacture and use of ABP 215 by Amgen prior to the expiration date of the '034  
5 patent was solely for uses reasonably related to the development and submission of  
6 information under a Federal law, for example to the FDA under the Public Health  
7 Service Act including 42 U.S.C. § 262(k), which regulates biological products.  
8 These are not acts of infringement. 35 U.S.C. § 271(e)(1).

9 175. There is a real, substantial, and justiciable controversy between Amgen  
10 and Genentech concerning whether commercial marketing of the biological product  
11 that is the subject of Amgen's BLA for ABP 215 would infringe any valid and  
12 enforceable claim of the '034 patent.

13 176. The controversy between the parties is amenable to specific relief  
14 through a decree of a conclusive character.

15 177. Amgen is entitled to a judicial declaration that Amgen has not and will  
16 not infringe, directly or indirectly, any valid and enforceable claim of the '034  
17 patent.

## 18 **COUNT XXI**

### 19 **Declaratory Judgment of Invalidity of U.S. Patent No. 6,870,034**

20 178. Amgen restates and incorporates by reference the allegations in  
21 paragraphs 1–177 above as if fully set forth herein.

22 179. On May 23, 2017, Amgen provided Genentech with a detailed  
23 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
24 for Amgen's opinion at the time that the '034 patent is invalid. Among other  
25 reasons, the claims of the '034 patent are invalid in light of prior art that published  
26 or was otherwise available to the public before the earliest possible priority date of  
27 the '034 patent.



1 inducement to infringe pertains to a patent that is shown to be invalid, there is no  
2 patent to be infringed.”).

3 185. There is a real, substantial, and justiciable controversy between Amgen  
4 and Genentech concerning whether Amgen has infringed any valid and enforceable  
5 claim of the ’879 patent.

6 186. The controversy between the parties is amenable to specific relief  
7 through a decree of a conclusive character.

8 187. Amgen is entitled to a judicial declaration that Amgen has not and will  
9 not infringe, directly or indirectly, any valid and enforceable claim of the ’879  
10 patent.

### 11 **COUNT XXIII**

#### 12 **Declaratory Judgment of Invalidity of U.S. Patent No. 6,884,879**

13 188. Amgen restates and incorporates by reference the allegations in  
14 paragraphs 1–187 above as if fully set forth herein.

15 189. Among other reasons, the claims of the ’879 patent are invalid in light  
16 of prior art that published or was otherwise available to the public before the earliest  
17 possible priority date of the ’879 patent.

18 190. There is a real, substantial, and justiciable controversy between Amgen  
19 and Genentech concerning whether the claims of the ’879 patent are invalid for  
20 failure to comply with the requirements of Title 35 of the United States Code,  
21 including, without limitation, one or more of §§ 101, 102, 103, 112, and/or pursuant  
22 to common law and/or equitable doctrines.

23 191. The controversy between the parties is amenable to specific relief  
24 through a decree of a conclusive character.

25 192. Amgen is entitled to a judicial declaration that all claims of the ’879  
26 patent are invalid.

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**COUNT XXIV**

**Declaratory Judgment of Non-Infringement of U.S. Patent No. 7,060,269**

193. Amgen restates and incorporates by reference the allegations in paragraphs 1–192 above as if fully set forth herein.

194. On May 23, 2017, Amgen provided Genentech with a detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases for Amgen’s opinion at the time that the ’269 patent would not be infringed by the commercial marketing of ABP 215. For example, the claims of the ’269 patent recite “a heavy chain variable domain sequence of SEQ ID NO: 116” or “a light chain variable domain sequence of SEQ ID NO:115,” which Amgen’s ABP 215 biological product does not satisfy. In addition, Amgen cannot infringe the ’269 patent because it is invalid. *See Commil*, 135 S. Ct. at 1929 (“To say that an invalid patent cannot be infringed, or that someone cannot be induced to infringe an invalid patent, is in one sense a simple truth, both as a matter of logic and semantics . . . To be sure, if at the end of the day, an act that would have been an infringement or an inducement to infringe pertains to a patent that is shown to be invalid, there is no patent to be infringed.”). To the extent there is an invention which is properly the subject of a United States patent, any manufacture, use, sale, offer for sale or import into the United States of ABP 215 by Amgen prior to the expiration date of the ’269 patent was not an infringement of the ’269 patent. Any manufacture and use of ABP 215 by Amgen prior to the expiration date of the ’269 patent was solely for uses reasonably related to the development and submission of information under a Federal law, for example to the FDA under the Public Health Service Act including 42 U.S.C. § 262(k), which regulates biological products. These are not acts of infringement. 35 U.S.C. § 271(e)(1).

195. There is a real, substantial, and justiciable controversy between Amgen and Genentech concerning whether commercial marketing of the biological product

1 that is the subject of Amgen’s BLA for ABP 215 would infringe any valid and  
2 enforceable claim of the ’269 patent.

3 196. The controversy between the parties is amenable to specific relief  
4 through a decree of a conclusive character.

5 197. Amgen is entitled to a judicial declaration that Amgen has not and will  
6 not infringe, directly or indirectly, any valid and enforceable claim of the ’269  
7 patent.

## 8 **COUNT XXV**

### 9 **Declaratory Judgment of Invalidity of U.S. Patent No. 7,060,269**

10 198. Amgen restates and incorporates by reference the allegations in  
11 paragraphs 1–197 above as if fully set forth herein.

12 199. On May 23, 2017, Amgen provided Genentech with a detailed  
13 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
14 for Amgen’s opinion at the time that the ’269 patent is invalid. Among other  
15 reasons, the claims of the ’269 patent are invalid in light of prior art that published  
16 or was otherwise available to the public before the earliest possible priority date of  
17 the ’269 patent.

18 200. There is a real, substantial, and justiciable controversy between Amgen  
19 and Genentech concerning whether the claims of the ’269 patent are invalid for  
20 failure to comply with the requirements of Title 35 of the United States Code,  
21 including, without limitation, one or more of §§ 101, 102, 103, 112, and/or pursuant  
22 to common law and/or equitable doctrines.

23 201. The controversy between the parties is amenable to specific relief  
24 through a decree of a conclusive character.

25 202. Amgen is entitled to a judicial declaration that all claims of the ’269  
26 patent are invalid.

1  
2 **COUNT XXVI**

3 **Declaratory Judgment of Non-Infringement of U.S. Patent No. 7,169,901**

4 203. Amgen restates and incorporates by reference the allegations in  
5 paragraphs 1–202 above as if fully set forth herein.

6 204. On May 23, 2017, Amgen provided Genentech with a detailed  
7 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
8 for Amgen’s opinion at the time that the ’901 patent would not be infringed by the  
9 commercial marketing of ABP 215. For example, the claims of the ’901 patent  
10 recite “an antigen binding fragment,” “a heavy chain variable domain comprising  
11 the amino acid sequence of SEQ ID NO:118,” or a “light chain variable domain  
12 comprising the amino acid sequence of SEQ ID NO:117,” which Amgen’s ABP 215  
13 biological product does not satisfy. In addition, Amgen cannot infringe the ’901  
14 patent because it is invalid. *See Commil*, 135 S. Ct. at 1929 (“To say that an invalid  
15 patent cannot be infringed, or that someone cannot be induced to infringe an invalid  
16 patent, is in one sense a simple truth, both as a matter of logic and semantics . . . To  
17 be sure, if at the end of the day, an act that would have been an infringement or an  
18 inducement to infringe pertains to a patent that is shown to be invalid, there is no  
19 patent to be infringed.”). To the extent there is an invention which is properly the  
20 subject of a United States patent, any manufacture, use, sale, offer for sale or import  
21 into the United States of ABP 215 by Amgen prior to the expiration date of the ’901  
22 patent was not an infringement of the ’901 patent. Any manufacture and use of  
23 ABP 215 by Amgen prior to the expiration date of the ’901 patent was solely for  
24 uses reasonably related to the development and submission of information under a  
25 Federal law, for example to the FDA under the Public Health Service Act including  
26 42 U.S.C. § 262(k), which regulates biological products. These are not acts of  
27 infringement. 35 U.S.C. § 271(e)(1).







1 recite “wherein said method includes no affinity purification step,” which Amgen’s  
2 ABP 215 biological product and associated manufacturing processes do not satisfy.  
3 In addition, Amgen cannot infringe the ’553 patent because it is invalid. *See*  
4 *Commil*, 135 S. Ct. at 1929 (“To say that an invalid patent cannot be infringed, or  
5 that someone cannot be induced to infringe an invalid patent, is in one sense a  
6 simple truth, both as a matter of logic and semantics . . . To be sure, if at the end of  
7 the day, an act that would have been an infringement or an inducement to infringe  
8 pertains to a patent that is shown to be invalid, there is no patent to be infringed.”).  
9 To the extent there is an invention which is properly the subject of a United States  
10 patent, any manufacture, use, sale, offer for sale or import into the United States of  
11 ABP 215 by Amgen prior to the expiration date of the ’553 patent was not an  
12 infringement of the ’553 patent. Any manufacture and use of ABP 215 by Amgen  
13 prior to the expiration date of the ’553 patent was solely for uses reasonably related  
14 to the development and submission of information under a Federal law, for example  
15 to the FDA under the Public Health Service Act including 42 U.S.C. § 262(k),  
16 which regulates biological products. These are not acts of infringement. 35 U.S.C.  
17 § 271(e)(1).

18       225. There is a real, substantial, and justiciable controversy between Amgen  
19 and Genentech concerning whether commercial marketing of the biological product  
20 that is the subject of Amgen’s BLA for ABP 215 would infringe any valid and  
21 enforceable claim of the ’553 patent.

22       226. The controversy between the parties is amenable to specific relief  
23 through a decree of a conclusive character.

24       227. Amgen is entitled to a judicial declaration that Amgen has not and will  
25 not infringe, directly or indirectly, any valid and enforceable claim of the ’553  
26 patent.

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**COUNT XXXI**

**Declaratory Judgment of Invalidity of U.S. Patent No. 7,323,553**

228. Amgen restates and incorporates by reference the allegations in paragraphs 1–227 above as if fully set forth herein.

229. On May 23, 2017, Amgen provided Genentech with a detailed statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases for Amgen’s opinion at the time that the ’553 patent is invalid. Among other reasons, the claims of the ’553 patent are invalid in light of prior art that published or was otherwise available to the public before the earliest possible priority date of the ’553 patent.

230. There is a real, substantial, and justiciable controversy between Amgen and Genentech concerning whether the claims of the ’553 patent are invalid for failure to comply with the requirements of Title 35 of the United States Code, including, without limitation, one or more of §§ 101, 102, 103, 112, and/or pursuant to common law and/or equitable doctrines.

231. The controversy between the parties is amenable to specific relief through a decree of a conclusive character.

232. Amgen is entitled to a judicial declaration that all claims of the ’553 patent are invalid.

**COUNT XXXII**

**Declaratory Judgment of Non-Infringement of U.S. Patent No. 7,375,193**

233. Amgen restates and incorporates by reference the allegations in paragraphs 1–232 above as if fully set forth herein.

234. To the extent there is an invention which is properly the subject of a United States patent, any manufacture, use, sale, offer for sale or import into the United States of ABP 215 by Amgen prior to the expiration date of the ’193 patent was not an infringement of the ’193 patent. Any manufacture and use of ABP 215

1 by Amgen prior to the expiration date of the '193 patent was solely for uses  
2 reasonably related to the development and submission of information under a  
3 Federal law, for example to the FDA under the Public Health Service Act including  
4 42 U.S.C. § 262(k), which regulates biological products. These are not acts of  
5 infringement. 35 U.S.C. § 271(e)(1). In addition, Amgen cannot infringe the '193  
6 patent because it is invalid. *See Commil*, 135 S. Ct. at 1929 (“To say that an invalid  
7 patent cannot be infringed, or that someone cannot be induced to infringe an invalid  
8 patent, is in one sense a simple truth, both as a matter of logic and semantics . . . To  
9 be sure, if at the end of the day, an act that would have been an infringement or an  
10 inducement to infringe pertains to a patent that is shown to be invalid, there is no  
11 patent to be infringed.”).

12 235. There is a real, substantial, and justiciable controversy between Amgen  
13 and Genentech concerning whether Amgen has infringed any valid and enforceable  
14 claim of the '193 patent.

15 236. The controversy between the parties is amenable to specific relief  
16 through a decree of a conclusive character.

17 237. Amgen is entitled to a judicial declaration that Amgen has not and will  
18 not infringe, directly or indirectly, any valid and enforceable claim of the '193  
19 patent.

### 20 **COUNT XXXIII**

#### 21 **Declaratory Judgment of Invalidity of U.S. Patent No. 7,375,193**

22 238. Amgen restates and incorporates by reference the allegations in  
23 paragraphs 1–237 above as if fully set forth herein.

24 239. Among other reasons, the claims of the '193 patent are invalid in light  
25 of prior art that published or was otherwise available to the public before the earliest  
26 possible priority date of the '193 patent.



1 Amgen prior to the expiration date of the '115 patent was not an infringement of the  
2 '115 patent. Any manufacture and use of ABP 215 by Amgen prior to the  
3 expiration date of the '115 patent was solely for uses reasonably related to the  
4 development and submission of information under a Federal law, for example to the  
5 FDA under the Public Health Service Act including 42 U.S.C. § 262(k), which  
6 regulates biological products. These are not acts of infringement. 35 U.S.C.  
7 § 271(e)(1).

8 245. There is a real, substantial, and justiciable controversy between Amgen  
9 and Genentech concerning whether commercial marketing of the biological product  
10 that is the subject of Amgen's BLA for ABP 215 would infringe any valid and  
11 enforceable claim of the '115 patent.

12 246. The controversy between the parties is amenable to specific relief  
13 through a decree of a conclusive character.

14 247. Amgen is entitled to a judicial declaration that Amgen has not and will  
15 not infringe, directly or indirectly, any valid and enforceable claim of the '115  
16 patent.

17 **COUNT XXXV**

18 **Declaratory Judgment of Invalidity of U.S. Patent No. 7,622,115**

19 248. Amgen restates and incorporates by reference the allegations in  
20 paragraphs 1–247 above as if fully set forth herein.

21 249. On May 23, 2017, Amgen provided Genentech with a detailed  
22 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
23 for Amgen's opinion at the time that the '115 patent is invalid. Among other  
24 reasons, the claims of the '115 patent are invalid in light of prior art that published  
25 or was otherwise available to the public before the earliest possible priority date of  
26 the '115 patent.



1 offer for sale or import into the United States of ABP 215 by Amgen prior to the  
2 expiration date of the '799 patent was not an infringement of the '799 patent. Any  
3 manufacture and use of ABP 215 by Amgen prior to the expiration date of the '799  
4 patent was solely for uses reasonably related to the development and submission of  
5 information under a Federal law, for example to the FDA under the Public Health  
6 Service Act including 42 U.S.C. § 262(k), which regulates biological products.  
7 These are not acts of infringement. 35 U.S.C. § 271(e)(1).

8 255. There is a real, substantial, and justiciable controversy between Amgen  
9 and Genentech concerning whether commercial marketing of the biological product  
10 that is the subject of Amgen's BLA for ABP 215 would infringe any valid and  
11 enforceable claim of the '799 patent.

12 256. The controversy between the parties is amenable to specific relief  
13 through a decree of a conclusive character.

14 257. Amgen is entitled to a judicial declaration that Amgen has not and will  
15 not infringe, directly or indirectly, any valid and enforceable claim of the '799  
16 patent.

## 17 **COUNT XXXVII**

### 18 **Declaratory Judgment of Invalidity of U.S. Patent No. 7,807,799**

19 258. Amgen restates and incorporates by reference the allegations in  
20 paragraphs 1–257 above as if fully set forth herein.

21 259. On May 23, 2017, Amgen provided Genentech with a detailed  
22 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
23 for Amgen's opinion at the time that the '799 patent is invalid. Among other  
24 reasons, the claims of the '799 patent are invalid in light of prior art that published  
25 or was otherwise available to the public before the earliest possible priority date of  
26 the '799 patent.



1 inducement to infringe pertains to a patent that is shown to be invalid, there is no  
2 patent to be infringed.”).

3 265. There is a real, substantial, and justiciable controversy between Amgen,  
4 on the one hand, and Genentech and City of Hope, on the other hand, concerning  
5 whether Amgen has infringed any valid and enforceable claim of the '221 patent.

6 266. The controversy between the parties is amenable to specific relief  
7 through a decree of a conclusive character.

8 267. Amgen is entitled to a judicial declaration that Amgen has not and will  
9 not infringe, directly or indirectly, any valid and enforceable claim of the '221  
10 patent.

### 11 **COUNT XXXIX**

#### 12 **Declaratory Judgment of Invalidity of U.S. Patent No. 7,923,221**

13 268. Amgen restates and incorporates by reference the allegations in  
14 paragraphs 1–267 above as if fully set forth herein.

15 269. Among other reasons, the claims of the '221 patent are invalid in light  
16 of prior art that published or was otherwise available to the public before the earliest  
17 possible priority date of the '221 patent.

18 270. There is a real, substantial, and justiciable controversy between Amgen,  
19 on the one hand, and Genentech and City of Hope, on the other hand, concerning  
20 whether the claims of the '221 patent are invalid for failure to comply with the  
21 requirements of Title 35 of the United States Code, including, without limitation,  
22 one or more of §§ 101, 102, 103, 112, and/or pursuant to common law and/or  
23 equitable doctrines.

24 271. The controversy between the parties is amenable to specific relief  
25 through a decree of a conclusive character.

26 272. Amgen is entitled to a judicial declaration that all claims of the '221  
27 patent are invalid.

1 **COUNT XL**

2 **Declaratory Judgment of Non-Infringement of U.S. Patent No. 8,044,017**

3 273. Amgen restates and incorporates by reference the allegations in  
4 paragraphs 1–272 above as if fully set forth herein.

5 274. On May 23, 2017, Amgen provided Genentech with a detailed  
6 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
7 for Amgen’s opinion at the time that the ’017 patent would not be infringed by the  
8 commercial marketing of ABP 215. For example, the claims of the ’017 patent  
9 recite “wash[ing] the ion exchange resin with a wash buffer until a predetermined  
10 protein concentration is measured in the flowthrough,” and the salt concentration of  
11 the wash buffer does not “increase[] from an initial, second salt concentration that is  
12 greater than the salt concentration of the equilibration buffer, to a final, third salt  
13 concentration,” which Amgen’s ABP 215 biological product and associated  
14 manufacturing processes do not satisfy. In addition, Amgen cannot infringe the  
15 ’017 patent because it is invalid. *See Commil*, 135 S. Ct. at 1929 (“To say that an  
16 invalid patent cannot be infringed, or that someone cannot be induced to infringe an  
17 invalid patent, is in one sense a simple truth, both as a matter of logic and semantics  
18 . . . To be sure, if at the end of the day, an act that would have been an infringement  
19 or an inducement to infringe pertains to a patent that is shown to be invalid, there is  
20 no patent to be infringed.”). To the extent there is an invention which is properly  
21 the subject of a United States patent, any manufacture, use, sale, offer for sale or  
22 import into the United States of ABP 215 by Amgen prior to the expiration date of  
23 the ’017 patent was not an infringement of the ’017 patent. Any manufacture and  
24 use of ABP 215 by Amgen prior to the expiration date of the ’017 patent was solely  
25 for uses reasonably related to the development and submission of information under  
26 a Federal law, for example to the FDA under the Public Health Service Act

1 including 42 U.S.C. § 262(k), which regulates biological products. These are not  
2 acts of infringement. 35 U.S.C. § 271(e)(1).

3 275. There is a real, substantial, and justiciable controversy between Amgen  
4 and Genentech concerning whether commercial marketing of the biological product  
5 that is the subject of Amgen’s BLA for ABP 215 would infringe any valid and  
6 enforceable claim of the ’017 patent.

7 276. The controversy between the parties is amenable to specific relief  
8 through a decree of a conclusive character.

9 277. Amgen is entitled to a judicial declaration that Amgen has not and will  
10 not infringe, directly or indirectly, any valid and enforceable claim of the ’017  
11 patent.

## 12 **COUNT XLI**

### 13 **Declaratory Judgment of Invalidity of U.S. Patent No. 8,044,017**

14 278. Amgen restates and incorporates by reference the allegations in  
15 paragraphs 1–277 above as if fully set forth herein.

16 279. On May 23, 2017, Amgen provided Genentech with a detailed  
17 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
18 for Amgen’s opinion at the time that the ’017 patent is invalid. Among other  
19 reasons, the claims of the ’017 patent are invalid in light of prior art that published  
20 or was otherwise available to the public before the earliest possible priority date of  
21 the ’017 patent.

22 280. There is a real, substantial, and justiciable controversy between Amgen  
23 and Genentech concerning whether the claims of the ’017 patent are invalid for  
24 failure to comply with the requirements of Title 35 of the United States Code,  
25 including, without limitation, one or more of §§ 101, 102, 103, 112, and/or pursuant  
26 to common law and/or equitable doctrines.



1 Federal law, for example to the FDA under the Public Health Service Act including  
2 42 U.S.C. § 262(k), which regulates biological products. These are not acts of  
3 infringement. 35 U.S.C. § 271(e)(1).

4 285. There is a real, substantial, and justiciable controversy between Amgen  
5 and Genentech concerning whether commercial marketing of the biological product  
6 that is the subject of Amgen’s BLA for ABP 215 would infringe any valid and  
7 enforceable claim of the ’895 patent.

8 286. The controversy between the parties is amenable to specific relief  
9 through a decree of a conclusive character.

10 287. Amgen is entitled to a judicial declaration that Amgen has not and will  
11 not infringe, directly or indirectly, any valid and enforceable claim of the ’895  
12 patent.

### 13 **COUNT XLIII**

#### 14 **Declaratory Judgment of Invalidity of U.S. Patent No. 8,460,895**

15 288. Amgen restates and incorporates by reference the allegations in  
16 paragraphs 1–287 above as if fully set forth herein.

17 289. On May 23, 2017, Amgen provided Genentech with a detailed  
18 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
19 for Amgen’s opinion at the time that the ’895 patent is invalid. Among other  
20 reasons, the claims of the ’895 patent are invalid in light of prior art that published  
21 or was otherwise available to the public before the earliest possible priority date of  
22 the ’895 patent.

23 290. There is a real, substantial, and justiciable controversy between Amgen  
24 and Genentech concerning whether the claims of the ’895 patent are invalid for  
25 failure to comply with the requirements of Title 35 of the United States Code,  
26 including, without limitation, one or more of §§ 101, 102, 103, 112, and/or pursuant  
27 to common law and/or equitable doctrines.



1 infringement of the '983 patent. Any manufacture and use of ABP 215 by Amgen  
2 prior to the expiration date of the '983 patent was solely for uses reasonably related  
3 to the development and submission of information under a Federal law, for example  
4 to the FDA under the Public Health Service Act including 42 U.S.C. § 262(k),  
5 which regulates biological products. These are not acts of infringement. 35 U.S.C.  
6 § 271(e)(1).

7 295. There is a real, substantial, and justiciable controversy between Amgen  
8 and Genentech concerning whether commercial marketing of the biological product  
9 that is the subject of Amgen's BLA for ABP 215 would infringe any valid and  
10 enforceable claim of the '983 patent.

11 296. The controversy between the parties is amenable to specific relief  
12 through a decree of a conclusive character.

13 297. Amgen is entitled to a judicial declaration that Amgen has not and will  
14 not infringe, directly or indirectly, any valid and enforceable claim of the '983  
15 patent.

## 16 **COUNT XLV**

### 17 **Declaratory Judgment of Invalidity of U.S. Patent No. 8,512,983**

18 298. Amgen restates and incorporates by reference the allegations in  
19 paragraphs 1–297 above as if fully set forth herein.

20 299. On May 23, 2017, Amgen provided Genentech with a detailed  
21 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
22 for Amgen's opinion at the time that the '983 patent is invalid. Among other  
23 reasons, the claims of the '983 patent are invalid in light of prior art that published  
24 or was otherwise available to the public before the earliest possible priority date of  
25 the '983 patent.

26 300. There is a real, substantial, and justiciable controversy between Amgen  
27 and Genentech concerning whether the claims of the '983 patent are invalid for  
28

1 failure to comply with the requirements of Title 35 of the United States Code,  
2 including, without limitation, one or more of §§ 101, 102, 103, 112, and/or pursuant  
3 to common law and/or equitable doctrines.

4 301. The controversy between the parties is amenable to specific relief  
5 through a decree of a conclusive character.

6 302. Amgen is entitled to a judicial declaration that all claims of the '983  
7 patent are invalid.

## 8 **COUNT XLVI**

### 9 **Declaratory Judgment of Non-Infringement of U.S. Patent No. 8,574,869**

10 303. Amgen restates and incorporates by reference the allegations in  
11 paragraphs 1–302 above as if fully set forth herein.

12 304. On May 23, 2017, Amgen provided Genentech with a detailed  
13 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
14 for Amgen's opinion at the time that the '869 patent would not be infringed by the  
15 commercial marketing of ABP 215. For example, the claims of the '869 patent  
16 recite sparging pre-harvest or harvested cell culture fluid "following fermentation,"  
17 which Amgen's ABP 215 biological product and associated manufacturing  
18 processes do not satisfy. In addition, Amgen cannot infringe the '869 patent  
19 because it is invalid. *See Commil*, 135 S. Ct. at 1929 ("To say that an invalid patent  
20 cannot be infringed, or that someone cannot be induced to infringe an invalid patent,  
21 is in one sense a simple truth, both as a matter of logic and semantics . . . To be sure,  
22 if at the end of the day, an act that would have been an infringement or an  
23 inducement to infringe pertains to a patent that is shown to be invalid, there is no  
24 patent to be infringed."). To the extent there is an invention which is properly the  
25 subject of a United States patent, any manufacture, use, sale, offer for sale or import  
26 into the United States of ABP 215 by Amgen prior to the expiration date of the '869  
27 patent was not an infringement of the '869 patent. Any manufacture and use of

1 ABP 215 by Amgen prior to the expiration date of the '869 patent was solely for  
2 uses reasonably related to the development and submission of information under a  
3 Federal law, for example to the FDA under the Public Health Service Act including  
4 42 U.S.C. § 262(k), which regulates biological products. These are not acts of  
5 infringement. 35 U.S.C. § 271(e)(1).

6 305. There is a real, substantial, and justiciable controversy between Amgen  
7 and Genentech concerning whether commercial marketing of the biological product  
8 that is the subject of Amgen's BLA for ABP 215 would infringe any valid and  
9 enforceable claim of the '869 patent.

10 306. The controversy between the parties is amenable to specific relief  
11 through a decree of a conclusive character.

12 307. Amgen is entitled to a judicial declaration that Amgen has not and will  
13 not infringe, directly or indirectly, any valid and enforceable claim of the '869  
14 patent.

## 15 **COUNT XLVII**

### 16 **Declaratory Judgment of Invalidity of U.S. Patent No. 8,574,869**

17 308. Amgen restates and incorporates by reference the allegations in  
18 paragraphs 1–307 above as if fully set forth herein.

19 309. On May 23, 2017, Amgen provided Genentech with a detailed  
20 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
21 for Amgen's opinion at the time that the '869 patent is invalid. Among other  
22 reasons, the claims of the '869 patent are invalid in light of prior art that published  
23 or was otherwise available to the public before the earliest possible priority date of  
24 the '869 patent.

25 310. There is a real, substantial, and justiciable controversy between Amgen  
26 and Genentech concerning whether the claims of the '869 patent are invalid for  
27 failure to comply with the requirements of Title 35 of the United States Code,  
28

1 including, without limitation, one or more of §§ 101, 102, 103, 112, and/or pursuant  
2 to common law and/or equitable doctrines.

3 311. The controversy between the parties is amenable to specific relief  
4 through a decree of a conclusive character.

5 312. Amgen is entitled to a judicial declaration that all claims of the '869  
6 patent are invalid.

### 7 **COUNT XLVIII**

#### 8 **Declaratory Judgment of Non-Infringement of U.S. Patent No. 8,633,302**

9 313. Amgen restates and incorporates by reference the allegations in  
10 paragraphs 1–312 above as if fully set forth herein.

11 314. On May 23, 2017, Amgen provided Genentech with a detailed  
12 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
13 for Amgen's opinion at the time that the '302 patent would not be infringed by the  
14 commercial marketing of ABP 215. For example, the claims of the '302 patent  
15 recite a method with “variable transmembrane pressure and cross-flow,” or  
16 transmembrane pressures and cross-flows within recited ranges, which Amgen's  
17 ABP 215 biological product and associated manufacturing processes do not satisfy.  
18 In addition, Amgen cannot infringe the '302 patent because it is invalid. *See*  
19 *Commil*, 135 S. Ct. at 1929 (“To say that an invalid patent cannot be infringed, or  
20 that someone cannot be induced to infringe an invalid patent, is in one sense a  
21 simple truth, both as a matter of logic and semantics . . . To be sure, if at the end of  
22 the day, an act that would have been an infringement or an inducement to infringe  
23 pertains to a patent that is shown to be invalid, there is no patent to be infringed.”).  
24 To the extent there is an invention which is properly the subject of a United States  
25 patent, any manufacture, use, sale, offer for sale or import into the United States of  
26 ABP 215 by Amgen prior to the expiration date of the '302 patent was not an  
27 infringement of the '302 patent. Any manufacture and use of ABP 215 by Amgen

1 prior to the expiration date of the '302 patent was solely for uses reasonably related  
2 to the development and submission of information under a Federal law, for example  
3 to the FDA under the Public Health Service Act including 42 U.S.C. § 262(k),  
4 which regulates biological products. These are not acts of infringement. 35 U.S.C.  
5 § 271(e)(1).

6 315. There is a real, substantial, and justiciable controversy between Amgen  
7 and Genentech concerning whether commercial marketing of the biological product  
8 that is the subject of Amgen's BLA for ABP 215 would infringe any valid and  
9 enforceable claim of the '302 patent.

10 316. The controversy between the parties is amenable to specific relief  
11 through a decree of a conclusive character.

12 317. Amgen is entitled to a judicial declaration that Amgen has not and will  
13 not infringe, directly or indirectly, any valid and enforceable claim of the '302  
14 patent.

## 15 **COUNT XLIX**

### 16 **Declaratory Judgment of Invalidity of U.S. Patent No. 8,633,302**

17 318. Amgen restates and incorporates by reference the allegations in  
18 paragraphs 1–317 above as if fully set forth herein.

19 319. On May 23, 2017, Amgen provided Genentech with a detailed  
20 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
21 for Amgen's opinion at the time that the '302 patent is invalid. Among other  
22 reasons, the claims of the '302 patent are invalid in light of prior art that published  
23 or was otherwise available to the public before the earliest possible priority date of  
24 the '302 patent.

25 320. There is a real, substantial, and justiciable controversy between Amgen  
26 and Genentech concerning whether the claims of the '302 patent are invalid for  
27 failure to comply with the requirements of Title 35 of the United States Code,  
28

1 including, without limitation, one or more of §§ 101, 102, 103, 112, and/or pursuant  
2 to common law and/or equitable doctrines.

3 321. The controversy between the parties is amenable to specific relief  
4 through a decree of a conclusive character.

5 322. Amgen is entitled to a judicial declaration that all claims of the '302  
6 patent are invalid.

## 7 **COUNT L**

### 8 **Declaratory Judgment of Non-Infringement of U.S. Patent No. 8,710,196**

9 323. Amgen restates and incorporates by reference the allegations in  
10 paragraphs 1–322 above as if fully set forth herein.

11 324. On May 23, 2017, Amgen provided Genentech with a detailed  
12 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
13 for Amgen's opinion at the time that the '196 patent would not be infringed by the  
14 commercial marketing of ABP 215. For example, the claims of the '196 patent  
15 recite the conductivity of a wash buffer that “increase[s] from a second conductivity  
16 that is higher than the first conductivity to a third conductivity during the washing,”  
17 which Amgen's ABP 215 biological product and associated manufacturing  
18 processes do not satisfy. In addition, Amgen cannot infringe the '196 patent  
19 because it is invalid. *See Commil*, 135 S. Ct. at 1929 (“To say that an invalid patent  
20 cannot be infringed, or that someone cannot be induced to infringe an invalid patent,  
21 is in one sense a simple truth, both as a matter of logic and semantics . . . To be sure,  
22 if at the end of the day, an act that would have been an infringement or an  
23 inducement to infringe pertains to a patent that is shown to be invalid, there is no  
24 patent to be infringed.”). To the extent there is an invention which is properly the  
25 subject of a United States patent, any manufacture, use, sale, offer for sale or import  
26 into the United States of ABP 215 by Amgen prior to the expiration date of the '196  
27 patent was not an infringement of the '196 patent. Any manufacture and use of

1 ABP 215 by Amgen prior to the expiration date of the '196 patent was solely for  
2 uses reasonably related to the development and submission of information under a  
3 Federal law, for example to the FDA under the Public Health Service Act including  
4 42 U.S.C. § 262(k), which regulates biological products. These are not acts of  
5 infringement. 35 U.S.C. § 271(e)(1).

6 325. There is a real, substantial, and justiciable controversy between Amgen  
7 and Genentech concerning whether commercial marketing of the biological product  
8 that is the subject of Amgen's BLA for ABP 215 would infringe any valid and  
9 enforceable claim of the '196 patent.

10 326. The controversy between the parties is amenable to specific relief  
11 through a decree of a conclusive character.

12 327. Amgen is entitled to a judicial declaration that Amgen has not and will  
13 not infringe, directly or indirectly, any valid and enforceable claim of the '196  
14 patent.

## 15 **COUNT LI**

### 16 **Declaratory Judgment of Invalidity of U.S. Patent No. 8,710,196**

17 328. Amgen restates and incorporates by reference the allegations in  
18 paragraphs 1–327 above as if fully set forth herein.

19 329. On May 23, 2017, Amgen provided Genentech with a detailed  
20 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
21 for Amgen's opinion at the time that the '196 patent is invalid. Among other  
22 reasons, the claims of the '196 patent are invalid in light of prior art that published  
23 or was otherwise available to the public before the earliest possible priority date of  
24 the '196 patent.

25 330. There is a real, substantial, and justiciable controversy between Amgen  
26 and Genentech concerning whether the claims of the '196 patent are invalid for  
27 failure to comply with the requirements of Title 35 of the United States Code,  
28

1 including, without limitation, one or more of §§ 101, 102, 103, 112, and/or pursuant  
2 to common law and/or equitable doctrines.

3 331. The controversy between the parties is amenable to specific relief  
4 through a decree of a conclusive character.

5 332. Amgen is entitled to a judicial declaration that all claims of the '196  
6 patent are invalid.

## 7 **COUNT LII**

### 8 **Declaratory Judgment of Non-Infringement of U.S. Patent No. 9,441,035**

9 333. Amgen restates and incorporates by reference the allegations in  
10 paragraphs 1–332 above as if fully set forth herein.

11 334. On May 23, 2017, Amgen provided Genentech with a detailed  
12 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
13 for Amgen's opinion at the time that the '035 patent would not be infringed by the  
14 commercial marketing of ABP 215. For example, the claims of the '035 patent  
15 recite a “cell culture medium compris[ing] copper, insulin, and cysteine,” or a cell  
16 culture medium where “the cysteine is at a concentration of from 1.25 mM to  
17 2.5 mM,” which Amgen's ABP 215 biological product and associated  
18 manufacturing processes do not satisfy. In addition, Amgen cannot infringe the  
19 '035 patent because it is invalid. *See Commil*, 135 S. Ct. at 1929 (“To say that an  
20 invalid patent cannot be infringed, or that someone cannot be induced to infringe an  
21 invalid patent, is in one sense a simple truth, both as a matter of logic and semantics  
22 . . . To be sure, if at the end of the day, an act that would have been an infringement  
23 or an inducement to infringe pertains to a patent that is shown to be invalid, there is  
24 no patent to be infringed.”). To the extent there is an invention which is properly  
25 the subject of a United States patent, any manufacture, use, sale, offer for sale or  
26 import into the United States of ABP 215 by Amgen prior to the expiration date of  
27 the '035 patent was not an infringement of the '035 patent. Any manufacture and

1 use of ABP 215 by Amgen prior to the expiration date of the '035 patent was solely  
2 for uses reasonably related to the development and submission of information under  
3 a Federal law, for example to the FDA under the Public Health Service Act  
4 including 42 U.S.C. § 262(k), which regulates biological products. These are not  
5 acts of infringement. 35 U.S.C. § 271(e)(1).

6 335. There is a real, substantial, and justiciable controversy between Amgen  
7 and Genentech concerning whether commercial marketing of the biological product  
8 that is the subject of Amgen's BLA for ABP 215 would infringe any valid and  
9 enforceable claim of the '035 patent.

10 336. The controversy between the parties is amenable to specific relief  
11 through a decree of a conclusive character.

12 337. Amgen is entitled to a judicial declaration that Amgen has not and will  
13 not infringe, directly or indirectly, any valid and enforceable claim of the '035  
14 patent.

### 15 **COUNT LIII**

#### 16 **Declaratory Judgment of Invalidity of U.S. Patent No. 9,441,035**

17 338. Amgen restates and incorporates by reference the allegations in  
18 paragraphs 1–337 above as if fully set forth herein.

19 339. On May 23, 2017, Amgen provided Genentech with a detailed  
20 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
21 for Amgen's opinion at the time that the '035 patent is invalid. Among other  
22 reasons, the claims of the '035 patent are invalid in light of prior art that published  
23 or was otherwise available to the public before the earliest possible priority date of  
24 the '035 patent.

25 340. There is a real, substantial, and justiciable controversy between Amgen  
26 and Genentech concerning whether the claims of the '035 patent are invalid for  
27 failure to comply with the requirements of Title 35 of the United States Code,  
28

1 including, without limitation, one or more of §§ 101, 102, 103, 112, and/or pursuant  
2 to common law and/or equitable doctrines.

3 341. The controversy between the parties is amenable to specific relief  
4 through a decree of a conclusive character.

5 342. Amgen is entitled to a judicial declaration that all claims of the '035  
6 patent are invalid.

7 **COUNT LIV**

8 **Declaratory Judgment of Non-Infringement of U.S. Patent No. 9,478,809**

9 343. Amgen restates and incorporates by reference the allegations in  
10 paragraphs 1–342 above as if fully set forth herein.

11 344. On May 23, 2017, Amgen provided Genentech with a detailed  
12 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
13 for Amgen’s opinion at the time that the '809 patent would not be infringed by the  
14 commercial marketing of ABP 215. For example, the claims of the '809 patent  
15 recite culturing cell comprising “a first heterologous nucleic acid sequence encoding  
16 a small interfering RNA (siRNA) specific for a lactate dehydrogenase,” or cells  
17 comprising “a second heterologous nucleic acid sequence encoding an siRNA  
18 specific for a pyruvate dehydrogenase kinase (PDHK),” which Amgen’s ABP 215  
19 biological product and associated manufacturing processes do not satisfy. In  
20 addition, Amgen cannot infringe the '809 patent because it is invalid. *See Commil*,  
21 135 S. Ct. at 1929 (“To say that an invalid patent cannot be infringed, or that  
22 someone cannot be induced to infringe an invalid patent, is in one sense a simple  
23 truth, both as a matter of logic and semantics . . . To be sure, if at the end of the day,  
24 an act that would have been an infringement or an inducement to infringe pertains to  
25 a patent that is shown to be invalid, there is no patent to be infringed.”). To the  
26 extent there is an invention which is properly the subject of a United States patent,  
27 any manufacture, use, sale, offer for sale or import into the United States of ABP

1 215 by Amgen prior to the expiration date of the '809 patent was not an  
2 infringement of the '809 patent. Any manufacture and use of ABP 215 by Amgen  
3 prior to the expiration date of the '809 patent was solely for uses reasonably related  
4 to the development and submission of information under a Federal law, for example  
5 to the FDA under the Public Health Service Act including 42 U.S.C. § 262(k),  
6 which regulates biological products. These are not acts of infringement. 35 U.S.C.  
7 § 271(e)(1).

8 345. There is a real, substantial, and justiciable controversy between Amgen  
9 and Genentech concerning whether commercial marketing of the biological product  
10 that is the subject of Amgen's BLA for ABP 215 would infringe any valid and  
11 enforceable claim of the '809 patent.

12 346. The controversy between the parties is amenable to specific relief  
13 through a decree of a conclusive character.

14 347. Amgen is entitled to a judicial declaration that Amgen has not and will  
15 not infringe, directly or indirectly, any valid and enforceable claim of the '809  
16 patent.

17 **COUNT LV**

18 **Declaratory Judgment of Invalidity of U.S. Patent No. 9,478,809**

19 348. Amgen restates and incorporates by reference the allegations in  
20 paragraphs 1–347 above as if fully set forth herein.

21 349. On May 23, 2017, Amgen provided Genentech with a detailed  
22 statement pursuant to 42 U.S.C. § 262(l)(3)(B) describing the factual and legal bases  
23 for Amgen's opinion at the time that the '809 patent is invalid. Among other  
24 reasons, the claims of the '809 patent are invalid in light of prior art that published  
25 or was otherwise available to the public before the earliest possible priority date of  
26 the '809 patent.



1 K. Declare that all claims of the '213 patent are unenforceable due to  
2 Genentech's inequitable conduct before the Patent Office.

3 L. Declare that Amgen has not, does not, and will not infringe any valid  
4 and enforceable claim of the '335 patent.

5 M. Declare that all claims of the '335 patent are invalid.

6 N. Declare that Amgen has not, does not, and will not infringe any valid  
7 and enforceable claim of the '206 patent.

8 O. Declare that all claims of the '206 patent are invalid.

9 P. Declare that Amgen has not, does not, and will not infringe any valid  
10 and enforceable claim of the '516 patent.

11 Q. Declare that all claims of the '516 patent are invalid.

12 R. Declare that Amgen has not, does not, and will not infringe any valid  
13 and enforceable claim of the '918 patent.

14 S. Declare that all claims of the '918 patent are invalid.

15 T. Declare that Amgen has not, does not, and will not infringe any valid  
16 and enforceable claim of the '034 patent.

17 U. Declare that all claims of the '034 patent are invalid.

18 V. Declare that Amgen has not, does not, and will not infringe any valid  
19 and enforceable claim of the '879 patent.

20 W. Declare that all claims of the '879 patent are invalid.

21 X. Declare that Amgen has not, does not, and will not infringe any valid  
22 and enforceable claim of the '269 patent.

23 Y. Declare that all claims of the '269 patent are invalid.

24 Z. Declare that Amgen has not, does not, and will not infringe any valid  
25 and enforceable claim of the '901 patent.

26 AA. Declare that all claims of the '901 patent are invalid.

27  
28

1 BB. Declare that Amgen has not, does not, and will not infringe any valid  
2 and enforceable claim of the '334 patent.

3 CC. Declare that all claims of the '334 patent are invalid.

4 DD. Declare that Amgen has not, does not, and will not infringe any valid  
5 and enforceable claim of the '553 patent.

6 EE. Declare that all claims of the '553 patent are invalid.

7 FF. Declare that Amgen has not, does not, and will not infringe any valid  
8 and enforceable claim of the '193 patent.

9 GG. Declare that all claims of the '193 patent are invalid.

10 HH. Declare that Amgen has not, does not, and will not infringe any valid  
11 and enforceable claim of the '115 patent.

12 II. Declare that all claims of the '115 patent are invalid.

13 JJ. Declare that Amgen has not, does not, and will not infringe any valid  
14 and enforceable claim of the '799 patent.

15 KK. Declare that all claims of the '799 patent are invalid.

16 LL. Declare that Amgen has not, does not, and will not infringe any valid  
17 and enforceable claim of the '221 patent.

18 MM. Declare that all claims of the '221 patent are invalid.

19 NN. Declare that Amgen has not, does not, and will not infringe any valid  
20 and enforceable claim of the '017 patent.

21 OO. Declare that all claims of the '017 patent are invalid.

22 PP. Declare that Amgen has not, does not, and will not infringe any valid  
23 and enforceable claim of the '895 patent.

24 QQ. Declare that all claims of the '895 patent are invalid.

25 RR. Declare that Amgen has not, does not, and will not infringe any valid  
26 and enforceable claim of the '983 patent.

27 SS. Declare that all claims of the '983 patent are invalid.

1 TT. Declare that Amgen has not, does not, and will not infringe any valid  
2 and enforceable claim of the '869 patent.

3 UU. Declare that all claims of the '869 patent are invalid.

4 VV. Declare that Amgen has not, does not, and will not infringe any valid  
5 and enforceable claim of the '302 patent.

6 WW. Declare that all claims of the '302 patent are invalid.

7 XX. Declare that Amgen has not, does not, and will not infringe any valid  
8 and enforceable claim of the '196 patent.

9 YY. Declare that all claims of the '196 patent are invalid.

10 ZZ. Declare that Amgen has not, does not, and will not infringe any valid  
11 and enforceable claim of the '035 patent.

12 AAA. Declare that all claims of the '035 patent are invalid.

13 BBB. Declare that Amgen has not, does not, and will not infringe any valid  
14 and enforceable claim of the '809 patent.

15 CCC. Declare that all claims of the '809 patent are invalid.

16 DDD. Declare that this is an exceptional case in favor of Amgen and award  
17 Amgen its reasonable attorneys' fees pursuant to 35 U.S.C. § 285.

18 EEE. Award Amgen costs and expenses.

19 FFF. Award any and all such other relief as the Court determines to be just  
20 and proper, including pursuant to 28 U.S.C. § 2202.

21  
22  
23 Dated: October 6, 2017

PROSKAUER ROSE LLP

24  
25 By: /s/ Siegmund Y. Gutman

26 *Attorneys for Plaintiff Amgen Inc.*  
27